



















## II. INITIAL CALCULATION OF SEVERAL PARAMETERS

For simple cubic Bravais lattice the differential scattering cross section in the incoherent approximation can be written<sup>(2,4)</sup> as

$$\sigma(E \rightarrow E', \theta) = (\sigma_b/8\pi^2) (E'/E)^{1/2} \int_{-\infty}^{\infty} dt \exp \{-it(E - E') + \mu\gamma[g(t) - g(0)]\} \quad (1)$$

where  $E$  and  $E'$  are initial and final energy of the neutron;  $\theta$  is the angle of scattering;  $\sigma_b$  is the cross section for a bound atom;  $\mu$  is the ratio of neutron mass and the mass of the atom;  $\gamma$  is proportional to the square of momentum transfer:

$$\gamma = E + E' - 2\cos\theta \sqrt{EE'} \quad ;$$

and  $g(t)$  is a Fourier transform:

$$g(t) = \int_{-\infty}^{\infty} \frac{d\omega}{\omega} \frac{\rho(\omega)}{\exp(\omega/kT) - 1} e^{-i\omega t} \quad .$$

Here  $\rho(\omega)$  is assumed to be an even function of  $\omega$ . It is proportional to the number of modes of vibration of energy  $\omega$ , and it is normalized to unity, that is,

$$\int_0^{\infty} \rho(\omega) d\omega = 1 \quad .$$

Further,  $k$  is the Boltzmann constant,  $T$  the absolute temperature, and  $[\exp(\omega/kT) - 1]^{-1}$  is the average occupation number for a phonon of frequency  $\omega$ .

Operation with complex quantities in  $g(t)$  can be avoided by shifting the path of integration. Substituting  $T = t' + (i/2kT)$ , rearranging terms, and omitting primes, we can rewrite Eq. (1) as

$$\sigma(E \rightarrow E', \theta) = (\sigma_b/8\pi^2) (E'/E)^{1/2} \exp \{[(E - E')/2kT] - \mu\gamma g(0)\} \int_{-\infty}^{\infty} dt \exp \{-it(E - E') + \mu\gamma G(t)\} \quad ,$$

where  $G(t)$  is the even function defined by

$$G(t) = \int_0^{\infty} f(\omega) \cos \omega t d\omega \quad , \quad (3)$$

$$g(0) = G(i/2kT) = \int_0^{\infty} f(\omega) \operatorname{ch}(\omega/2kT) d\omega \quad , \quad (4)$$

and

$$f(\omega) = \frac{\rho(\omega)}{\omega \operatorname{sh}(\omega/2kT)}.$$

In the present formulation of the problem,  $\rho(\omega)$  will be given in unnormalized form at equidistant points:

$$\rho_u(j\Delta\omega) = \rho_j \quad \text{for} \quad 1 \leq j \leq m-1.$$

It will be assumed that

$$\rho_u(0) = 0 \quad \text{and} \quad \rho_u(j\Delta\omega) = 0 \quad \text{for} \quad j \geq m.$$

Then we can compute

$$f_u(j\Delta\omega) = f_j = \frac{\rho_j}{j\Delta\omega \operatorname{sh}(j\Delta\omega/2kT)}, \quad \text{for} \quad 1 \leq j \leq m-1, \quad (5)$$

assuming  $f_0$  as given. If  $\rho$  is approximately parabolic for  $\omega \leq \Delta\omega$ ,

$$f_0 \approx \rho_1 \frac{2kT}{(\Delta\omega)^2}.$$

If we assume now that  $f_u$  has values given by Eq. (5) for integral multiples of  $\Delta\omega$  and is linear in between, we can compute easily the normalization factor  $N$ ,  $g(0)$ , and  $G(t)$ . With this assumption,  $f_u$  is really a weighted sum of shifted rooflike functions:

$$f_u = \sum f_j c^{(1)}(\omega - j\Delta\omega),$$

where  $c^{(1)}(\omega)$  is a broken-line function equal to one for  $\omega=0$  and vanishing for all other integral multiples of  $\Delta\omega$ .  $G(t)$  is then

$$\begin{aligned} G(t) &= \int_0^\infty f(\omega) \cos \omega t \, d\omega \\ &= \frac{2\Delta\omega}{N} \frac{1 - \cos \Delta\omega t}{(\Delta\omega t)^2} \left[ \frac{1}{2} f_0 + \sum_{j=1}^{m-1} f_j \cos j\Delta\omega t \right], \end{aligned} \quad (6)$$

since

$$\int_{-\infty}^{\infty} d\omega c^{(1)}(\omega) \cos \omega t = 2 \int_0^{\Delta\omega} d\omega \left( 1 - \frac{\omega}{\Delta\omega} \right) \cos \omega t = 2 \frac{1 - \cos \Delta\omega t}{(\Delta\omega t)^2}.$$

Replacing  $t$  in Eq. (6) by  $i/2kT$ , we obtain

$$g(0) = \frac{1}{N} \int_0^{\infty} f_u(\omega) \operatorname{ch} \frac{\omega}{2kT} d\omega$$

$$= \frac{2\Delta\omega}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left( \operatorname{ch} \frac{\Delta\omega}{2kT} - 1 \right) \left[ \frac{1}{2} f_0 + \sum_{j=1}^{m-1} f_j \operatorname{ch} j \frac{\Delta\omega}{2kT} \right] \quad (7)$$

Then, differentiating both sides of Eq. (7) with respect to  $1/2kT$ , we obtain the normalization factor  $N$ :

$$\int_0^{\infty} f_u(\omega) \omega \operatorname{sh} \frac{\omega}{2kT} d\omega = \int_0^{\infty} \rho_u(\omega) d\omega = N = 2\Delta\omega \left( \frac{2kT}{\Delta\omega} \right)^2 \left( \operatorname{ch} \frac{\Delta\omega}{2kT} - 1 \right) \left[ \sum_{j=1}^{m-1} \rho_j \right]$$

$$+ 2(\Delta\omega)^2 \left( \frac{2kT}{\Delta\omega} \right)^2 \left[ \operatorname{sh} \frac{\Delta\omega}{2kT} - 2 \left( \frac{2kT}{\Delta\omega} \right) \left( \operatorname{ch} \frac{\Delta\omega}{2kT} - 1 \right) \right]$$

$$\times \left[ \frac{1}{2} f_0 + \sum_{j=1}^{m-1} f_j \operatorname{ch} j \frac{\Delta\omega}{2kT} \right] \quad (8)$$

Thus, after having found  $f_j$  from Eq. (5),  $N$  from Eq. (8), and  $g(0)$  from Eq. (7), we are ready to "normalize"  $f(\omega)$ :

$$f_j^{(1)} = \frac{\Delta\omega}{2N} f_j \quad (9)$$

and to proceed with multiphonon expansion.

Since asymptotic expansion may be used in further calculations simultaneously with evaluation of Eqs. (5), (7), and (8), we compute also two other constants needed in Chapter V, Section C. These are the derivatives of  $G(t)$  evaluated at  $t = -i/2kT$ . Taking Eq. (7) and differentiating it twice with respect to  $1/2kT$ , we obtain

$$\begin{aligned}
a_2 &= \int d\omega \rho(\omega) \left( \coth \frac{\omega}{2kT} \right) \omega \\
&= \frac{2\Delta\omega^3}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \left[ \sum_{j=1}^{m-1} j^2 f_j \ch j \frac{\Delta\omega}{2kT} \right] \\
&\quad + 2 \frac{2\Delta\omega^2}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left[ \sh \frac{\Delta\omega}{2kT} - 2 \left( \frac{2kT}{\Delta\omega} \right) \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \right] \left[ \sum_{j=1}^{m-1} \rho_j \right] \\
&\quad + \frac{2\Delta\omega^3}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left[ \ch \frac{\Delta\omega}{2kT} - 4 \left( \frac{2kT}{\Delta\omega} \right) \sh \frac{\Delta\omega}{2kT} + 6 \left( \frac{2kT}{\Delta\omega} \right)^2 \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \right] \\
&\quad \times \left[ \frac{1}{2} f_0 + \sum_{j=1}^{m-1} f_j \ch j \frac{\Delta\omega}{2kT} \right] . \tag{10}
\end{aligned}$$

