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1	Microstructure Evolution of U–Zr System in A Thermal Cycling Neutron Diffraction Experiment:
2	I. Extruded U–10Zr (wt. %)
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9	Abstract
10	Microstructure evolution of 800°C extruded U-10Zr (wt. %) fuel during thermal cycling (30-800°C
11	with a ~9 hour hold at 600°C during cooling) was investigated using in situ neutron diffraction. Analysis
12	was performed using Rietveld texture and crystal structure refinements from time-of-flight neutron

13 diffraction data, with a focus on the evolution of textures and lattice parameters as a function of

14 temperature. The α -U phase exhibits lattice contraction with increasing temperature along the *b* lattice

15 direction and lattice expansion in the other directions while the other phases exhibit lattice expansion with

16 temperature in all directions. Contrary to the established phase diagram, the β -U phase is absent at the

17 temperatures of the thermal cycling. $(001)_{\alpha}$ and $(110)_{\gamma}$ plane normals are observed with a strong preferred

18 orientation along the extrusion direction. The variant selection of $(001)_{\alpha} || (110)_{\gamma}$ is observed for the first 19 time.

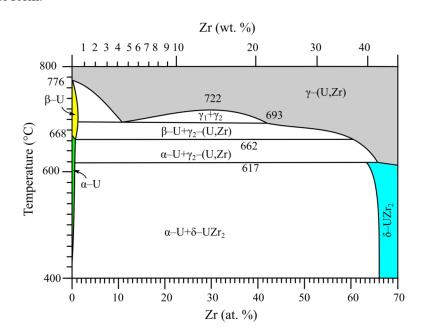
20 Keywords: U–10Zr; Extrusion; In–situ high temperature neutron diffraction; Texture; Lattice parameter

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24 **1. Introduction**

25 U-Zr-based nuclear fuel is a leading choice for sodium-cooled fast reactors, as it has advantages of 26 high fissile density, high thermal conductivity, ease of fabrication, and good compatibility with coolants 27 [1] ^[2] ^[3]. The U–Zr system is also the basis for transmutation fuels, allowing to burnup fission products 28 from spent fuels such as Pu, Np, Am [4] [5] [6]. The Zr present in U–Zr increases the solidus/liquidus 29 temperatures relative to pure uranium and imparts resistance to fuel-cladding chemical interaction. U-Zr 30 fuel is anticipated to be fabricated in rod geometry, which can be produced by different processes, 31 including injection casting [7] and extrusion [8]. Understanding the dimensional changes and evolution of the microstructure, in particular phase composition and texture, as a result of irradiation and thermal 32 33 cycling at elevated temperatures, is of paramount importance for the development and ultimately 34 licensing of this fuel form.



35

Figure 1. U-rich portion of the U–Zr phase diagram from Ref. [9]. U–10Zr (wt. %) is equivalent to U–23Zr (at. %).

39	The widely accepted equilibrium phase diagram of U–Zr is given in Ref. [9] and the U-rich portion
40	is shown in Figure 1. The U-Zr system contains four stable U-bearing phases (see [1] for detailed
41	discussion of the crystal structures), which are the high-temperature phases y-(U,Zr) (body centered
42	cubic [BCC]) and β –U (tetragonal), as well as the low–temperature phases α –U (orthorhombic) and
43	δ –UZr ₂ (hexagonal). γ –(U,Zr) is the solid solution between γ –U and β –Zr, stable in all concentrations and
44	separating into two BCC solid solutions (γ_1 and γ_2) at temperatures below 722°C. The solidus temperature

45 of γ -(U,Zr) increases with increasing Zr concentration from 1135°C (melting point of U) to 1855°C (melting point of Zr). For U–10Zr fuel, the solidus temperature is 1220°C, which is higher than the 46 melting temperature of pure U, 1135°C, thus providing an operational safety benefit for U-Zr over pure 47 48 U. The γ -(U,Zr), due to its cubic crystal structure, exhibits isotropic thermal expansion and isotropic 49 thermal conductivity. The α –U phase has anisotropic coefficients of thermal expansion for its crystal 50 directions, with the linear expansion at different temperatures in the a and c directions about 0.3% — 51 2.3% and 0.2% — 2.1%, respectively, while in the b direction it is about -0.002% — -0.05% [10]. 52 Deformation behavior of α -U is complex [11], as four slip modes and at least three twinning modes are 53 accounted for the deformation mechanism [12][13]. The behavior of U–10Zr alloy fuel under irradiation 54 is impacted by the presence of all these phases that occur over the temperature gradient present in fuel for 55 fast reactors operated under typical conditions. The outer radius of the fuel typically operates between 500 56 and 600°C, leaving a ring of fuel in the U–10Zr case in the α –U phase. Depending on operating 57 conditions and geometry, there may be a portion of the fuel above the α - β phase transformation 58 temperature (667°C) and a portion of the fuel fully in the γ phase (>693°C). The mobility of Zr is less in 59 α -U than in β -U, while the maximum concentration of Zr in the α and β phase is less than a few atom 60 percent (see [1] and references therein for a detailed discussion of the phases occurring in the U-Zr 61 system). However, Zr is fully miscible in the γ -(U,Zr) phase, therefore β -U is expected based on the 62 phase diagram in the intermediate radius of the fuel as the Zr atoms are migrating towards the center 63 radius, forming γ -(U,Zr). This phenomenon is known as constituent redistribution [14].

64 The U–10Zr fuel exhibits swelling asymmetry during irradiation, as it contains anisotropic 65 orthorhombic and hexagonal crystal structures. The strong anisotropy of these non-cubic crystal 66 symmetry phases results in anisotropic fuel swelling. In conjunction with the fabrication induced 67 preferred orientation, such as hot extrusion, this leads to anisotropic swelling in the bulk fuel. Geometric 68 change and deformation may be created by metal forming processes and needs to be carefully understood 69 and controlled. A previous study on the 600°C extruded (in the $[\alpha+\delta]$ region) U–10Zr fuel found a strong 70 texture with the α -(100) poles aligned parallel to the extrusion direction [15]. A higher extrusion 71 temperature in the γ phase region is desired to make the fuel easier to deform [8]. However, a systematic 72 investigation of hot extruded fuels in the high temperature γ phase region has not been performed.

