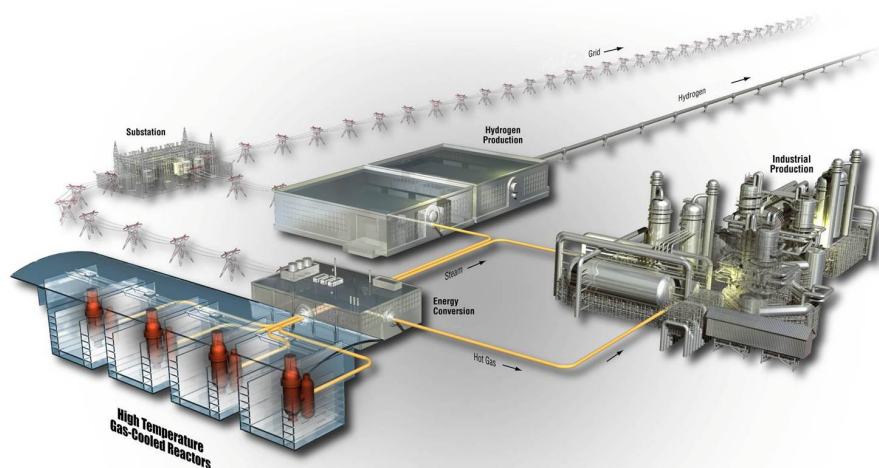


Research and Development Technology Development Roadmaps for the Next Generation Nuclear Plant Project

July 2011

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Research and Development Technology Development Roadmaps for the Next Generation Nuclear Plant Project

July 2011

**Idaho National Laboratory
Next Generation Nuclear Plant Project
Idaho Falls, Idaho 83415**

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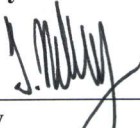
Next Generation Nuclear Plant Project

Research and Development Technology Development Roadmaps for the Next Generation Nuclear Plant Project

INL/EXT-11-22512

July 2011

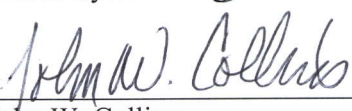
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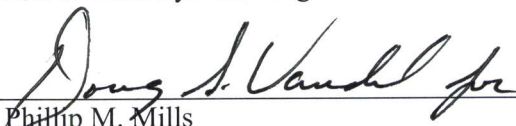
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EXECUTIVE SUMMARY

The U.S. Department of Energy has selected the high temperature gas-cooled reactor design for the Next Generation Nuclear Plant (NGNP) Project. The NGNP will demonstrate the use of nuclear power to generate process heat for use in producing hydrogen, electricity, and other industrial applications. The reactor will be graphite moderated with helium as the primary coolant and may be either prismatic or pebble-bed (the final design features have not yet been determined).

Research and development (R&D) are proceeding based on those plant systems known to mature the technology, codify the materials for specific applications, and demonstrate the component and system viability in NGNP relevant and integrated environments. Collectively, this R&D serves to reduce project risks and enhance the probability of completing the NGNP project on budget and on schedule and receiving a Nuclear Regulatory Commission (NRC) license to operate the facility. As the project progresses toward final design and NRC approval to construct the plant, selected components that have not been used in a similar application and relevant environment, nor integrated with other components and systems, must be tested to demonstrate viability at reduced scales and simulations prior to full-scale operation.

This report and its R&D Technology Development Roadmaps (TDRMs) present the path forward and its significance in assuring technical readiness to perform the desired function by choreographing the integration between design and R&D activities and proving selected design components in relevant applications.

The R&D technical program plans discussed in this document identify the R&D required for the first-of-a-kind (FOAK) NGNP and follow-on nth-of-a-kind (NOAK) reactors. The fundamental challenge for NGNP is to achieve a significant advancement in nuclear technology while setting the stage for an economically viable deployment of the new technology in the commercial sector.

This report documents the assessment of the status of the R&D (current and planned) conducted at by the NGNP Project. The assessment:

- Establishes to what extent the R&D technology development plans are meeting the Design Data Needs (DDNs)
- Identifies how the R&D technology development plans are mitigating the risks and addressing the Phenomena Identification and Ranking Tables (PIRTs) associated with the NGNP risk register
- Relates the R&D technology development plans to the actual technological development of the NGNP critical^a structures, systems, and components
- Identifies the current Technology Readiness Levels (TRLs) of the NGNP R&D activities and the necessary path forward to advance them
- Produces an executable R&D strategy as depicted in the TDRMs.