Differentiating once again, we have:

$$\begin{aligned}
a_3 &= \int d\omega \rho(\omega) \omega^2 \\
&= \frac{2\Delta\omega^3}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \left[ \sum_{j=1}^{m-1} j^2 \rho_j \right] \\
&\quad + 3 \frac{2\Delta\omega^4}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left[ \sh \frac{\Delta\omega}{2kT} - 2 \left( \frac{2kT}{\Delta\omega} \right) \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \right] \left[ \sum_{j=1}^{m-1} j^2 f_j \ch j \frac{\Delta\omega}{2kT} \right] \\
&\quad + 3 \frac{2\Delta\omega^3}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left[ \ch \frac{\Delta\omega}{2kT} - 4 \left( \frac{2kT}{\Delta\omega} \right) \sh \frac{\Delta\omega}{2kT} + 6 \left( \frac{2kT}{\Delta\omega} \right)^2 \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \right] \\
&\quad \times \left[ \sum_{j=1}^{m-1} \rho_j \right] + \frac{2\Delta\omega^4}{N} \left( \frac{2kT}{\Delta\omega} \right)^2 \left[ \sh \frac{\Delta\omega}{2kT} - 6 \left( \frac{2kT}{\Delta\omega} \right) \ch \frac{\Delta\omega}{2kT} + 18 \left( \frac{2kT}{\Delta\omega} \right)^2 \sh \frac{\Delta\omega}{2kT} \right. \\
&\quad \left. - 24 \left( \frac{2kT}{\Delta\omega} \right)^3 \left( \ch \frac{\Delta\omega}{2kT} - 1 \right) \right] \left[ \frac{1}{2} f_0 + \sum_{j=1}^{m-1} f_j \ch j \frac{\Delta\omega}{2kT} \right] . \tag{11}
\end{aligned}$$

In these expressions the first term is dominant. Evaluating other coefficients in front of  $\Sigma$  symbols, we gain accuracy expressing the needed parameters in power series of the small constant  $\Delta\omega/2kT$ .

At this stage we have computed  $g(0)$ ,  $a_2$ ,  $a_3$ ,  $f_j^{(1)}$ , and the scaling factor. For graphite  $g(0)$ ,  $a_2$ ,  $a_3$ , and  $f_j^{(1)}$  are calculated separately for perpendicular vibrations using  $\rho_1$ , and for vibrations in the planes using  $\rho_2$ . Then, for every set of directions,  $\ell$ , the appropriate quantities are found by interpolating linearly with  $\ell^2$  as described in Appendix C. Finally, for each  $\ell$  the calculations proceed as is described in Chapters III, IV, and V.

### III. THE MULTIPHONON EXPANSION

The multiphonon expansion of Eq. (2) is obtained by expanding  $\exp \mu\gamma G(t)$  in a power series.

$$\sigma(E \rightarrow E', \theta) = (\sigma_b/8\pi^2)(E'/E)^{1/2} \exp \{[(E - E')/2kT] - \mu\gamma g(0)\}$$

$$\int_{-\infty}^{\infty} \exp i(E - E')t \, dt \sum_{n=0}^{\infty} \frac{(\mu\gamma)^n}{n!} [G(t)]^n \quad (12)$$

Using Eq. (6), with the understanding that  $f_{-j} = f_j$ , we can express

$$[G(t)]^n = \left( \frac{2 \sin \Delta\omega t / 2}{\Delta\omega t} \right)^{2n} \left[ \sum_{j=-m+1}^{m-1} f_j^{(1)} \exp ij\Delta\omega t \right]^n \quad (13)$$

as a product of two functions. The first function is independent of the specifications of the problem and has a Fourier transform which is an even function of the argument; this is nonvanishing only for argument values smaller than  $n\Delta\omega$ . In Appendix A we have computed a table of transform values for integral multiples of  $\Delta\omega$ :

$$\frac{\Delta\omega}{2\pi} \int_{-\infty}^{\infty} \left( \frac{2 \sin \Delta\omega t / 2}{\Delta\omega t} \right)^{2n} \exp \left( -i \frac{\Delta\omega t}{2} 2\nu \right) dt = c_{\nu}^{(n)} \quad (14)$$

The second factor of Eq. (13) is a weighted sum of exponentials. By means of the abbreviation

$$\left[ \sum_{j=-m+1}^{m-1} f_j^{(1)} \exp(ij\Delta\omega t) \right]^n = \sum_{j=-n(m-1)}^{n(m-1)} F_j^{(n)} \exp(ij\Delta\omega t) \quad , \quad (15)$$

we find weighting factors  $F_j^{(n)}$  by an iterative procedure:

$$F_j^{(n)} = \sum_{i=-m+1}^{m-1} f_i^{(1)} F_{j-i}^{(n-1)} \quad \text{for} \quad 0 \leq j \leq n(m-1), \dots \quad (16)$$

where it is understood that

$$F_{-j}^{(n)} = F_j^{(n)} \quad \text{and} \quad F_j^{(n)} = 0 \quad \text{for} \quad |j| > n(m-1) \quad .$$

Now substituting Eq. (15) into Eq. (13) and using Eq. (14), we obtain easily the Fourier transform of  $[G(t)]^n$ :

$$\frac{\Delta\omega}{\pi} \int_0^\infty dt \cos j\Delta\omega t [G(t)]^n = \sum_{\nu=-n+1}^{n-1} c_\nu^{(n)} F_{j-\nu}^{(n)} = f_j^{(n)} \quad , \quad (17)$$

for  $0 \leq j \leq n(m-1)$ . Here again it will be understood that

$$f_{-j}^{(n)} = f_j^{(n)} \quad \text{and} \quad f_j^{(n)} = 0 \quad \text{for} \quad |j| \geq n m \quad .$$

Thus, the multiphonon contributions are determined using Eqs. (16) and (17).

This calculation of multiphonon contributions by means of Eqs. (16) and (17) is based on the assumption that  $f$  can be represented as weighted sum of an elementary function displaced repeatedly by a constant interval. The coefficients  $c_\nu^{(n)}$  have been evaluated by assuming that this elementary function is rooflike. If Dirac's  $\delta$ -function was chosen for the elementary function, the expressions for  $g(0)$ ,  $N$ , and  $a_2$  would be much simplified, and Eq. (17) would be unnecessary. Only some simple modifications of present Eqs. (7), (8), (10), (11), and (14) would be needed if  $f$  was approximated by a step function.

#### IV. CALCULATIONS OF DIFFERENTIAL SCATTERING CROSS SECTION AND SCATTERING KERNEL

If  $E$  and  $E'$  are integral multiples of  $\Delta\omega$ :

$$E = i\Delta\omega; E' = i'\Delta\omega, \quad ,$$

the inelastic-scattering cross section may be obtained substituting Eq. (17) into Eq. (12):

$$\sigma(E = i\Delta\omega \rightarrow E' = i'\Delta\omega, \theta) = (\sigma_b / 4\pi\Delta\omega)(i'/i)^{1/2} \{\exp[(i-i') \frac{\Delta\omega}{2kT} - \mu\gamma g(0)]\} \left[ \sum_{n=1}^{\infty} \frac{1}{n!} (\mu\gamma)^n f_{(i-i')}^{(n)} \right] \quad (18)$$

The leading term in Eq. (12) for  $n = 0$  is a Dirac  $\delta$ -function and represents purely elastic cross section:

$$\sigma_{el}(E = i\Delta\omega \rightarrow E' = E, \theta) = (\sigma_b / 4\pi) \exp[-2\mu g(0)\Delta\omega i(1-\cos\theta)] \quad (19)$$

Since contributions of only 25 phonons have been considered in evaluating the sum of Eq. (18), we assume that remaining terms  $a_{26}, a_{27}, \dots$  decrease in geometric progression, and to the sum of 25 terms we add the value of estimated remainder:

$$R = \frac{a_{25}^2}{a_{24} - a_{25}}, \quad ,$$

if it is smaller than 10% of the sum. Otherwise, convergence is considered unsatisfactory. Actually, the remaining terms decrease somewhat faster than in geometric progression, and values obtained are slight overestimates.