The crystallographic texture of non-cubic crystal structures will affect bulk thermal expansion of fuel alloys arising from the significant anisotropy of thermal expansion at the crystallographic level. Detailed understanding of how the crystallographic textures evolve in relation to processing or operating temperature is of great importance for evaluating anisotropic swelling and optimizing fabrication routes. Most studies of crystallographic texture in U–Zr alloys have focused on measurements of low-temperature phases (e.g. [13][16]). This is because conventional techniques of texture analysis (e.g.,

79 X-ray diffraction and electron backscatter diffraction) can usually operate only at ambient temperature.

80 Investigations of high temperature phases β and γ are lacking as measuring these textures at high

81 temperature or quenching high temperature phases to room temperature is experimentally challenging.

82 Neutron diffraction enables such in situ studies.

83 The study described herein investigated the evolution of texture and lattice parameters during 84 thermal cycling and explored changes in preferred orientation patterns through the phase transformations 85 carried out in U-10Zr. The U-10Zr alloy was hot-extruded at 800°C before neutron diffraction. In order 86 to achieve an effective control of phase-relevant effects (e.g., fission gas swelling, constituent 87 redistribution) to develop this fuel form, a detailed understanding of the microstructural changes during 88 phase transformations is needed. Neutron diffraction, which uses penetrating radiation, has been 89 demonstrated to be a powerful technique for the measurement of bulk textures under nonambient 90 conditions, especially for materials that oxidize in air (e.g., [15][17]).

91

2. Material and Methods

92 **2.1. Material**

93 Uranium and Zr were initially cast into an alloy in an arc-melter within an argon atmosphere 94 glovebox (less than 10 ppm oxygen and 10 ppm water) with high purity argon as cover gas. After adding 95 the appropriate amount of each element in its pure metallic form, the alloy was cast into a button, and 96 flipped and re-melted at least three times to ensure homogeneity. The U-10Zr alloy was cast into a billet 97 36.8 mm in diameter followed by hot extrusion at a preheating temperature of 800°C into a pin of 11.4 98 mm in diameter. Zr cladding, comprised of pure Zr (ASTM grade 702), was co-extruded along with the 99 U-10Zr alloy. Details of the extrusion process are presented by Pace and Mackowiak [8]. Approximately 100 10 mm of length was cut from each pin for the neutron diffraction experiments.

101 **2.2. In-situ heating neutron diffraction**

102 In-situ heating neutron diffraction was performed on the time-of-flight high-pressure-preferred 103 orientation (HIPPO) diffractometer [18] at the pulsed spallation neutron source at the Los Alamos 104 Neutron Science Center (LANSCE). To collect the diffraction patterns under a $\sim 10^{-6}$ Torr vacuum at high 105 temperatures, a resistive furnace made of vanadium heating elements and heat shields was used. The 106 sample was held in vanadium sample holders, an element with negligible contribution to the diffraction 107 pattern and compatible with U–Zr alloys. The instrument provides a large angular detector coverage of 108 ~22.4% of 4π [19] with its detector banks at nominal diffraction angles of 140°, 120°, 90°, 60°, and 40°. 109 The large coverage in conjunction with the comparably short distance of ~ 8.9 m between neutron 110 moderator and sample provides very high neutron count rates, optimizing data collection time. The 111 sample was rotated three times around its vertical axis at -45° , 22.5°, and 45° to allow for texture

112 measurements or randomized crystal orientations when integrating data from all detector panels and 113 rotations, allowing for analysis assuming random powders with improved counting statistics.

114 The temperature was recorded by two thermocouples (one to control the furnace temperature, a 115 second one as an alarm thermocouple) located within the hot zone of the furnace. The deviation between 116 the two thermocouples was less than 2°C. The temperature reading, corresponding to the average of the 117 two thermocouple measurements, is shown in Figure 2. The annealing cycle started at 30°C. Using a ramp 118 rate of 1°C/min, the sample was heated to 200°C. Using a ramp rate of 20°C/min for higher temperature 119 changes, the sample was heated to 600°C and 650°C, followed by 5°C increments from 650 to 700°C 120 with ~ 16 minutes hold time at each of these temperatures and a dwell of 16 minutes at the maximum 121 temperature of 800°C. The same profile was repeated during cooling except that the microstructure 122 evolution at 600°C was followed for ~540 min. At each dwell point, neutron data was collected for a 123 proton current corresponding to 1–2 minutes per sample rotation or 5 minutes total at a proton linear 124 accelerator current of 100 µA, thus compensating for fluctuations in proton current and providing datasets 125 of approximately constant total neutrons counts.

126 Measurements were longer at 200°C than other temperatures. From 600°C heating to 800°C and to 127 650°C cooling, samples were repeatedly measured three times at each rotation, with an exposure time of 128 roughly 2 minutes for each measurement. Accordingly, the three repeats occurred at 0–5 minutes, 6–11 129 minutes, and 11–16 minutes, separately. At other temperatures, the measurement time was longer than 16 130 minutes. Temperatures were selected to investigate the low- and high-temperature phases and phase 131 transformations and their kinetics based on the U–Zr binary phase diagram (see Figure 1). In principle, 132 low-temperature phases transform to high-temperature phases during heating and return to the 133 low-temperature phases during cooling. Diffraction patterns were collected to observe the evolution of 134 texture and lattice parameters during phase transformation and thermal expansion.