The assessment is based on a number of sources: DDNs, risk and PIRT analyses, reactor vendor supplied TRL sheets, R&D program schedules, and R&D technology development plans.

Five main R&D areas relate to NGNP technological development:

- Materials
- Fuels

a The use of the word ‘critical’ in this document implies being ‘critical to the success of the NGNP project’, not critical to or related to nuclear safety.

- Graphite
- High Temperature Steam Electrolysis
- Methods.

Each program was examined using given data sources and the program leads were interviewed for their input via a series of meetings and discussions.

The assessment (1) identifies the critical systems being (and will be) technologically progressed by the R&D technology development plans, (2) provides a brief overview of each system, (3) describes their main R&D technology development test plans, (4) describes how DDNs are being met, (5) provides any additional technological development identified but that may not be currently in the program, (6) explains how risk and PIRTs are being mitigated, (7) and includes an R&D TDRM for the system being progressed by the R&D activities.

Where applicable, the systems have been split into FOAK and NOAK technology development to reflect the R&D plans for components and or materials used by a particular system. The NGNP reactor components currently being advanced by the R&D programs are reactor pressure vessel (RPV), intermediate heat exchanger (IHX), steam generator, fuel elements, high temperature steam electrolysis (HTSE) and graphite reactor core structures.

Not all NGNP critical systems are progressed technologically by the R&D plans, some key examples would be the reactor cavity cooling system, reserve shutdown system, and the reactivity control system. Until the design of the NGNP has matured and is closer to being finalized, it is not possible to construct R&D plans around these components. A recommendation is that, as the design matures, there should be further assessments of the critical systems that are not being progressed by the R&D programs to establish if those systems could be furthered by R&D activities to meet the NGNP goals.

Observations

The Materials program assumes that the FOAK RPV will be constructed using SA 508/533 steel. For the NOAK reactor module at higher reactor outlet temperatures, the program includes a strategy for the development of Grade 91 steel as a potential material candidate. The program does not consider the IHX to be included in the FOAK reactor module, but does address IHX development for the NOAK reactor module, with Alloy 617 addressed as the leading material candidate. The FOAK reactor module material candidate of concern for the steam generator is Alloy 800H. On selection of a reactor design (and therefore potentially a specific reactor supplier), other candidate alloys such as Hastelloy X may be added to the Materials program for testing.

All of the fuel particles used in the Advanced Gas Reactor (AGR) program (apart from AGR-2) use UCO kernels. The fuel qualification program has shown very low particle failure with irradiation and heat-up testing with burnups, fluencies, and maximum temperatures.

Historical nuclear grades of graphite no longer exist so new grades must be fabricated, characterized, and irradiated to demonstrate acceptable properties for use as a core structure for the NGNP.

The highest-priority Method's activities for FY 2011 through 2013 will include conducting integral experiments in the High Temperature Test Facility and completing and operating the Natural Circulation Shutdown Test Facility.

At present, the single largest challenge for the High Temperature Steam Electrolysis program is defining the unacceptable level of performance degradation of the solid-oxide electrolysis cells.

Conclusions

Conclusions from the Technology Readiness Assessment and the creation of TDRMs for the R&D program development plans are as follows:

- The current technology development test plans for the NGNP R&D programs apply to critical reactor components that require broad and fundamental R&D. As the design matures further analysis is required to identify any additional components that may be on the critical path
- Until the NGNP reactor design is more mature and critical decision down-selects have been made, some key reactor components cannot be sufficiently advanced, resulting in increased risk being carried forward on the project, added costs for carrying multiple paths forward and possible delays to the schedule
- As the reactor outlet temperature increases to 850°C (and above), the number of reactor components required to be made from ceramic composites increases significantly. During a conduction cooldown scenario, the decay heat is too high for metallic materials, possibly requiring the replacement of reactor components. No INL ceramic composite R&D program exists to advance the development, codification, and licensing of ceramic components.
- All of the INL NGNP R&D technology programs are actively engaged in advancing the technology for the NGNP reactor components. Their focus is on ASME BPV codification, NRC licensing, satisfying DDNs, and addressing items of risk and PIRTs.^b There are no INL NGNP R&D activities being pursued that are not in direct support of NGNP deployment.

b. Any omission or exceptions are identified in the main body of this document.