Instead of the differential scattering cross section, we may evaluate the scattering kernel  $S$ .<sup>(7)</sup> This is a function of energy and momentum change, and is connected with the differential scattering cross section by

$$\sigma(E \rightarrow E', \theta) = S(\sigma_b / 4\pi)(E'/E)^{1/2} (kT)^{-1} \cdot \exp[(E - E')/2kT] \quad .$$

Using Eq. (18) we see that

$$S = \frac{kT}{\Delta\omega} \exp[-\mu\gamma g(0)] \sum_{n=1}^{\infty} \frac{(\mu\gamma)^n}{n!} f_{(E-E')/\Delta\omega}^{(n)} \quad (20)$$

And it can be computed easily for any change of momentum and energy change in integer multiples of  $\Delta\omega$ . Egelstaff<sup>(7)</sup> prefers to consider  $S$  as a function of two dimensionless parameters: one proportional to the change of momentum, squared,

$$\alpha = \mu\gamma/kT \quad ,$$

another proportional to the change in energy:

$$\beta = |E - E'|/kT.$$

Thus our calculation may be used to evaluate  $S$  for any given  $\alpha$  and for any sequence of values  $\beta$ , till Eq. (20) stops converging according to our criterion.

Quite often for evaluation of the cross section the Placzek<sup>(8)</sup> expansion is used. It consists of expanding  $\exp\{\mu\gamma[g(t) - g(0)]\}$  in power series of  $\mu$  and performing the Fourier transform term by term. This expansion has been found very convenient for evaluation of the total cross section. We can understand that this should be so by keeping  $\gamma$  constant and integrating over all real values of energy change  $\epsilon$ . Then

$$\int d\epsilon \int (\exp i\epsilon t) \{ \exp \mu\gamma[g(t) - g(0)] \} dt = 2\pi \quad ,$$

and we need only the first term of power series in  $\mu$  ( $n=0$ ) to evaluate this integral. Similarly, if we again (incorrectly) let  $\epsilon$  assume all positive and negative values, we need only  $(n+1)$  terms to evaluate the  $n$ -th moment:

$$\int \epsilon^n d\epsilon \int (\exp i\epsilon t) \{ \exp [\mu\gamma(g(t) - g(0))] \} dt \quad .$$

However, the Placzek expansion converges poorly for purely elastic cross sections:

$$\int (\exp i\epsilon t) \{ \exp [-\mu\gamma g(0)] \} dt \quad ,$$

and therefore converges poorly for purely inelastic cross section. Indeed, if one uses only a number of terms of order  $\mu\gamma g(0)$  (when it is large), either the elastic or total inelastic cross section becomes negative. Since here we are interested in the value of the cross section for a specified energy change, we have preferred multiphonon expansion with considerably better prospects for convergence as seen in the Appendix B.

## V. CALCULATION OF MULTIGROUP INELASTIC MATRICES AND TRANSPORT CROSS SECTIONS

To obtain the multigroup inelastic matrices and transport cross sections, we perform the integration over direction of scattering,  $\theta$ , analytically. Either the multiphonon expansion is used if it converges satisfactorily, or an asymptotic expression is used. Integration over final energies and averaging over initial energies is performed numerically.



### A. Calculation by Multiphonon Expansion

Integrating Eq. (18) over the angle of scattering, we obtain

$$\begin{aligned} \sigma(i\Delta\omega \rightarrow i'\Delta\omega) &= [\sigma_b/4\mu g(0)(\Delta\omega)^2] \exp(i - i')\Delta\omega/2kT \sum_{n=1}^{\infty} [g(0)]^{-n} i_{(i-i')}^{(n)} \\ &\quad \left\{ \exp[-\mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2] \left\{ 1 + \frac{1}{1!} \mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2 + \dots + \frac{1}{n!} [\mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2]^n \right\} \right. \\ &\quad \left. - \exp[-\mu g(0)\Delta\omega(\sqrt{1} + \sqrt{1'})^2] \left\{ 1 + \frac{1}{1!} \mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2 + \dots + \frac{1}{n!} [\mu g(0)\Delta\omega(\sqrt{1} + \sqrt{1'})^2]^n \right\} \right\}. \end{aligned} \quad (21)$$

Similarly, integration over  $\theta$  of the purely elastic cross section, Eq. (19), gives

$$\sigma_{el}(i\Delta\omega) = [\sigma_b/4\mu g(0)\Delta\omega i] \{1 - \exp[-4\mu g(0)\Delta\omega i]\} \quad (22)$$

We evaluate Eq. (21) using 25 terms and estimate the remainder by means of the assumption that neglected terms decrease in geometric progression as in Chapter IV. If the remainder turns out to be large, we switch to the asymptotic formula of section B below. As seen in Appendix B, the multiphonon expansion is expected to be good even at very high energies if the energy change is not large and a sufficient number of phonons has been used;  $(25 =) n_{\max} \gtrsim 4a_2g(0)$ .

In this part we evaluate also the transport cross section. We define the contribution of inelastic scattering to the transport cross section as

$$\sigma_{tr}''(E) = \int dE' \sigma_{tr}(E \rightarrow E') = \int dE' \int \sigma(E \rightarrow E', \theta) (1 - \cos\theta) 2\pi d\cos\theta.$$

And we obtain  $\sigma_{tr}(E \rightarrow E')$  using Eq. (18):

$$\begin{aligned} \sigma_{tr}(E \rightarrow E') &= [\sigma_b/8\mu^2 g(0)^2 \Delta\omega^2 i^{1/2} i'^{1/2}] \exp\{(i - i')\Delta\omega/2kT\} \\ &\quad \sum_{n=1}^{\infty} \left\{ n[g(0)]^{-n+1} i_{(i-i')}^{(n-1)} \mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2 \cdot [g(0)]^{-n} i_{(i-i')}^{(n)} \right\} \\ &\quad \left\{ \exp[-\mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2] \left\{ 1 + \frac{1}{1!} \mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2 + \dots + \frac{1}{n!} [\mu g(0)\Delta\omega(\sqrt{1} - \sqrt{1'})^2]^n \right\} \right. \\ &\quad \left. - \exp[-\mu g(0)\Delta\omega(\sqrt{1} + \sqrt{1'})^2] \left\{ 1 + \frac{1}{1!} \mu g(0)\Delta\omega(\sqrt{1} + \sqrt{1'})^2 + \dots + \frac{1}{n!} [\mu g(0)\Delta\omega(\sqrt{1} + \sqrt{1'})^2]^n \right\} \right\}. \end{aligned} \quad (23)$$

where it is understood that  $f_{(i-i')}^{(0)} = 0$ . Since evaluation of Eq. (23) may be performed at the same time as evaluation of Eq. (21), not much additional computation is required. Also, the computation may be arranged so that evaluation of the long sum is done only for  $i > i'$  and the results used for upscattering,  $i' > i$ . Later integration over final energies of the transport cross section is described. To this sum we add also the contribution of purely elastic scattering:

$$\sigma_{tr,el} = [\sigma_b / 8(\mu g(0) \Delta \omega i)^2] \cdot \{1 - [1 + 4\mu g(0) \Delta \omega i] \exp [-4\mu g(0) \Delta \omega i]\} \quad (24)$$

### B. Calculation by an Asymptotic Expression

When energy change and initial energy are large, the multiphonon expansion fails to converge, and we use an asymptotic expression to calculate  $\sigma(E \rightarrow E')$ . The asymptotic expression can be obtained in a closed form by integrating Eq. (2) over the directions of scattering:

$$\sigma(E \rightarrow E') = (\sigma_b / 8\pi \mu E) \int_{-\infty}^{+\infty} \left\{ \exp \left[ -i(E - E') \left( t + \frac{i}{2kT} \right) \right] \right\} \frac{\exp \{ \mu(\sqrt{E} + \sqrt{E'})^2 [G(t) - g(0)] \} - \exp \{ \mu(\sqrt{E} - \sqrt{E'})^2 [G(t) - g(0)] \}}{G(t) - g(0)} dt. \quad (26)$$