135 For the hot extruded U–10Zr, crystal structures and textures were analyzed from the diffraction 136 patterns using the Rietveld software Material Analysis Using Diffraction (MAUD) with the E-WIMV 137 algorithm (15° resolution) following procedures described by Wenk et al. [20]. The crystal structures and 138 volume fractions were refined with both MAUD and the General Structure Analysis System (GSAS) [21] 139 automated with scripts in gsaslanguage [22]. The results agreed mostly within error bars, with significant 140 differences explained by differences in the Rietveld model such as different descriptions of the 141 background. Refinements started with the data collected at room temperature using the α -U lattice 142 parameters as an internal standard as this phase is not subject to lattice parameter variations due to 143 variations in alloy composition. This refinement along with parameters were used as starting parameters 144 to refine the patterns at higher temperatures, with the DIFC parameters fixed [20] [21], thus essentially 145 recalibrating the original sample position and therefore providing reliable absolute lattice parameters at

- 146 higher temperatures. Refined parameters included the y atomic position in α –U, lattice parameters, weight
- 147 fractions of all phases and an isotropic thermal motion parameter U_{iso}. To ensure numerical stability of the
- 148 refinement procedure, the U_{iso} parameter was constrained to be identical for all phases as this parameter
- 149 may otherwise lead to unphysical values especially for small phase fractions. This leads to systematic
- 150 deviations in the weight fractions of the phases on the order of a few percent, see below for the discussion
- 151 of the weight fraction of the Zr cladding that should be constant.
- 152

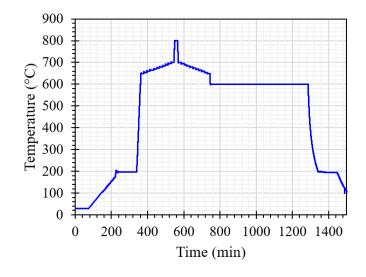


Figure 2. Measured temperature as a function of time. On heating, the sample temperature starts at 30°C, increases to 200°C with a ramp rate of 1°C/min, followed by 600, 650, 655, ..., 695, 700, and 800°C with a ramp rate of 20°C/min. Between 650 and 700°C, the temperature was changed in increments of 5°C every ~16 minutes during heating and cooling. On cooling, the temperature was held at 600°C for 540 minutes before decreasing back to 200°C.

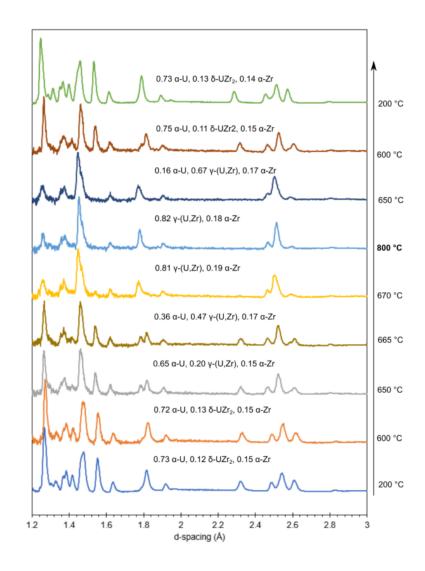
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160 **3. Results**

161 **3.1. Overall diffraction results**

Figure 3 shows a section from 1.2–3.0 Å in d–spacing of the diffraction data from the HIPPO 90° detectors for the extruded U–10Zr integrated for all detector panels at this diffraction angle into a single histogram for clarity. Backgrounds of patterns are subtracted. The diffraction patterns are obtained within the first 2 minutes at the given temperature. The sample has a Zr cladding extruded with the fuel alloy, therefore the peaks of α –Zr are also visible in the diffraction patterns. The full texture refinement, using data from individual detector panels rather than the full rings shown here and data from three sample rotations, was conducted on five phases, α –U, β –U, δ –UZr₂, γ –(U,Zr), and α –Zr.

- 169 At 200 and 600°C, peaks corresponding to α –U and δ –UZr₂ are distinct. On heating to 650°C, peaks
- 170 for γ phase are present due to the phase transformation of δ -UZr₂ $\rightarrow \gamma$ -(U,Zr). The phase transformation
- 171 of α -U $\rightarrow \gamma$ -(U,Zr) is completed at 670°C. The peaks of γ phase are present from 670°C heating to 650°C
- 172 cooling. On cooling, the peaks of γ phase are gone at 600°C with the appearance of α –U and δ –UZr₂.
- 173 Holding at 600°C during cooling, the diffraction peaks indicate the presence of α -U and δ -UZr₂, shown
- 174 in Figure 3b.
- 175 The β -U phase peaks were not observed in the diffraction patterns. The β -U phase was refined in the 176 refinement process using crystallographic parameters reported by Lawson et al. [23]. However, the peaks 177 of β -U do not match with the measured diffraction pattern. The integrated diffraction pattern of all runs 178 from 700°C to 650°C during cooling is shown in Figure 4. The diffraction pattern during heating exhibits 179 similar characteristics. Therefore, β -U is determined to not occur at any temperature in the sample.
- 180



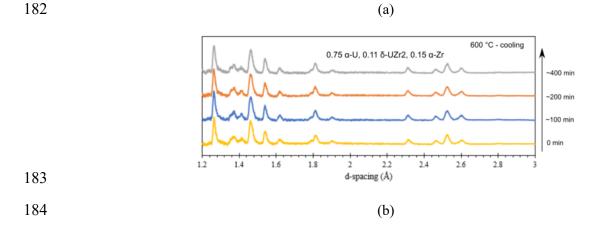


Figure 3. Diffraction patterns of the 90° detector bank (-45° sample rotation) with weight fractions of phases. (a) Obtained within the first 2 minutes at the given temperature, showing the disappearance of the α - and δ -phase reflections and appearance of γ -phase peaks during heating and the reverse during

188 cooling. (b) Upon isothermal holding at 600°C during the cooling cycle.