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ACRONYMS

ANL	Argonne National Laboratory
AGC	Advanced Graphite Creep
AGR	Advanced Gas Reactor
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
ATR	Advanced Test Reactor
BOP	balance-of-plant
BPV	Boiler and Pressure Vessel (Code)
B&W	Babcock and Wilcox
CFD	computational fluid dynamics
DCC	depressurized conduction cooldown
DDN	Design Data Need
DOE	Department of Energy
DTF	designed-to-fail
FOAK	first-of-a-kind
GA	General Atomics
GIF	Generation IV Information Forum
GRS	Gesellschaft für Anlagen und Reaktorsicherheit
GT-MHR	Gas Turbine-Modular Helium Reactor
HTR-10	Chinese High Temperature Gas-Cooled Reactor
HTSE	High Temperature Steam Electrolysis
HTTF	High Temperature Test Facility
HTGR	high temperature gas-cooled reactor
HTTR	High Temperature Engineering Test Reactor (Japan)
HTV	high temperature vessel
IHX	intermediate heat exchanger
ILS	integrated laboratory scale
INL	Idaho National Laboratory
JAEA	Japan Atomic Energy Agency
MHTGR	Modular High Temperature Gas-cooled Reactor
NERI	Nuclear Energy Research Initiative
NEUP	Nuclear Energy University Program
NGFM	Nodal Green's Function Method

NGNP	Next Generation Nuclear Plant
NOAK	nth-of-a-Kind
NRC	Nuclear Regulatory Commission
NSTF	Natural Convection Shutdown Heat Removal Test Facility
ORNL	Oak Ridge National Laboratory
PBR	pebble bed reactor
PCC	pressurized conduction cooldown
PHTS	primary heat transport system
PIE	post-irradiation examination
PIRT	Phenomena Identification and Ranking Tables
PyC	pyrolytic carbon
QA	Quality Assurance
QC	quality control
R&D	research and development
RCCS	reactor cavity cooling system
RPV	reactor pressure vessel
SAW	submerged arc weld
SC-MHR	steam cycle modular helium reactor
SG	steam generator
SHTS	secondary heat transport system
SMT	simplified model test
SOEC	solid oxide electrolysis cell
SRX	strength relaxation
STAR	safety and tritium applied research
TDRM	Technology Development Roadmap
THAI	Thermal-hydraulics, Hydrogen, Aerosols, Iodine [Project]
TRISO	tristructural isotropic (particles)
TRL	Technology Readiness Level
UCR	upper core restraint
VHTR	very high temperature reactor
VLPB	Vented Low-Pressure Building
WSRF	weld strength rupture factor

Research and Development Technology Development Roadmaps for the Next Generation Nuclear Plant Project

1. INTRODUCTION

The Next Generation Nuclear Plant (NGNP) Project involves the deployment of a high temperature gas-cooled reactor (HTGR) that provides both electricity and process heat for industrial applications. The first, prototype HTGRs for the NGNP Project are expected to have a reactor outlet temperature between 750 and 850°C, suitable for a large number of industrial applications. Other significant process heat applications, however, will likely require heat at much higher temperatures (on the order of 950°C), and future HTGR plants will be expected to meet this demand. In order to achieve these temperatures, considerable technology development and test data are needed to advance plant design and licensing efforts.

This report assesses the status of both current and future NGNP research and development (R&D) performed by the NGNP Project, which encompasses:

- Establishing to what extent the R&D technology development plans are meeting the Design Data Needs (DDNs)
- Identifying how the R&D plans are mitigating the risks and Phenomena Identification and Ranking Tables (PIRTs) associated with the NGNP risk register
- Relating the R&D plans to the technological development of the NGNP critical structures, systems, and components
- Identifying the current Technology Readiness Levels (TRLs) of the NGNP R&D activities
- Producing Technology Development Roadmaps (TDRMs) for the R&D activities.

The assessment was conducted from a number of sources: DDNs, Risk and PIRT analysis, vendor supplied TRL sheets, R&D program schedules and R&D technology development plans.

There are five main areas of R&D activity related to NGNP technological development:

- Materials
- Fuels
- Graphite
- High Temperature Steam Electrolysis
- Methods.

Each program was examined using given data sources and the program leads were interviewed for their input via a series of meetings and discussions.

The approach taken in compiling this assessment is identified pictorially in Figure 1.