We know that for very large energies the cross section approaches the cross section of a free atom. The downscattering cross section is appreciable only when  $E - E' \lesssim (\sqrt{E} + \sqrt{E'})^2$  and very nearly vanishes for larger energy losses. Thus, it seems that the behavior of the cross section in the drop-off region is most important when energies are not so very high. In this region the integral of the first term is very much larger than the integral of the second term ( $\mu < 1$ ), as one can see clearly by trying to apply the method of steepest descent. To obtain the first term we expand  $G(t)$  in Taylor series about the point  $t = -i/2kT$ :

$$G(t) = g(0) + i \left( t + \frac{i}{2kT} \right) - \frac{1}{2!} a_2 \left( t + \frac{i}{2kT} \right)^2 - \frac{i}{3!} a_3 \left( t + \frac{i}{2kT} \right)^3 + \frac{1}{4!} a_4 \left( t + \frac{i}{2kT} \right)^4 + \dots, \quad (27)$$

where

$$\begin{aligned} g(0) &= \int d\omega \rho(\omega) \left( \coth \frac{\omega}{2kT} \right) \frac{1}{\omega} & a_2 &= \int d\omega \rho(\omega) \left( \coth \frac{\omega}{2kT} \right) \omega \\ 1 &= \int d\omega \rho(\omega) & a_3 &= \int d\omega \rho(\omega) \omega^2 \end{aligned}$$

are constants evaluated in Chapter II.

Now, if we substitute Eq. (27) into the integrand and introduce a new variable of integration,

$$x = \sqrt{\frac{1}{2} \mu (\sqrt{E} + \sqrt{E'})^2 a_2} \left( t + \frac{i}{2kT} \right),$$

we see that the integral in Eq. (26) is very nearly equal to

$$\begin{aligned} \int dt \frac{\exp \left\{ -i(E - E') \left( t + \frac{i}{2kT} \right) + \mu (\sqrt{E} + \sqrt{E'})^2 [G(t) - g(0)] \right\}}{G(t) - g(0)} = \\ \int \left\{ ix - \sqrt{\frac{a_2}{2\mu(\sqrt{E} + \sqrt{E'})^2}} x^2 - \frac{a_2}{2\mu(\sqrt{E} + \sqrt{E'})^2} \frac{2}{3} i \frac{a_3}{a_2^2} x^3 + \dots \right\}^{-1} \\ \cdot \exp \left\{ -2 \frac{\sqrt{E} - \sqrt{E'} - \mu(\sqrt{E} + \sqrt{E'})}{\sqrt{2\mu a_2}} ix - x^2 - \sqrt{\frac{a_2}{2\mu(\sqrt{E} + \sqrt{E'})^2}} \frac{2}{3} i \frac{a_3}{a_2^2} x^3 \right. \\ \left. + \frac{a_2}{2\mu(\sqrt{E} + \sqrt{E'})^2} \frac{1}{3} \frac{a_4}{a_2^3} x^4 + \dots \right\} dx. \end{aligned}$$

If we assume now that

$$\frac{\sqrt{E} - \sqrt{E'} - \mu(\sqrt{E} + \sqrt{E'})}{\sqrt{2\mu a_2}} = \eta \quad (28)$$

is finite, while  $2\mu(\sqrt{E} + \sqrt{E'})^2/a_2 \rightarrow \infty$ , and expand the integrand in power series, we find that leading term reduces to a standard form.<sup>(9)</sup> The value of the integral can be obtained easily from the integral

$$\int_{-\infty}^{\infty} dx \exp [-2i\eta x - x^2] = \sqrt{\pi} \exp (-\eta^2)$$

by integration with respect to the parameter  $\eta$ . The constant of integration is determined from consideration of the value of the integral for large positive  $\eta$ . Then the method of steepest descent shows that the integral vanishes when the path of integration is below the pole. We obtain, thus,

$$\int \frac{dx}{ix} \exp [-2i\eta x - x^2] = \pi(1 - \operatorname{erf} \eta) \quad (29)$$

Integration of succeeding terms is elementary. Collecting the terms, we obtain for  $E > E'$ ,

$$\begin{aligned}
\sigma(E \rightarrow E') = (\sigma_b/8\mu E) \left\{ 1 - \operatorname{erf} \eta - \sqrt{\frac{1}{\pi}} \sqrt{\frac{a_2}{2\mu(\sqrt{E} + \sqrt{E'})^2}} e^{-\eta^2} \cdot \left[ 1 + \frac{a_3}{3a_2^2} - \frac{2a_3}{3a_2^2} \eta^2 \right] \right. \\
+ \sqrt{\frac{1}{\pi}} \left( \frac{a_2}{2\mu(\sqrt{E} + \sqrt{E'})^2} \right) e^{-\eta^2} \left[ \left( 1 - \frac{2}{3} \frac{a_3}{a_2^2} - \frac{1}{2} \frac{a_4}{a_2^2} + \frac{5}{6} \frac{a_3^2}{a_2^4} \right) \eta \right. \\
\left. \left. + \left( \frac{1}{3} \frac{a_4}{a_2^2} - \frac{10}{9} \frac{a_3^2}{a_2^4} \right) \eta^3 + \frac{2}{9} \frac{a_3^2}{a_2^4} \eta^5 \right] + \dots \right\} . \quad (30)
\end{aligned}$$

Equation (30) is considered unsatisfactory and not usable when  $\eta$  becomes so large and positive that the second term is larger in absolute value than the first. Neglected values are considered vanishingly small. In practice, we have neglected the last term for simplicity, and we have used Eq. (30) only for downscattering. Upscattering has been obtained from Eq. (30) by means of detailed balance:

$$\sigma(E' \rightarrow E) = \sigma(E \rightarrow E') \frac{E}{E'} \exp [-(E - E')/kT] .$$

For large energies  $\sigma_{\text{tr}}(E \rightarrow E')$  can be calculated in a very similar way. Direct integration using Eq. (2) for  $\sigma(E \rightarrow E', \theta)$  gives

$$\begin{aligned}
\sigma_{\text{tr}}(E \rightarrow E') = \int \sigma(E \rightarrow E', \theta) (1 - \cos \theta) 2\pi \cos \theta = (\sigma_b/8\pi\mu E) \int \frac{dt \exp \left[ -i(E - E') \left( t + \frac{i}{2kT} \right) \right]}{G(t) - g(0)} \\
\cdot \left\{ \left[ 2 - \frac{1}{2\mu\sqrt{E}E'} [G(t) - g(0)] \right] \exp [\mu(\sqrt{E} + \sqrt{E'})^2 (G(t) - g(0))] \right. \\
\left. - \frac{1}{2\mu\sqrt{E}E'} [G(t) - g(0)] \exp [\mu(\sqrt{E} - \sqrt{E'})^2 (G(t) - g(0))] \right\} .
\end{aligned}$$

Here again the integral of the second term is very small, and we can evaluate the first term by the same procedure as previously. An additional singular integral is encountered and is evaluated by integrating Eq. (29) with respect to the parameter  $\eta$ :

$$\int \frac{dx}{x^2} \exp (-2i\eta x - x^2) = 2\pi \left\{ \eta [1 - \operatorname{erf} \eta] - \frac{1}{\sqrt{\pi}} \exp (-\eta^2) \right\} .$$