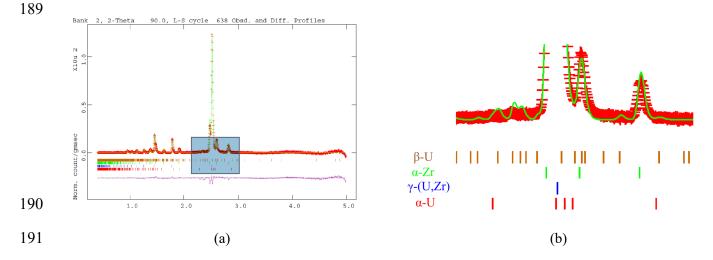


Figure 4. (a) Diffraction pattern of all runs from 700°C to 650°C during cooling. (b) Magnification of the
section of d-spacing in the highlighted region in (a), showing the peaks do not match with the reference
peaks of β–U phase.

195

196 **3.2. Weight fractions and lattice parameters**

197The weight fraction of Zr cladding and U-10Zr fuel in the sample from the Rietveld refinement198varies between 0.14-0.19 and 0.81-0.86, respectively. The observed changes of the weight fraction of Zr199cladding with temperature, which should be constant for a perfect refinement, is likely due to the U_{iso}200parameter being constrained to be identical for all phases during refinement process. To be able to201compare the results for the U-Zr phases with the phase diagram, the weight fractions of the phases in

202	U-10Zr are normalized to be 1, and the weight fractions of phases in the U-10Zr fuel, as discussed in the
203	following, are after the normalization.
204	The weight fractions of phases during heating in the U–10Zr are shown in Figure 5. Below 600°C,
205	the weight fractions are 86 wt. % α –U and 14 wt. % δ –UZr ₂ consistently at all temperatures (Figure 5).
206	Above 600°C, the γ phase weight fraction increases with temperature (Figure 5), increasing from ~ 0.57
207	at 665°C to 1.0 at 670°C when the α –U phase completely transforms to γ .
208	The lattice parameters of δ –UZr ₂ increase with temperature, in the temperature range of 30–600°C,
209	as listed in Table 1. The chemistry of the α -U and δ -UZr ₂ is stable as the solubility of Zr in α -U is less
210	than 1 at.% and Zr atoms reside in the δ –UZr ₂ phase. Accordingly, the change of lattice parameter of
211	δ –UZr ₂ is a result of temperature rather than chemistry. By fitting the data of heating at 30°C–600°C, the
212	temperature evolution of lattice parameters <i>a</i> and <i>c</i> are
213	(1)
214	(2)
215	where a and c are the lattice parameters in the unit of Å and T is the temperature in the unit of °C. The
216	R-squared values are 0.9651 and 0.9394, respectively. Using a program for calculating the thermal
217	expansion coefficient tensors (TEV [24]), the values of the temperature dependent thermal expansion
218	coefficients are obtained as
219	(3)
220	
221	Table 1. Evolution of lattice parameters of δ –UZr ₂ during heating. The time is after the start of the

Temperature (°C)	Time (min)	a (Å)	<i>c</i> (Å)
30	61	5.0427	3.0692
200	281	5.0483	3.0728
200	339	5.0469	3.0724
400	350	5.0634	3.0860
500	355	5.0638	3.0911
600	360	5.0789	3.0908

experiment taken at the midpoint of the dataset.

223

222

The lattice parameters *a* and *c*, for α –U, increase with temperature, while the parameter *b* decreases with temperature, shown in Figure 6a–c. Holding at 600°C during cooling, shown in Figure 6d–f, the parameters are stable over the observed period of ~540 min, and the lattice parameter values are same as at 600°C on heating. By fitting the data of heating at 30°C–665°C, the temperature evolution of lattice parameters *a*, *b*, and *c* are

231

(4)

(5)

(6)

(7)

(8)

(9)

where the lattice parameters are in the unit of Å and T is the temperature in the unit of °C. The R–squared values are 0.9988, 0.9627, 0.9934, respectively. The thermal expansion coefficient tensors for the entire temperature range are calculated by using the program TEV [24] and are listed in Eq. (7). The values are in good agreement to the data recommended by Touloukian et al. [10], with data listed in Table 2.

236

237

Table 2. Thermal expansion coefficients of lattice parameters of α –U recommended by Touloukian et al. [10].

	а		b		С	
T(°C)	linear expansion (%)	coefficient (/°C)	linear expansion (%)	coefficient (/°C)	linear expansion (%)	coefficient (/°C)
127	0.252	2.3551	0.002	0.018692	0.216	2.0187
227	0.511	2.4686	-0.018	-0.086957	0.451	2.1787
327	0.807	2.6287	-0.063	-0.20521	0.737	2.4007
427	1.161	2.8526	-0.162	-0.39803	1.09	2.6781
527	1.587	3.1302	-0.305	-0.60158	1.49	2.9389
627	2.104	3.4662	-0.452	-0.74465	1.907	3.1417
668	2.346	3.6204	-0.527	-0.81327	2.076	3.2037

240

241 The lattice parameter a of γ -(U,Zr) is shown in Figure 7. During heating, the parameter a decreases 242 with temperature in the range of 650° C– 670° C and increases with temperature at higher temperatures, 243 indicating the effect of chemistry (i.e., U–Zr composition in γ). At 665°C, the parameter a decreases with 244 time. The α –U phase disappears during the three runs collected at 670°C, where the weight fraction of γ 245 jumps from 0.57 to 1.0, as mentioned above. During cooling, the lattice parameter decreases with 246 temperature in the range of 800°C–650°C, however, the phase transformation of α –U was not observed 247 up to this temperature during cooling. Only at 600°C was α–U observed again, indicating significant 248 hysteresis. No splitting of peaks was observed during either heating or cooling at any of the temperatures 249 investigated. Therefore, no evidence of two γ phases (i.e., γ_1 and γ_2) as indicated by the phase diagram 250 was observed. 251 The lattice parameters of α -Zr are shown in Figure 8. By fitting the data of heating at 30°C-800°C, 252 the temperature evolution of lattice parameters *a* and *c* are