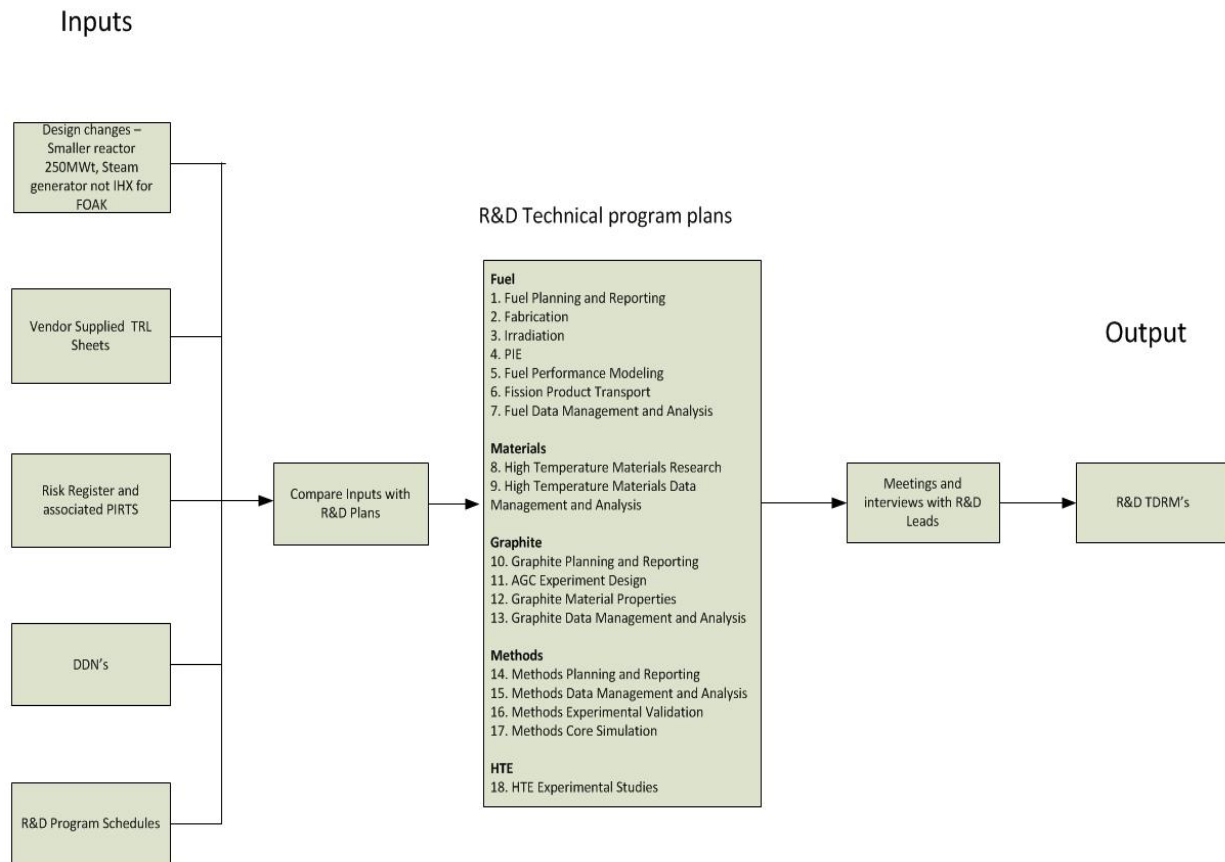


Figure 1. NGNP development process of R&D TDRMs.

This assessment (1) identifies which critical systems are being (and will be) technologically progressed by the R&D plans (2) provides a brief overview each system, (3) describes the main R&D test plans, (4) explains how the DDNs are being met, (5) gives any additional technological development identified that may not currently be in the program, (6) explains how risk and PIRTS are being mitigated, (7) highlights gaps between identified development needs and current R&D test plans (8) and includes an R&D TDRM for the system being progressed by the R&D activities.

Where applicable, the systems are split into first-of-a-kind (FOAK) and nth-of-a-kind (NOAK) technology development to reflect the R&D plans for components and or materials used by a particular system. Not all NGNP critical systems are progressed technologically by R&D plans, but those not progressed are still identified in this assessment. It is recommended that the critical systems not being progressed by the R&D programs be further assessed to establish if those systems require R&D to meet the NGNP goals. The output from the R&D TDRMs will be integrated with the other deployment TDRMs.