The result of this integration is a sum of two series. The first one is just twice the series of Eq. (30), representing predominantly backward scattering for  $E - E' \gtrsim \mu(\sqrt{E} + \sqrt{E'})^2$ . The second series represents the deviation

from backward scattering and tends to cancel the value of the first series when  $E' \rightarrow E$  and scattering becomes nearly forward. Thus, simultaneously with Eq. (30), we may evaluate also

$$\sigma_{tr}(E \rightarrow E') = (\sigma_b/4\mu E) \left( 1 - \operatorname{erf} \eta - \sqrt{\frac{a_2}{2\pi\mu(\sqrt{E} + \sqrt{E'})^2}} \cdot e^{-\eta^2} \left[ 1 + \frac{a_3}{3a_2^2} - \frac{2a_3}{3a_2^2} \eta^2 \right] + \dots \right. \\ \left. - \sqrt{\frac{(\sqrt{E} + \sqrt{E'})^2 a_2}{8\mu E E'}} \left\{ \eta(\operatorname{erf} \eta - 1) + \frac{1}{\sqrt{\pi}} e^{-\eta^2} + \sqrt{\frac{a_2}{2\pi\mu(\sqrt{E} + \sqrt{E'})^2}} \right. \right. \\ \left. \left. \left[ \sqrt{\pi}(\operatorname{erf} \eta - 1) + \frac{a_3}{3a_2^2} \eta e^{-\eta^2} \right] + \dots \right\} \right) \quad (31)$$

### C. Integration over Final Energies and Averaging over Initial Energies

To develop multigroup scattering cross sections, we numerically integrate over final energies  $E'$  and average over initial energies  $E$  by means of Simpson's rule. Thus, in every energy group there has to be an even number of elementary intervals. At first, integrations over  $E'$  are performed for every value of  $E$ . The results of these integrations, for every value of  $E$ , are inelastic cross sections for every energy group and scattering contribution to the transport cross section  $\sigma'_{tr}$ . To obtain the latter, we integrate over  $E'$  of Eqs. (23) or (31) and add the elastic contribution Eq. (24). To economize the calculations, for every pair of values,  $E$  and  $E'$ , the evaluation of inelastic cross section and transport cross section for up- and down-scattering is done at the same time, and the results are multiplied with appropriate coefficients and accumulated. Integration begins with  $E = E' = \Delta\omega$ . Then  $E$  is kept the same and  $E'$  increases till maximum value is reached or the asymptotic formula Eq. (30) fails and the cross section is considered negligible for larger values of  $E'$ . At the end of this step, we have a complete set of cross sections for  $E = \Delta\omega$ . In the next step, we start with  $E = E' = 2\Delta\omega$  and end up with a complete set of cross sections for  $E = 2\Delta\omega$ . We continue in this way, always starting evaluation on the diagonal, till the maximum value of  $E$  is reached.

After finishing integration over  $E'$ , with the first value of  $\ell$ , we pick up the next value of  $\ell$ , as explained in Appendix C. Interpolation takes place for new values of constants  $f^{(1)}$ ,  $g(0)$ ,  $a_2$ , and  $a_3$ ; we repeat the calculations of Chapter V sections A and B, and integrate over final energy  $E'$ . The results of this integration are immediately multiplied with appropriate weighting factor for each  $\ell$  and immediately added to the previous values.

Final results may be used to obtain standard multigroup cross-section sets for reactor regions having various flux shapes. In this, last, part of the procedure, the complete transport cross section:

$$\sigma_{tr} = \sigma'_{tr} + \sigma_c$$

is calculated. The capture cross section is assumed to be proportional to  $E^{-1/2}$ , and its value for 2,200 m/sec neutrons is assumed as given. Then  $\sigma_c$ , the diffusion coefficient ( $1/3 \sigma_{tr}$ ), and the inelastic scattering cross sections for every group of final energy  $E'$  are averaged in every group of initial energy  $E$ , weighting each with a chosen flux. So far three forms of the flux have been chosen in each group:

1. Hardened Maxwellian:

$$\phi \propto (CE/kT) \exp(-CE/kT),$$

where  $C$  is a number somewhat larger than one. This form is convenient for groups of lower energy.

2. The flux is assumed to be proportional to the  $N$ -th power of energy:

$$\phi \propto (E/kT)^N$$

3. The flux is given numerically for every value of energy within the group, for which cross sections are calculated.

Calculation of the cross sections for every couple of  $E$  and  $E'$  that can be expressed in integral multiples of  $\Delta\omega$  may be too time consuming and, indeed, unnecessary if energies are large. From the leading term in Eq. (30) we see that the extent of the drop-off region at large energies is proportional to the square root of the initial energy. Thus, at high energies, the elementary interval of integration may be allowed to increase proportionally to the square root of energy. The increase, however, must be such that the number of elementary integration intervals in every group is even.

# Appendix A

## EVALUATION OF $c_{\nu}^{(n)}$

After a change of integration variable Eq. (14) can be written as

$$c_{\nu}^{(n)} = \frac{1}{\pi} \int_{-\infty}^{+\infty} \left( \frac{\sin x}{x} \right)^{2n} \exp(-2i\nu x) dx \quad (A-1)$$

This integral can be evaluated exactly by changing slightly the path of integration to avoid  $x = 0$ , expanding  $(\sin x)^{2n}$  in power series of  $\exp ix$  and finding the residue of each term. In this way we obtain<sup>(10)</sup>

$$c_{\nu}^{(n)} = \frac{1}{(2n-1)!} \left[ (n-\nu)^{2n-1} - \binom{2n}{1} (n-\nu-1)^{2n-1} + \binom{2n}{2} (n-\nu-2)^{2n-1} - \binom{2n}{3} (n-\nu-3)^{2n-1} + \dots + (-1)^{n-\nu-1} \binom{2n}{n-\nu-1} (1)^{2n-1} \right] \quad (A-2)$$

We see also while deriving this formula that  $c_{\nu}^{(n)} = 0$  for  $|\nu| \geq n$ . Further, we can show simply, starting with Eq. (A-1) and summing over all integer values of  $\nu$ , that

$$c_0^{(n)} + 2 \sum_{\nu=1}^{n-1} c_{\nu}^{(n)} = 1 \quad (A-3)$$

Table A-1 contains values of  $c_{\nu}^{(n)}$  derived by direct evaluation of Eq. (A-2). All values contained therein satisfy Eq. (A-3) coincident with 8-place accuracy. For  $1 \leq n \leq 11$ , values of  $(2n-1)!$   $c_{\nu}^{(n)}$  were found exactly. By this time, however, the calculations were involving numbers as high in order of magnitude as  $10^{22}$ . The ensuing calculations ( $12 \leq n \leq 25$ ) were continued with the intention of guaranteeing only 8-place accuracy.

n	$\nu$	Digits Lost
5	0	1
10	0	3
15	0	4
20	0	6
25	0	8
25	5	4
25	10	1
25	15	1
25	20	0

Since the series in Eq. (A-2) is alternating in sign and since the binomial coefficients increase with successive terms, there was a tendency toward cancellation dependent upon the values of  $n$  and  $\nu$ . For a given value of  $n$  this tendency reduced with increasing values of  $\nu$ . It increased, however, with increasing values of  $n$ . The adjoining tabulation is intended to exemplify this effect. The third column designates the number of digits lost from the largest term in the respective series.