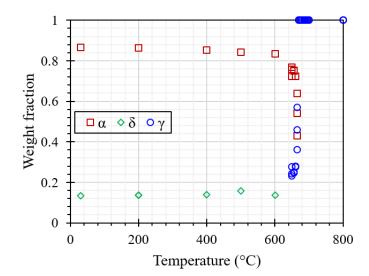
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- 255 where the lattice parameters are in the unit of Å and T is the temperature in the unit of °C. The R–squared
- values are 0.9834 and 0.963, separately. The thermal expansion coefficient tensors are listed in Eq. (10),
- which is very similar to the data recommended by Touloukian et al. [10], as listed in Table 3.
- 258
- 259

(10)

	<i>a</i>		С	
T(°C)	linear expansion (%)	coefficient (/°C)	linear expansion (%)	coefficient (/°C)
127	0.051	0.47664	0.079	0.73832
227	0.102	0.49275	0.165	0.79710
327	0.156	0.50814	0.263	0.85668
427	0.212	0.52088	0.372	0.91400
527	0.269	0.53057	0.491	0.96844
627	0.325	0.53542	0.617	1.0165
727	0.382	0.54031	0.75	1.0608
827	0.436	0.54027	0.887	1.0991
864	0.455	0.53910	0.94	1.1137

262



263

Figure 5. Temperature evolution of weight fraction of phases in the U–10Zr during heating.

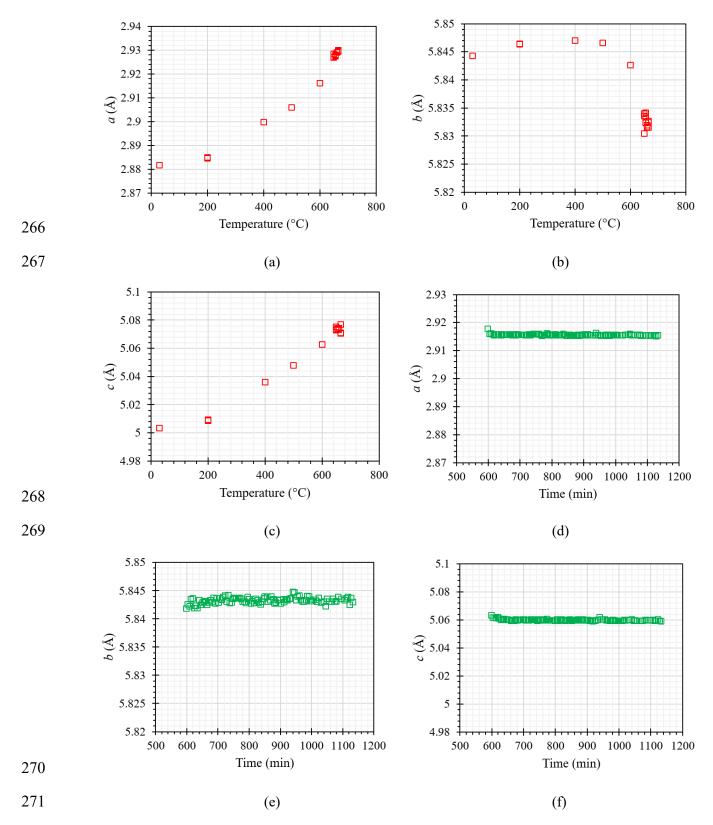


Figure 6. (a–c) Temperature evolution of lattice parameters of α –U during heating. (d–f) Time evolution of lattice parameters of α –U holding at 600°C.

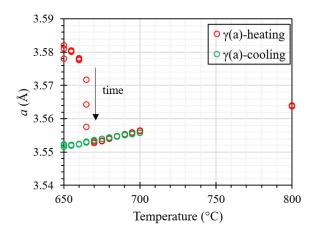




Figure 7. Temperature evolution of lattice parameter of γ –(U,Zr) during the thermal cycling. The arrow indicates the parameter evolves with time at the given temperature.



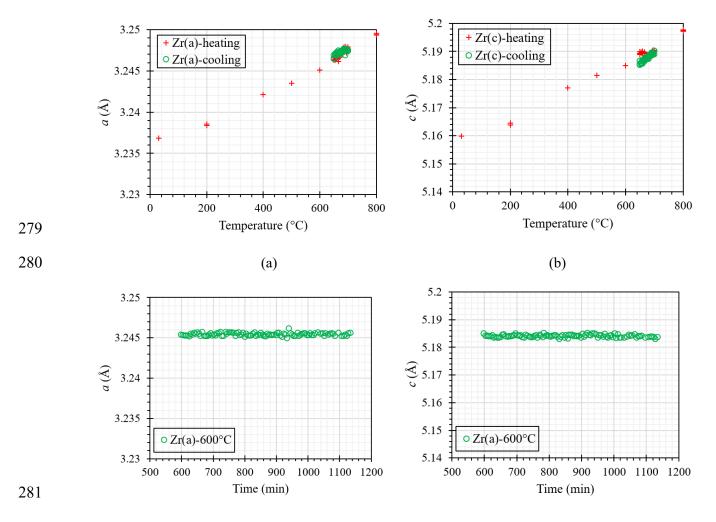


Figure 8. (a-b) Temperature evolution of lattice parameters of α-Zr during thermal cycling. (c-d)
 Holding at 600°C during cooling.

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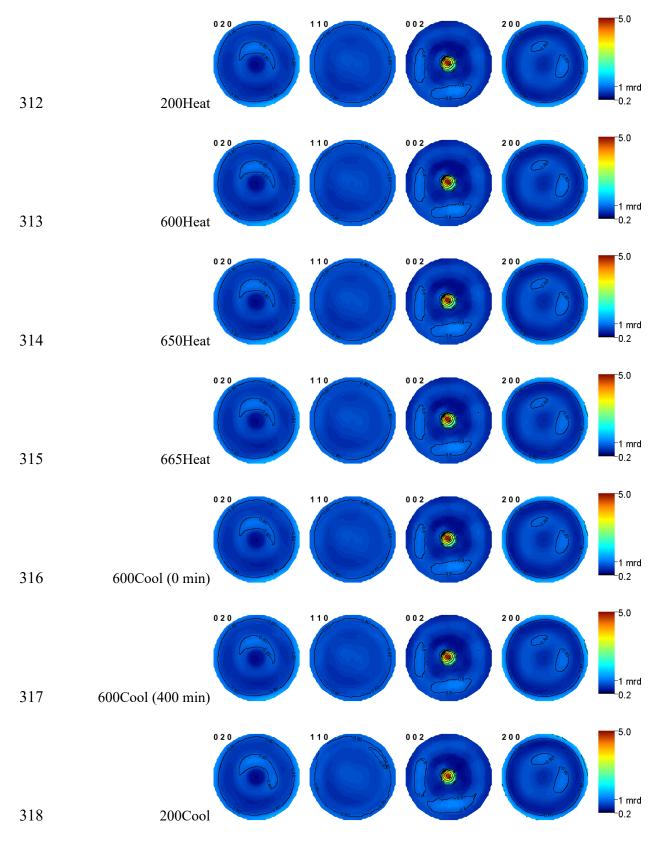
3.3. Textures