2. ASSUMPTIONS

The following assumptions were made in the development of the TDRMs for the NGNP R&D program:

1. The NGNP reactor design will be down-selected in a timely manner such that duplicate and parallel R&D efforts are not carried forward prohibitively into the preliminary design phase.
2. The reactor design is a helium-cooled, graphite-moderated core design fueled with tristructural isotropic (TRISO)-design fuel particles in carbon-based compacts or pebbles.
3. INL will continue to direct the NGNP Project based on the guidelines given in the *Energy Policy Act of 2005*.
4. The power level for the FOAK reactor configuration is 200–350 MW(t) (megawatt thermal), the reactor outlet temperature is 750–850°C, the primary coolant fluid is helium, and the primary pressure is 7–9 MPa (megapascal).
5. The power level for the NOAK reactor configuration is 200–600 MW(t), the reactor outlet temperature is 750–950°C, the primary coolant fluid is helium, and the primary pressure is 7–9 MPa.
6. The design, materials, and construction will need to meet appropriate Quality Assurance (QA) methods and criteria and other nationally recognized acceptance codes and standards. NGNP must demonstrate the capability to obtain a Nuclear Regulatory Commission (NRC) operating license.
7. The NGNP will be designed to operate for a nominal 60 years.

3. NGNP R&D Program Assessments

3.1 Materials

The R&D Materials program is concerned with the technological development of materials for use in an HTGR. The three main components being progressed by the Materials program are the reactor pressure vessel (RPV), intermediate heat exchanger (IHX) and steam generator (SG). Each of these components is discussed in this section.

3.1.1 Reactor Pressure Vessel

The RPV houses the reactor, reactor internals, and core support structure.

3.1.1.1 RPV Design Description

The RPV consists of the containment and structure for the reactor core and control rods. The RPV is capable of withstanding the temperatures generated by the nuclear reaction. Figure 2 illustrates a typical RPV.

Functions Performed

The functions of the RPV System are to:

- House and support the components of the reactor core, reactor internals, and reactor support structure
- Maintain positioning relative to the control rods
- Contain the primary coolant inventory within a leak-tight pressure boundary
- Maintain the integrity of the coolant pressure boundary.

3.1.1.2 Research and Development Test Plans

INL PLN-2803, “Next Generation Nuclear Plant Reactor Pressure Vessel Materials Research and Development Plan” (Revision 1, July 14, 2010) identifies the tasks required to mature the RPV technology. Studies of potential steels for the RPV have focused on temperature limits and allowable stresses established by the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel (BPV) Code. The leading NGNP RPV material contender is SA508 (forgings)/SA533 (plates) steel. The materials discussions for the RPV apply to all pressure vessels in the pressure vessel system (RPV, SG/IHX and cross-vessel).

3.1.1.3 FOAK RPV

NGNP Project R&D plans have identified a development strategy based on the use of SA508/533 steel for the NGNP FOAK RPV with a 750–850°C outlet temperature and conventional steam cycle, which allows the use of light water reactor steels (SA508/533) for the RPV. The selection of this material greatly simplifies DDNs and RPV qualification because nuclear industry experience with this type of steel is extensive.

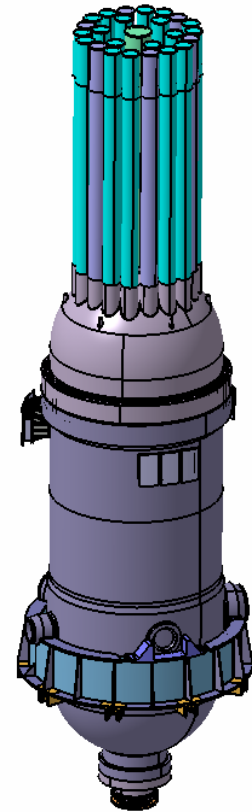


Figure 2. Typical RPV.

3.1.1.4 Reactor Pressure Vessel Status (FOAK)

The RPV technology R&D development plan details the additional R&D needed to advance the NGNP RPV, assuming SA508/533 is the material of construction.

A detailed schedule provided by the INL R&D materials program identifies the activities and durations that will mature the RPV technology from its current TRL-4 to a TRL-6 and beyond. This schedule was used in conjunction with the R&D test plans to produce the TDRMs for the RPV.

The latest General Atomics (GA) *Conceptual Design Report – Steam Cycle Modular Helium reactor (SC-MHR) Demonstration Plant* (NGNP-R00016 Revision 0), identifies the TRL level needed for a FOAK RPV to be at TRL-6. This TRL reflects a significant improvement in the technology readiness of the SC-MHR concept relative to prior NGNP Project technology readiness assessments based on the Modular High-Temperature Gas-cooled Reactor (MHTGR) design.