Table A-1

COEFFICIENTS OF  $c_{\nu}^{(n)}$ 

n	$\nu$	$c_{\nu}^{(n)} \times 10^4$	q	n	$\nu$	$c_{\nu}^{(n)} \times 10^4$	q	n	$\nu$	$c_{\nu}^{(n)} \times 10^4$	q	n	$\nu$	$c_{\nu}^{(n)} \times 10^4$	q	n	$\nu$	$c_{\nu}^{(n)} \times 10^4$	q	
1	0	1.000 0000	0	10	4	2.291 8669	3	14	12	2.322 6137	20	18	4	1.601 6790	2	21	2	1.207 8808	1	
					5	1.134 1319	4		13	9.183 6902	29		3	5.936 5216	1	23	16	8.548 1443	19	
2	0	6.666 6667	1		6	2.069 3993	6			2.510 4851	1		6	5.105 4171	4		16	8.580 3055	22	
		1.666 6667	1		7	9.468 3295	9	15	0	2.650 4851	1		7	5.025 2908	5		18	2.371 1955	25	
					8	4.309 8160	12			2.063 0735	1		8	3.177 8930	6		19	1.034 7610	29	
3	0	5.500 0000	1		9	8.220 6352	18			2.141 4048	1		9	1.228 4490	7		20	2.469 7009	35	
		2.166 6667	1		1	2.926 2269	1			4.210 9720	2		10	2.708 7243	9		21	2.941 2906	43	
		8.333 3333	3	11	0	2.952 8008	1			5.174 3122	3		11	3.084 8030	11		22	8.359 6509	57	
					1	2.001 9429	1			6.149 4195	4		12	1.562 9703	13					
4	0	4.793 6507	1		2	2.545 1983	2			7.487 4195	4		13	2.775 4497	16		24	0.198 4680	1	
		1.2363 0952	1		3	4.351 1077	3			8.304 3229	6		14	1.140 7854	19			1.757 4395	1	
		2.380 9523	2		4	5.246 1241	4			9.354 3851	9		15	4.841 7295	24			1.212 3671	1	
		1.984 1269	4		5	7.486 5178	6			10.209 1861	11		16	3.325 1955	30			6.512 8957	2	
					6	8.158 7910	8			11.326 5913	14		17	9.677 5929	41			2.713 8567	2	
5	0	4.304 1776	1		7	2.038 3683	10			12.760 2485	18	19	0	2.232 9949	1			5.871 5228	3	
		2.421 4925	1		8	4.104 7002	14			13.671 9897	23		1	1.911 3383	1			6.145 1351	3	
		4.025 5731	2		9	1.957 2941	20			14.130 9962	31		2	1.196 8001	1			7.398 1124	4	
		3.183 3774	3										3	3.456 4285	2			8.575 9006	5	
		4.755 7319	6										4	4.796 7629	3			9.572 3232	6	
				12	0	2.803 2619	1	16	0	2.431 5338	1		5	4.222 6622	3	22	0	2.076 2933	1	
					1	2.195 6830	1			2.160 7955	1		6	6.966 6316	4			1.814 8278	1	
					2	3.297 4159	2			3.4561 4397	2		7	7.891 4769	5			12.7903 5283	10	
					3	4.952 3095	4			4.210 4051	2		8	5.958 5751	6			13.844 3836	11	
					4	5.473 1411	4			5.124 1798	3		9	2.883 1681	7			2.672 6133	13	
					5	6.022 5084	5			6.292 9595	4		10	8.474 0266	9			2.238 8184	15	
					6	7.367 9867	7			7.1659 4236	5		11	1.404 9088	10			9.821 6928	18	
7	0	3.653 7086	1		7	2.634 6734	9			8.676 1530	7		12	1.181 4668	12			1.958 2622	20	
		2.417 8841	1		8	3.633 8306	12			9.1430 3578	8		13	4.296 4269	15			1.433 7300	23	
		2.679 4962	2		9	3.244 8470	16			10.134 6824	10		14	5.234 1896	18			2.743 7287	27	
		3.712 2366	3		10	3.868 1701	23			11.5483 9277	13		15	1.371 1634	21			7.558 1532	32	
		2.376 2984	4							12.584 3506	16		16	3.271 4818	26			1.028 0904	37	
		5.1313 3086	6	13	0	2.694 5977	1			13.7510 8451	20		17	9.985 5722	33			5.441 7959	46	
		1.605 9043	10		1	2.149 8081	1			14.261 6085	25		18	7.265 4602	44			3.866 6285	60	
					2	1.086 5617	1			15.1216 1250	34									
					3	3.427 9354	2			0	2.176 8871	1	20	0	2.176 8871	1			0.198 5379	1
					4	6.573 0455	3	17	0	2.359 5908	1		1	1.877 6616	1			1.730 5825	1	
					5	7.344 9205	4			1.193 6513	1		2	1.203 3457	1			2.121 5719	1	
					6	4.485 9427	5			2.117 0460	1		3	5.707 0915	2			3.672 5185	2	
					7	1.356 7864	6			3.4805 0747	2		4	1.989 2809	2			4.2860 7194	2	
					8	1.174 3915	8			4.1405 5748	2		5	5.044 6835	3			5.9700 5958	3	
					9	7.116 6678	11			5.2753 9276	3		6	9.178 7613	4			6.2530 7828	3	
					10	5.456 8031	14			6.3586 6647	4		7	1.176 2589	4			7.5071 0586	4	
					11	2.163 2358	18			7.3003 3305	5		8	1.036 1473	5	23	0	2.030 9591	1	
					12	6.446 9503	26			8.1544 3189	6		9	6.076 5838	7			9.8805 3919	6	
9	0	3.230 0939	1							9.4572 7586	8		10	2.174 955	8			10.400 4034	7	
		1.237 3674	1							10.7108 2216	10		11	5.130 2689	10			11.486 6942	8	
		2.731 1640	2	14	0	2.597 6616	1			11.5048 2095	12		12	6.446 3349	12			12.911 1153	9	
		3.1607 3921	2		1	2.105 5470	1			12.8311 8221	14		13	4.029 0594	14			13.5531 2635	11	
		4.1330 8125	3		2	3.833 0006	2			13.4475 7895	18		14	1.056 6743	16			14.1042 1160	12	
		5.182 1548	5		3	8.330 0036	3			15.9401 6643	22		15	8.858 2888	20			15.208 3763	14	
		6.354 9441	7		4	8.330 7168	3			16.4802 4570	28		16	1.480 8858	23			16.7998 9178	17	
		7.684 5271	10		5	5.110 0319	3			17.986 7421	38		17	2.695 1612	35			17.2724 4682	19	
		8.2811 4572	15		6	6.839 5093	5			18.2293 6771	1		18	3.899 4517	5			18.4113 3833	22	
					7	3.656 2192	6			19.4902 4697	47		19	5.365 1324	6			19.2200 0616	25	
					8	7.545 8578	8	18	0	2.293 6731	1		19	4.902 4697	47			20.2917 6887	29	
					9	6.381 8009	10			1.946 4040	1		21	0	2.124 8065	1			21.5209 7672	34
					10	1.634 7825	12			2.1187 8567	1			2	5.366 7858	12			22.3934 0199	40
					11	6.999 6612	16			3.5182 9380	2			1	1.845 5211	1			23.9524 7548	49
																		24.1643 9747	63	

If  $n$  is large and  $c_{\nu}^{(n)}$  appreciable, the Central Limit Theorem can be used.<sup>(6)</sup> The values predicted by the Central Limit Theorem are obtained by the following replacement in Eq. (A-1):

$$\frac{\sin x}{x} \rightarrow \exp\left(-\frac{1}{6} x^2\right),$$

so that in this approximation

$$c_{\nu}^{(n)} \approx \frac{1}{\pi} \int_{-\infty}^{\infty} dx \exp\left(-\frac{1}{6} x^2 - 2i\nu x\right) = \sqrt{\frac{3}{\pi n}} \exp\left(-\frac{3\nu^2}{n}\right).$$



Numerical values obtained with this approximation with  $n = 25$  have been computed and displayed along with the correct values in Table A-II. Agreement is definitely poor for larger values of  $\nu$ .

Table A-II

EVALUATION OF  $c_{\nu}^{(25)} \times 10^9$  BY ALTERNATIVE METHODS

$\nu$	By the Longhand Method	By the Theorem of Central Limits	By the Method of Steepest Descent	$-\frac{1}{2n} \left[ \frac{1}{8} \frac{f_0'' V}{(f_0'')^2} - \frac{5}{24} \frac{(f_0'')^2}{(f_0'')^3} \right]$
	$\underline{q}$	$\underline{q}$	$\underline{q}$	
0	1.949 1	1.954 1	1.949 1	0.0030
1	1.731 1	1.733 1	1.730 1	0.0030
2	1.212 1	1.209 1	1.210 1	0.0030
3	6.673 2	6.637 2	6.659 2	0.0031
4	2.881 2	2.865 2	2.883 2	0.0031
5	9.701 3	9.730 3	9.670 3	0.0031
6	2.531 3	2.599 3	2.527 3	0.0032
7	5.071 4	5.462 4	5.048 4	0.0032
8	7.719 5	9.029 5	7.745 5	0.0033
9	8.805 6	1.174 5	8.829 6	0.0033
10	7.400 7	1.201 6	7.419 7	0.0034
11	4.487 8	9.662 8	4.464 8	0.0035
12	1.911 9	6.115 9	1.902 9	0.0036
13	5.531 11	3.045 10	5.534 11	0.0037
14	1.042 12	1.192 11	1.041 12	0.0038
15	1.208 14	3.673 13	1.207 14	0.0038
16	7.999 17	8.902 15	7.965 17	0.0037
17	2.724 19	1.697 16	2.710 19	0.0035
18	4.113 22	2.545 18	4.096 22	0.0031
19	2.200 25	3.002 20	2.200 25	0.0026
20	2.918 29	2.785 22	2.914 29	0.0022
21	5.210 34	2.033 24	5.218 34	0.0018
22	3.934 40	1.167 26	3.930 40	0.0017
23	9.255 49	5.272 29	9.234 49	0.0017
24	1.644 63	1.873 31	1.645 63	0.0017