The pole figures of α -U during thermal cycling are shown in Figure 9. As evidenced by the (200), (020), and (002) pole figures, the α -U phase has the c axis aligned with the extrusion direction in the center of the pole figures with the *a* and *b* axes correspondingly oriented radially to the extrusion direction. The maximum intensity in the (001)_{α} plane is constant during the thermal cycling as well as during holding at 600°C after the annealing at 800°C. The orientation distribution is therefore fully recovered after the annealing and not strengthening with temperature or time during the hold at 600°C.

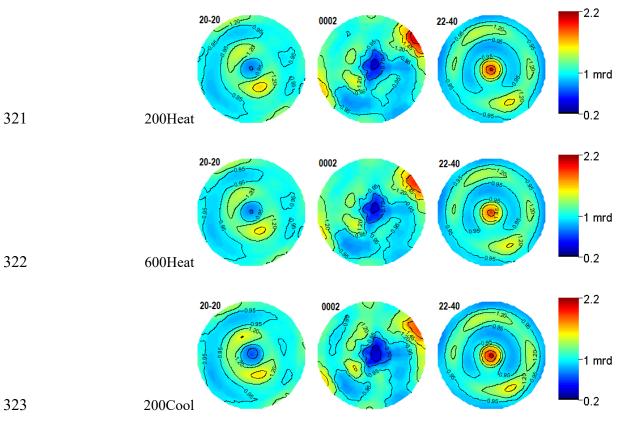
The pole figures of δ -UZr₂ during heating are shown in Figure 10. The textures do not strengthen with temperature. The maximum texture, about 2.2 multiples of random distribution (MRD), is in the (0001)_{δ} and (11-20)_{δ} planes. The maximum texture in the (0001)_{δ} plane is not aligned parallel to the extrusion direction, rather along a radial direction. The maximum texture in the (11-20)_{δ} plane is aligned parallel to the extrusion direction. The (20-20)_{δ} pole figures exhibit textures roughly 1.5 MRD.

The pole figures of γ -(U,Zr) during the thermal cycling are shown in Figure 11. The pole figures for 298 299 γ -(U,Zr) also show the fiber symmetry around the extrusion axis with the (110), lattice plane normal 300 aligned parallel to the extrusion axis. The textures at 650°C and 665°C during heating are relatively 301 weaker than the higher temperatures. Specifically, the maxima of the $(220)_y$ pole figures strengthen from 302 ~ 2.2 MRD to 2.7 MRD, and the maxima of the (100)_y pole figures increase from ~ 1.5 MRD to 2 MRD. 303 Due to the orientation relationship between α -U and γ -(U,Zr) [25], pole figures of (001)_a and (110)_y 304 show many similarities in terms of the position of the pole figure maxima. Since the multiplicity of the 305 $(001)_{\alpha}$ is 2 and the multiplicity of the $(110)_{\gamma}$ is 12, one would expect a factor of 6 between the multiples of 306 random distribution maxima above 1. We observe a maximum of ~5 MRD for $(001)_{\alpha}$, e.g. ~4 MRD above 1, which would translate to a maximum of $\sim 4/6=0.66$ above 1 or 1.66 MRD in (110)_y. 307

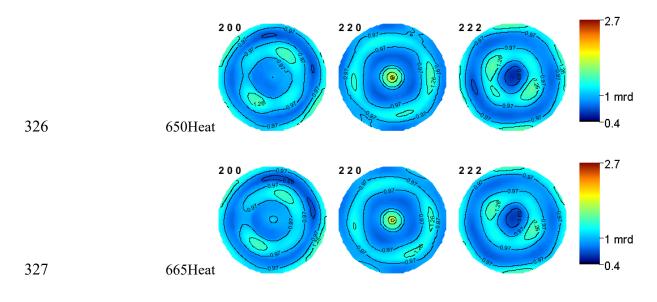
308 The pole figures of α -Zr at all temperatures are shown in Figure 12. As expected for extruded Zr 309 (e.g. [26]), a strong maximum of (10-10) exists along the extrusion direction. No changes were observed 310 for the thermal cycling as the investigated temperatures are below the phase transformation temperature 311 of Zr.