INL has assigned TRL-4 to the FOAK RPV because there are still licensing and codification issues for SA508/533 steel related to long term creep behaviors to 500,000 hours service time and elevated temperatures.

Table 1. Summary of TRL level determined by reactor supplier and INL.

System	AREVA	GA	Westinghouse	INL
RPV	5	6	None provided	4

3.1.1.5 Research and Development Tasks

This section discusses detailed plans to address code issues that support RPV development. The test references A1–A33 (given below) are taken from Table 11, “Summary of test plan for SA508/533 material – cold vessel,” of PLN-2803. This section describes the main effects and properties of the materials to be tested, the purpose of the tests, the environmental conditions, and, where applicable, which code case they support. Further details on the conditions of the tests are presented in Table 11 of PLN-2803.

Creep Effects on RPV Under Operating Conditions

The Code Case N-499^c database does not provide adequate creep rupture data to address the issue of whether creep effects for the RPV need to be considered under a normal operating temperature of ~320°C. Tests planned to support Conceptual Preliminary Design activities are:

- A1. Creep Rupture Tests Creep (Base metals)
- A2. Submerged Arc Weld (SAW) Cross-Weld Creep Rupture Tests (Weldments).

Environmental creep rupture tests planned to assess the potential impact of NGNP helium on the creep rupture strengths of SA508/533 steels and their weldments are:

- A3. Creep Rupture Tests in NGNP Helium (He)
- A4. SAW Creep Rupture Tests of Cross-Welds in NGNP He.

c. The latest version of Code Case N-499 has been fully incorporated into the new Section III, Division 5 of the ASME BPV Code. Division 5 provides construction rules for high temperature reactors, including HTGRs. Since Division 5 has not yet been published, this report will continue to refer to Code Case N-499.

Tests planned for limited temperature excursions above the subsection NB cut-off temperature of 371°C but within the time-and-temperature restrictions of Code Case N-499 are:

A5. Creep Rupture Tests on Fatigue-Strength Relaxation (SRX) Damaged Material

A6. SAW Creep Rupture Tests of Cross-Welds on Fatigue-SRX Damaged Material.

Longer term creep rupture tests planned in air for 5 year and 15 years are:

A7. Long-Term Qualifying Creep Rupture Tests

A8. SAW Long-Term Qualifying Creep Rupture Tests.

Relaxation Strengths

The relaxation strength is required to provide the limit to ensure that shakedown takes place so that ratcheting does not occur. Stress relaxation curves will be developed from the following tests:

- Tests covering the normal operating temperature and temperatures permitted in Code Case N-499 are:

A9. Relaxation Strength to Address Creep Effects

A10. SAW Relaxation Strength to Address Creep Effects.

- Testing conditions planned for determining the relaxation strengths for creep-fatigue damaged base metals and their associated weldments are:

A11. Relaxation Strength Tests of fatigue-SRX Damaged SA508/533

A12. Relaxation Strength Tests of Fatigue-SRX Damaged SAW Cross-Welds.

Creep-Fatigue Tests

The following creep-fatigue tests will measure fatigue-stress relaxation behavior for SA508/533 steels and their associated weldments to assist the assessment of whether creep needs to be considered for the RPV under normal operating temperature:

- A13. Fatigue-SRX Tests
- A14. SAW Fatigue-SRX Tests.

Effects on Tensile Properties

Thermal aging and creep-fatigue damage accumulated during short-term high-temperature excursions would potentially degrade tensile properties and thus impact the ratcheting resistance. The following tensile tests are proposed to determine the baseline tensile properties in the simulated stress relief condition:

- Baseline tensile properties tests:

A15. Baseline Tensile Tests

A16. Baseline Tensile Tests of SAW Cross-Welds.

- Creep-fatigue damaged condition:

A17. Tensile Tests of Fatigue-SRX Damaged SA508/533

A18. Tensile Tests of Fatigue-SRX Damaged Cross-Welds.

- Thermally aged condition:

A19. Tensile Tests of Thermally Aged SA508/533

A20. Tensile Tests of Thermally Aged Cross-Welds

A21. Tensile Tests of Long-Term Thermally Aged SA508/533

A22. Tensile Tests of Long-Term Thermally Aged SAW Cross-Welds.