A better approximation procedure for the whole range of values  $\nu/n$  would be the Method of Steepest Descent. By this method, the extremum of the function  $2n \ln \frac{\sin x}{x} - 2\nu x i$  is obtained, the path of integration is shifted to pass through this maximum, and the integral is evaluated under the assumption that  $\nu/n$  remains constant while  $n$  increases towards infinity. The extremum  $\tau_0$  of our function is found to lie on an imaginary axis, and its position is obtained by differentiating

$$f(\tau) = \ln \operatorname{sh} \tau - \ln \tau, \quad ,$$

and, equating the result to  $\nu/n$ ,

$$f'(\tau_0) = \coth \tau_0 - \frac{1}{\tau_0} = \frac{\nu}{n}. \quad (A-4)$$

From this equation  $\tau_0$  was found for every value of  $\nu/n$ , and  $c_{\nu}^{(n)}$  was computed according to the formula

$$\ln c_{\nu}^{(n)} = 2nf_0 - \frac{1}{2} \ln n\pi f_0 + \frac{1}{2n} \left[ \frac{1}{8} \frac{f_0^{IV}}{(f_0')^2} - \frac{5}{24} \frac{(f_0''')^2}{(f_0'')^3} \right] + \dots, \quad (\text{A-5})$$

where the values of  $f$  and its derivatives have to be evaluated at  $\tau = \tau_0$ . The results of this calculation with  $n = 25$  are also displayed in Table A-II. It is evident that this procedure gives reasonable agreement over the whole range of values of  $\nu/n$ . The disagreement between these approximate values and the exact values is due, at least in part, to insufficient accuracy in the determination of  $\tau_0$  from Eq. (A-4) (four places were used most of the time). In Table A-II we have given also the value of the last term used in Eq. (A-5). One certainly should expect the fractional error in  $c_{\nu}^{(n)}$  due to truncation of series Eq. (A-5) to be less than the last term used.

## Appendix B

THE METHOD OF STEEPEST DESCENT AND CONVERGENCE  
OF MULTIPHONON EXPANSION

For large energy values we have used formulae based upon a Taylor series expansion of  $G$  about the point  $t = -i/2kT$ . This expansion gave reasonable approximation in the vicinity of  $E - E' \approx \mu(\sqrt{E} + \sqrt{E'})^2$ ; however, the error is considerable for other values of the ratio  $E'/E$ . As we have seen in Appendix A, we can expect good accuracy for any ratio  $E'/E$  if we use an expansion of  $G$  about a variable point  $t = -i\tau$  chosen to obtain the steepest descent in the integrand. Formulae obtained by this method are difficult to evaluate numerically. But they present a clear picture of the cross section at large momentum transfers, when multiphonon expansion requires many terms.

In the method of steepest descent, we use a Taylor expansion of  $G(t)$  about a variable point,  $t = -i\tau$ , on the imaginary axis:

$$G(t) = G + G'i(t+i\tau) - \frac{1}{2!} G''(t+i\tau)^2 - \frac{1}{3!} G'''(t+i\tau)^3 + \frac{1}{4!} G^{iv}(t+i\tau)^4 + \dots \quad (B-1)$$

where coefficients

$$G^{(n)} = \frac{d^n}{d\tau^n} \int \frac{d\omega}{\omega} \frac{\rho(\omega)}{\omega \sinh \omega/2kT} \exp i\omega\tau$$

are all positive. To evaluate  $\sigma(E \rightarrow E', \theta)$ , a value of  $\tau$  is chosen so that the integrand in Eq. (2) is an extremum:

$$E - E' = \mu\gamma G' \quad (B-2)$$

Upon introducing a new variable of integration,

$$x = \sqrt{\frac{1}{2} \mu\gamma G''} (t + i\tau)$$

and expanding the integrand in Eq. (2) in powers of  $\sqrt{G''/2\mu\gamma}$ , we obtain

$$\begin{aligned} \int dt \exp \left\{ -(E - E') i \left( t + \frac{i}{2kT} \right) + \mu\gamma [G(t) - g(0)] \right\} &= \sqrt{\frac{2}{\mu\gamma G''}} \int dx \exp \\ \left\{ -\mu\gamma G' \left( \tau - \frac{1}{2kT} \right) + \mu\gamma [G - g(0)] - x^2 - \sqrt{\frac{G''}{2\mu\gamma}} \frac{2}{3} i \frac{G'''}{G''^2} x^3 + \left( \frac{G''}{2\mu\gamma} \right) \frac{1}{3} \frac{G^{iv}}{G''^3} x^4 + \dots \right\} \\ &= \sqrt{\frac{2}{\mu\gamma G''}} \exp \left\{ \mu\gamma \left[ -G' \left( \tau - \frac{1}{2kT} \right) + G - g(0) \right] \right\} \cdot \int dx e^{-x^2} \\ \left\{ 1 - \sqrt{\frac{G''}{2\mu\gamma}} \frac{2}{3} i \frac{G'''}{G''^2} x^3 + \left( \frac{G''}{2\mu\gamma} \right) \left[ \frac{1}{3} \frac{G^{iv}}{G''^3} x^4 - \frac{2}{9} \frac{G''^2}{G''^4} x^6 \right] + \dots \right\} \end{aligned}$$

Thus, after integration, we have

$$\begin{aligned} \sigma(E \rightarrow E', \theta) = & (\sigma_b / 8 \pi^2) (E' / E)^{1/2} (4 \pi / 2 \mu \gamma G'')^{1/2} \\ & \cdot \exp \left\{ \mu \gamma \left[ - G' \left( \tau - \frac{1}{2kT} \right) + G - g(0) \right] \right\} \\ & \cdot \left\{ 1 + \frac{G''}{2 \mu \gamma} \left[ \frac{3}{12} \frac{G^{iv}}{G''^3} - \frac{5}{12} \frac{G'''^2}{G''^4} \right] + \dots \right\} , \end{aligned} \quad (B-3)$$

a convenient expression for large momentum transfers when multiphonon expansion becomes impractical.

By contemplation of Eq. (B-3) we can make a judgment on the number of phonons necessary to obtain the differential cross section. It is reasonable to expect that, when Eq. (B-3) is approximately valid, this number is roughly equal to the number of terms required in the power series expansion of  $\exp(\mu \gamma G)$ . Thus the largest contribution is expected for  $n = \mu \gamma G$ . Since

$$\frac{d^2}{dn^2} \ln \frac{1}{n!} (\mu \gamma G)^n \approx - \frac{1}{\mu \gamma G} ,$$

one would obtain the value of the exponential within about two per cent if one uses

$$n_{\max} = (\sqrt{\mu \gamma G} + 1)^2 . \quad (B-4)$$

Actually, applying the method of steepest descent to each term of the phonon expansion we see that the "half-width" is somewhat smaller and that

$$n_{\max} = \left[ \sqrt{\mu \gamma G} + \sqrt{1 - (G'^2 / G G'')} \right]^2 \quad (B-5)$$

would be satisfactory. Thus, for large  $\mu \gamma G$  only comparatively small number of phonons at the end of expansion contribute significantly towards the sum. The second term in Eq. (B-3) is then

$$\frac{1}{12} \frac{1}{\mu \gamma G} \frac{3 G^{iv} G'' - 5 G'''^2}{2 G''^3} G \approx \frac{1}{12 n_{\max}} \frac{3 G^{iv} G'' - 5 G'''^2}{2 G''^3} G .$$

If  $\tau$  (and the ratio  $|E - E'| / \mu \gamma$ ) is very large, this term is approximately equal to  $- 1 / 12 n_{\max}$ , and Eq. (B-3) joins quite smoothly our expansion of  $n_{\max} (= 25)$  phonons. However, for smaller  $\tau$  this term can be considerably larger in absolute value. In such cases, one could try to approximate every multiphonon term by a Gaussian (or modified Gaussian) distribution. And, indeed, one can demonstrate<sup>(2)</sup> that such an approximation is good for individual terms. However, the number of terms required for evaluation of  $\sigma(E \rightarrow E', \theta)$

is large. And, since the Gaussian distribution depends only on the second derivative of  $G$ , it cannot be depended upon to yield correctly the second term of Eq. (B-3), which requires knowledge of higher derivatives. Thus, at present we remain with the unpleasant need to evaluate exactly many terms in multiphonon expansion in some cases (as for graphite at high temperatures) if we want to join smoothly the method of steepest descent to the multiphonon expansion.