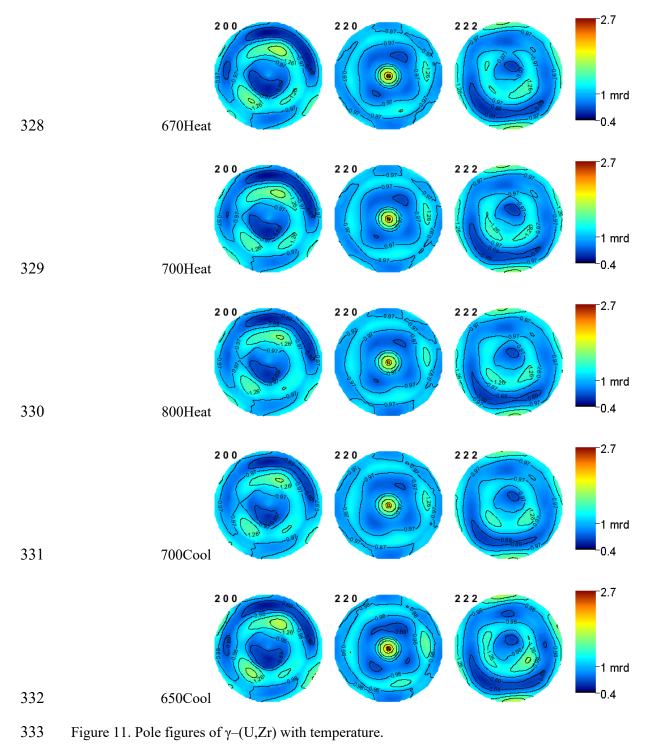


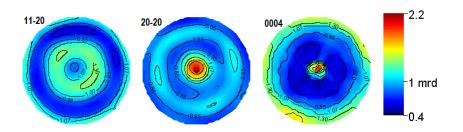
319 Figure 9. Pole figures of α -U with temperature. The extrusion axis is in the center of the pole figures.



324 Figure 10. Pole figures of δ -UZr₂ with temperature.







- 335
- Figure 12. Pole figures of α -Zr at all temperatures.
- 337

4. Discussion

339 4.1. Hysteresis of phase transformation

340 The phase transformation of $\alpha + \gamma_2 \rightarrow \gamma$ was completed at 670°C during heating, and the reverse 341 phase transformation occurred at a lower temperature, 650°C, which is indicated by the diffraction 342 patterns shown in Figure 3a and weight fractions shown in Figure 5b. These behaviors are inconsistent 343 with the equilibrium phase diagram as shown in Figure 1 [9], which shows that β -U transforms 344 eutectoidally to α -U and γ_2 -(U,Zr) at 662°C, and transforms to γ at 776°C. For the composition of 345 U–10Zr wt. %, β –U transforms to γ_1 –(U,Zr) at 693°C based on the phase diagram. The discrepancies 346 between the measured data and the equilibrium phase diagram are greater on cooling than heating. This is 347 not surprising, owing to the hysteresis encountered in the phase transformations. Due to the < 1 at. % 348 solubility of Zr in α –U, formation of α –U during cooling, after the Zr atoms with an overall concentration 349 of 22.5 at% were homogeneously distributed in the γ - (U,Zr) lattice during the annealing, requires 350 significant repartitioning of Zr atoms during cooling for α -U to form. Therefore, equilibration and 351 formation of α -U is sluggish, consistent with the low inter-diffusivity reported by others [27][28].

352 The β -U phase is absent in the diffraction patterns of the thermal cycling, and the result is consistent 353 with the most recent in situ neutron diffraction study of as-cast U-10Zr alloy, though the sample was 354 characterized after heating to 900°C in that study [29]. The diffraction peaks of the β –U phase during the 355 thermal cycling have been observed in another study of a U-2wt. %Zr alloy, and the results will be 356 reported in a separate manuscript. For the U-10Zr alloy, if considering the lever rule based on the U-Zr357 phase diagram, there should be a weight fraction of ~56-73 wt. % the β –U phase between 670-693°C, so 358 the absence of β -U phase peaks is not because the fraction of the β -U phase is too low to be detected by 359 the neutron diffraction technique. Whether the β -U phase exists in the U-10Zr alloy with longer hold 360 times, as indicated by the phase diagram, needs to be further investigated especially using an in situ 361 heating microstructural characterization technique. However, it is noteworthy that the phase diagram 362 represents the equilibrium status, while the in situ heating technique captures the behavior of phase

363 transformation in a finite period of time.

364 **4.2. Texture evolution**

The material undergoes several phase transformations and because of that, changes in the observed textures before and after the maximum temperature could be expected. However, texture changes, such as moving from hoop to axial, were not observed. The intensity remains in the original orientation. Although some textures are relatively densified, the matrix texture is not strengthened during the thermal cycling, which indicates that the grains were not coarsening.

370 Preferred orientations are generated by the extrusion process. The preferred orientation, about 5 371 MRD, was observed in the (100)_{α} plane in the temperature range of 30–665°C during heating. These 372 poles are aligned parallel to the extrusion direction. The texture components remain during the thermal 373 cycling, which indicates absence of grain growth or preferential growth of favorably oriented grains. The 374 maximum pole density in the (001)_{α} plane is greater than previously observed in the 600°C extruded 375 U-10Zr alloy [15], which was ~ 2.5 MRD. This can be explained by the higher extrusion temperature of 376 the U-10Zr used in this study (800°C). Moreover, in the present study, the maxima in the $(110)_{\alpha}$ planes 377 were not observed; however, the previous study indicates textures, ~ 1.5 MRD, in the center of $(110)_a$ 378 planes.

379 At 650–665°C during heating, the (110)_y plane exhibits maxima along the extrusion direction, ~ 2.2 380 MRD. Since the α -U weight fraction remained approximately constant when the γ -(U,Zr) formed, the 381 γ -(U,Zr) was transformed predominantly from δ -UZr₂ during heating. The previous study [15] indicates 382 the orientation relationship $(111)_{\nu} || (0001)_{\delta}$ with textures aligned along the extrusion direction in the 600°C 383 extruded U–10Zr alloy. Basak et al. [30] also reported an orientation relationship $(111)_{y} || (0001)_{\omega}$ and 384 $<-110>_{\nu}||<11-20>_{\omega}$. Indeed, the minimum observed in the (0001)_b plane is reproduced in the (111)_v. 385 However, the ~2 MRD maximum at 2 o'clock in the (0001) plane of the δ -UZr₂ phase is not transferred 386 to the (111) plane of the γ -(U,Zr) phase, indicating that this could possibly be an artefact of the texture 387 analysis for a weak phase in the complex (i.e., orthorhombic α –U, hexagonal δ –UZr₂, and α –Zr) 388 multi-phase system. On the other hand, the maxima observed in the $(11-20)_{\delta}$ plane is reproduced in the 389 $(110)_{\gamma}$, though the relationship $(110)_{\gamma} || (11-20)_{\delta}$ was not reported before. Therefore, the texture of γ 390 appears to be inherited from the δ -phase.