Fracture Toughness

There are two fracture issues of concern for the NGNP RPV in the low temperature, brittle regime. First, very long-term thermal aging accumulated during the normal operations at 350°C for the ~60 year service life may cause embrittlement resulting in potential negative impact on the fracture toughness. Second, creep-fatigue damage accumulated during the short-term high-temperature excursions that are permitted by Code Case N-499.

- Data required to address the leak-before-break issue on the NRC concerns:

A23. Baseline Toughness Measurements (Master Curve To and J-R Curve) Base Metals

A24. Toughness Measurement (Master Curve To and J-R Curve) for Fatigue-SRX Damaged Material

A25. Toughness Measurement (Master Curve To and J-R Curve) for Thermally Aged Material (Aged at 450°C for 20,00h)

A26. Toughness Measurement (Master Curve To and J-R Curve) for Thermally Aged Material (Aged at 450°C for 70,00h)

- Testing of weldments where the crack is aligned within the weldment include:

A27. SAW Baseline Toughness Measurements (Master Curve To and J-R Curve) Weldment

A28. SAW Baseline Toughness Measurements (Master Curve To and J-R Curve) Weldment Heat Affected Zone.

Cyclic Stress-Strain Curve

Cyclic stress-strain curves are required to determine the cyclic response. Cyclic hardening, cyclic softening, or cyclic neutral material behavior is important in establishing the negligible creep criterion to support the Code Case N-499 effort. Testing to develop cyclic stress-strain curves at 20, 350, 371, 427, and 538°C consist of:

A29. Cyclic Stress-Strain Curves for A 508.

Testing to Support Reevaluation of Code Case N-499

Data that supported this code case were from SA533B (rolled) steel, creep-fatigue damage data for SA508/533 is not available. The following tests are proposed to address these database issues:

- Short-term creep rupture tests that cover the applicable durations of the code case for base metal and weldments:

A30. Creep Rupture Tests in Air

A31. SAW Cross-Weld Creep Rupture Tests.

- Code Case N-499 database Creep-fatigue tests for base metals and weldments:

A32. Fatigue-SRX Tests

A33. SAW Cross-Weld Fatigue-SRX Tests.

3.1.1.6 Design Data Needs

Several DDNs are associated with the technological development of the NGNP RPV. The test references in the ‘Status’ column given below for A1–A33 are taken from Table A-1 in Appendix A of PLN-2803. The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 2. RPV DDNs.

Number	Vendor	Description	Status (covered by tests)
2.2.1.1	AREVA	RPV High Temperature Material. Mechanical properties on heavy section products (base and weld metal).	The data need is covered by Tests A5, A6, and A7.
2.2.4.1	AREVA	RPV Low Temperature Material: <ul style="list-style-type: none"> • Effect of aging. • Effect of irradiation • Creep during high temperature, short duration (100h) excursions • Corrosion in helium environment • Emissivity (in air and helium, and considering emissivity degradation). 	The data need is covered by tests A3 and A4.
2.2.4.1b	AREVA	Time Dependent Material Properties of SA508/533 in an HTGR Environment.	The data need is covered by tests A5 and A7.
2.2.4.1c	AREVA	Corrosion Effects on SA508/533 in a HTGR Environment.	The data need is covered by tests A3, A4, and Nuclear Energy University Program (NEUP).
M.11.06.02	GA	Determine Properties of SA533B (Mn-1/2 Mo-1/2 Ni) Base Metal and Weldment at Elevated Temperatures.	The data need is covered by tests A22, A27.
2.2.4.1a	AREVA	Irradiation Effects on SA508/533 in a HTGR Environment.	Not addressed. The INL Materials program stance is that the irradiation effects are well known in the temperature range of light water reactor vessels. Although NGNP temperatures are expected to differ from light water reactor temperatures, the fluence is estimated to be roughly an order of magnitude less for the NGNP RPV. Therefore studies of irradiation effects on long-term creep and creep-fatigue are not planned at this time.
2.2.2 1d	AREVA	Emissivity of SA508/533. Emissivity data has not yet been found to exist. Any data obtained must meet the QA requirements of 10 CFR 50, Appendix B.	There are no R&D test plan activities related to the emissivity of SA508/533 RPV steel internal to the INL NGNP R&D project. There is however testing of emissivity of the candidate materials currently ongoing at University of Wisconsin under a Nuclear Energy Research Initiative (NERI) program.

3.1.1.7 Additional Technological Development

There are no additional technological development items identified in the Material's test plan to further NGNP technological development.