In evaluation of  $\sigma(E \rightarrow E')$  we encounter also both multiphonon expansion and an asymptotic expression. Multiphonon expansion here needs to be used also at very high initial energies if energy loss is not large. When  $|E - E'|$  is fixed finite and  $\mu(\sqrt{E} + \sqrt{E'})^2$  keeps increasing, we can no longer neglect the second term in Eq. (26). (The asymptotic expansion for it does not "converge.") Indeed the appropriate procedure for such a case would be to neglect the first term, since  $\mu(\sqrt{E} + \sqrt{E'})^2$  is large and  $[G(t) - g(0)]$  is negative. Since

$$\mu(\sqrt{E} - \sqrt{E'})^2 = \mu(E - E')^2 / (\sqrt{E} + \sqrt{E'})^2$$

is small when  $|E - E'|$  is finite and  $(\sqrt{E} + \sqrt{E'})^2$  is large, we can expand our integrand in a power series in  $\mu$ :

$$\begin{aligned} - \frac{\exp \left\{ \mu(\sqrt{E} - \sqrt{E'})^2 [G(t) - g(0)] \right\}}{G(t) - g(0)} &= - \frac{1}{G(t) - g(0)} - \frac{\mu(E - E')^2}{(\sqrt{E} + \sqrt{E'})^2} \\ &\quad - \frac{1}{2} \frac{\mu^2(E - E')^4}{(\sqrt{E} + \sqrt{E'})^4} [G(t) - g(0)] - \dots \end{aligned}$$

and integrate term by term. Fourier transformation of

$$\frac{1}{g(0) - G(t)} - \frac{1}{g(0)}$$

will now give the main inelastic contribution. Thus, the inelastic cross section is approximately equal to

$$\begin{aligned} \sigma(E \rightarrow E') &\approx (\sigma_b / 8\pi\mu E) \int dt \exp - (E - E') \left( t + \frac{i}{2kT} \right) \left[ \frac{1}{g(0) - G(t)} - g(0) \right] \\ &= (\sigma_b / 8\pi\mu E) \frac{1}{g(0)} \cdot \int dt \exp \left[ - (E - E') \left( t + \frac{i}{2kT} \right) \right] \sum_{n=1}^{\infty} \left[ \frac{G(t)}{g(0)} \right]^n \dots \end{aligned} \quad (B-6)$$

Since the nearest zero of  $g(0) - G(t)$  is located at  $t = -i/2kT$ , for large values of  $(E - E')$ , Eq. (B-6) gives correct value for the inelastic cross section:  $(\sigma_b / 4\mu E)$ . The same value, of course, is obtained also from Eq. (30) when  $\mu(\sqrt{E} + \sqrt{E'})^2$  is large and  $\eta$  is large negative.

Applying the method of steepest descent to each term of Eq. (B-6), we see that the largest term,  $S_n$ , is obtained for  $n = g(0) |E-E'|$ . If  $n$  is large,

$$\frac{d^2}{dn^2} \ln S_n \approx -\frac{1}{g(0) |E-E'|} \frac{1}{a_2 g(0) - 1}.$$

Thus

$$n_{\max} = \left( \sqrt{|E-E'| g(0)} + \sqrt{a_2 g(0) - 1} \right)^2 \quad (\text{B-7})$$

terms should be satisfactory for the evaluation of Eq. (B-6). When the first term in Eq. (B-7) becomes smaller than the second, the number of phonons given by Eq. (B-7) is insufficient. It seems that one needs about  $4[a_2 g(0) - 1]$  terms even for small energy loss. Moreover, we believe also that for  $|E-E'| \approx a_2$  Eq. (B-6) will have approached its limiting value. Thus, if we use  $n_{\max} \approx 4a_2 g(0)$ , we should have a fairly smooth transition between multi-phonon expansion and the asymptotic expression.

## Appendix C

## AVERAGING OVER DIRECTIONS OF POLARIZATION FOR GRAPHITE

For calculation of the scattering cross section from polycrystalline graphite  $\rho$  used in the initial formula, Eq. (2), can be represented as an interpolation:

$$\rho = \rho_1 \ell^2 + \rho_2 (1 - \ell^2) \quad , \quad (C-1)$$

between frequency distribution perpendicular to the planes of crystal lattice,  $\rho_1$ , and frequency distribution in the planes,  $\rho_2$ .<sup>(3)</sup> The scattering cross section then is obtained upon integration of the final results for cross section over the directions of lattice orientation,  $0 \leq \ell \leq 1$ . Actually, in Chapter II, calculations of  $f_j^{(1)}$ ,  $g(0)$ ,  $a_2$ , and  $a_3$  are performed separately for both sets of values  $\rho_{1j}$  and  $\rho_{2j}$ , and a common scaling factor is determined. Then, for every needed value of  $\ell$  appropriate quantities  $f_j^{(1)}$ ,  $g(0)$ ,  $a_2$ , and  $a_3$  are determined by an interpolation procedure, Eq. (C-1).

Since evaluation of the cross section is a quite elaborate and long process, we have chosen a Gaussian<sup>(11,12)</sup> integration process. We notice here that our integrand is an even function of  $\ell$ . Thus, if we would expand the limits of integration from -1 to +1, we would not need actually to calculate the values of the integrand for negative values of  $\ell$ . Thus (considering only Gaussian integration schemes with even numbers of values for  $\ell$ ), we see that by actually calculating the integrand value at  $n$  points we approximate the integrand with a polynomial of degree  $4n-1$ . (Or, we can say that we approximate our integrand with a polynomial which coincides with the integrand at  $3n$  points, of which  $2n$  are chosen arbitrarily.) We can see easily that this integration scheme is exact for a Placzek expansion (in powers of  $\mu$ ) that neglects terms with  $\mu^{2n}$  and higher powers. It is also exact for expansion of  $S$  in a power series of  $\alpha$  up to and including the term with  $\alpha^{2n-1}$ . These considerations lead us to believe that only a few points are needed for quite satisfactory integration over  $\ell$ . Indeed, in several previous calculations graphite has been approximated by a cubic crystal, using only the total frequency spectrum, and thus essentially using only one point in our Gaussian integration scheme. Upon contemplating the increase of accuracy obtained by using the Placzek expansion, we believe that the additional labor required in using at least two points is well justified. The values of  $\ell$  and corresponding weighting coefficients<sup>(13)</sup> have been given in Table C-I.

Table C-I

CONSTANTS FOR GAUSSIAN INTEGRATION  
OF AN EVEN FUNCTION

n	$\ell_i^{(n)}$	$W_i^{(n)}$
1	0.57735027	1.00000000
2	0.33998104 0.86113631	0.65214515 0.34785485
3	0.23861919 0.66120939 0.93246951	0.46791393 0.36076157 0.17132449
4	0.18343464 0.52553241 0.79666648 0.96028986	0.36268378 0.31370665 0.22238103 0.10122854
5	0.14887434 0.43339539 0.67940957 0.86506337 0.97390653	0.29552422 0.26926672 0.21908636 0.14945135 0.06667134