391 At and above 670°C, the texture in the γ -(U,Zr) phase, as evidenced by the (220)_{γ} pole figure, 392 strengthens, with the maximum along the extrusion direction increasing from 2.2 to 2.7 MRD. At these 393 temperatures the γ phase originates both from δ -UZr₂ as well as from α -U, therefore, the γ shows a 394 maximum in the (220)_{γ} pole figure along the extrusion direction due to orientation relationships with both 395 the α and δ phases. Upon cooling, the maximum in the pole density is transferred again to the (002)_{α}

- 396 poles, showing an almost perfect texture memory. The textures do not appear to undergo recrystallization
- 397 by the nucleation of new crystals as no significant change in the strength of the pole density maxima is
- 398 evident. This lack of recrystallization is clearly reflected in the texture of γ -(U,Zr) formed on heating, in
- 399 which the pole figure of $(220)_{\gamma}$ directly maps to $(002)_{\alpha}$, which makes the textures in the α –U after the
- 400 transformation cycling as strong as the initial. The study by Irukuvarghula et al. [15] indicates moderate
- 401 textures of γ -(U,Zr) with intensity of 1.5 MRD in three planes, (100)_{γ}, (110)_{γ} and (111)_{γ}, with textured
- 402 cubic components in $(100)_{\gamma}$, center components in $(110)_{\gamma}$, and both center and cubic components in
- 403 $(111)_{\gamma}$. Although the present study indicates these textured components in the three planes, the maximum 404 intensity is in the $(110)_{\gamma}$ plane only, while the $(100)_{\gamma}$ and $(111)_{\gamma}$ planes do not exhibit the same strong
- 405 texture as $(110)_{\gamma}$.

406 The maximum texture of both planes, $(001)_{\alpha}$ and $(110)_{\gamma}$, during the thermal cycling are aligned 407 parallel to the extrusion direction, indicating the inheritance of textures between the two phases. The 408 $(110)_{\gamma}$ has a multiplicity of 12 and the $(001)_{\alpha}$ has a multiplicity of 2. Each of the six $(110)_{\gamma}$ axes could 409 become a $(001)_{\alpha}$ axis, but the material selects the one that is aligned parallel to the extrusion direction, 410 which indicates variant selection between $(001)_{\alpha}$ and $(110)_{\gamma}$ must be occurring (see e.g. Tomida [31] for 411 discussion of different variant selection mechanisms and models in steel). Without variant selection, the 412 texture would likely be considerably randomized by the phase transformation. Such a variant selection of 413 the orthorhombic phase with the BCC parent phase has been revealed previously in the Ti-Al-Nb-based 414 alloys [32] [33]. The orientation relationship is $\{001\}_{orthorhombic} || \{110\}_{BCC}$. The specific orientation 415 relationship $\{001\}_{\alpha} \| \{110\}_{\gamma}$ was observed in this study, in which α is orthorhombic structure, and γ is 416 BCC structure. The previous study [15] on the 600°C extruded U–10Zr alloy, however, did not find the 417 variant selection between α and γ .

418 The texture intensity in both α –U and γ –(U,Zr) of the U-10Zr in this investigation, extruded at 419 800°C, are stronger than the 600°C extruded U-10Zr reported by Irukuvarghula et al. [15]. The alloy at 420 higher temperature is softer than at the lower temperature, making the extrusion deformation easier to 421 develop. The higher extrusion temperature accelerates growth of crystals and generation of more 422 deformation-introduced dislocations compared to the lower temperature. The surrounding grains that 423 have a slightly stiffer direction pushing on the grain transform, resulting in the variant selection observed 424 between α and γ . A detailed analysis of the active variant selection mechanism requires modeling and is 425 beyond the scope of this study.

426 **5.** Conclusion

This study establishes a fundamental understanding of the processes prevailing in the 800°C
extruded U–10Zr system during thermal cycling. The following conclusions can be drawn from the data
presented:

• Heating and cooling substantially changed the microstructure with respect to crystallographic texture, has composition, and lattice parameters. Lattice parameters as well as the thermal expansion coefficients of α -U, δ -UZr₂, and α -Zr at different temperatures during the thermal cycling are obtained. The α -U phase exhibits lattice contraction with increasing temperature along the *b* lattice direction and lattice expansion in the other directions while the other phases exhibit lattice expansion with temperature in all directions.

• The in situ heating neutron diffraction indicates the phase transformation of γ -(U,Zr) $\rightarrow \alpha$ -U + γ_2 437 during cooling is slower than the reverse transformation during heating. This hysteresis of the phase 438 transformation can be explained by sluggish repartitioning of Zr atoms to form virtually Zr-free 439 volume for the formation of α -U.

- The β–U phase is absent at the temperatures, heating rates, and holding times of the thermal cycling
 of this experiment, which differs from the equilibrium U–Zr phase diagram. The study indicates that
 further investigation of the U-rich region in the U–Zr phase diagram is needed to improve the
 understanding and description of the U–Zr system.
- The sample exhibits strong textures with $(001)_{\alpha}$ and $(110)_{\gamma}$ planes aligned parallel to the extrusion direction. The maximum pole density of α –U is in the $(001)_{\alpha}$ plane and the intensity is ~ 5 MRD. The maximum pole density of γ –(U,Zr) is in the $(110)_{\gamma}$ plane and the intensity is ~ 2.7 MRD. This texture is stronger than those observed for the U-10Zr extruded at a lower temperature (600°C) in the
- 448 literature [15]. Compared to the lower extrusion temperature, the higher extrusion temperature
- 449 applied to the material studied here likely accelerated growth of crystals and due to easier generation
- 450 of more dislocations lead to a larger amount of crystal reorientation. The variant selection of
- 451 $(001)_{\alpha} || (110)_{\gamma}$ is observed while not reported previously.

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