3.1.1.8 Technology Development Roadmap (FOAK)

Appendix A contains the TDRM for NGNP R&D FOAK RPV.

3.1.1.9 NOAK RPV

NGNP R&D plans identify a development strategy for a NOAK NGNP based on the use of Grade 91 steel. This option is known as the *hot vessel option*, which could require the use of higher temperature alloys. This design minimizes active cooling of the vessel and allows the RPV to operate at a higher temperature. For Grade 91 the design temperatures may be $>371^{\circ}\text{C}$ but less than the maximum allowable temperature specified in Section III, Subsection NH, for the RPV steel.

Grade 91 steel is approved in Section III of the ASME BPV Code, Division 1, Subsection NH. It is codified for a service life up to 300,000 hours. The current design concept of 60 years would require a service life up to 500,000 hours. Considerably more development is required for this steel compared to SA508/533, including additional irradiation testing for expected NGNP operating temperatures, high-temperature mechanical properties, and extensive studies of long-term microstructural stability.

There is an alternative RPV design that has a modified coolant flow through the RPV, such that it would keep the internal reactor temperature down to a point where Grade 91 steel would not be required for an elevated outlet temperature required for a NOAK HTGR. This option is known as the 'Cooled Vessel' option.

3.1.1.10 Reactor Pressure Vessel Status (NOAK)

The RPV technology R&D development plan details the additional R&D required to design and license the NGNP RPV hot vessel option, with the assumption that Grade 91 steel is the material of construction.

There is currently no schedule for implementing the R&D test plans to mature the RPV technology for Grade 91 steel from the current TRL-4 to a TRL-6 and beyond.

3.1.1.11 Research and Development Tasks

This section discusses the detailed plans to address the code and licensing issues highlighted for the hot vessel options for Grade 91 steel. Table 2 in Appendix A of PLN-2803 details the planned R&D tests to further the RPV technology development for Grade 91 steel.

The major concern with Grade 91 steel for NGNP RPV application is the adequacy of thick section properties of the base metal (as-received and post-weld heat treated), and weldments.

The following information gives the main effects and properties of the tests and environmental conditions. Table 2 in Appendix A of PLN-2803 gives further details about the testing conditions of the tests.

Negligible Creep Temperature for Grade 91 Steel

The following tests address creep, creep rupture, and creep-fatigue tests to support the assessment of negligible creep conditions, expand the Grade 91 creep database, and provide creep-fatigue data to validate the negligible creep temperature recommended by the Department of Energy (DOE)/ASME Standards Technology, LLC /DOE:

- Creep rupture tests for test temperatures of 425, 450, and 475°C:
 - C1 Creep Tests at 425°C to Support Determination of Negligible Creep Temperature for Grade 91 Steel
 - C2 Creep Tests at 450°C to Support Determination of Negligible Creep Temperature for Grade 91 Steel
 - C3 Creep Tests at 475°C to Support Determination of Negligible Creep Temperature for Grade 91 Steel.
- Expand the creep database
 - C4 Creep to Extend Grade 91 Steel Database.

Creep-Fatigue Testing

There are two creep-fatigue protocols for the improvement of ASME BPV Code, Section III, Division1, Subsection NH Rules. One is a fatigue-relaxation test and the other a creep-fatigue test:

- Test matrices for the fatigue-relaxation and creep-fatigue tests:
 - C6. Fatigue-Relaxation Tests at 500°C
 - C7 Creep-Fatigue Tests at 500°C (stress control)
 - C8. Fatigue-Relaxation Tests for Grade 91 Steel at 550°C
 - C9. Creep-Fatigue Tests for Grade 91 Steel at 550°C
- Fatigue-relaxation and creep-fatigue test matrices at 500°C for aged material where the aging protocol is 20,000 hours:
 - C10. Fatigue-Relaxation Tests at 500°C for Aged Grade 91 Steel
 - C11. Creep-Fatigue Tests at 500°C for Aged Grade 91 Steel
- Creep rupture tests of thick section welds:
 - C13. Weld Stress Rupture Factor for SAW, gas tungsten arc welding, and Shielded Metal Arc Welding Cross-Welds
- Creep rupture tests on Grade 91 specimens that have been softened by creep-fatigue pre-conditioning:
 - C14. Short & Medium Term Creep Tests on Creep Fatigue Softened Samples
- Tensile tests on creep-fatigue softened Grade 91 specimens:
 - C15. Tensile Tests for Creep Fatigue Softened Samples at 550°C
- Testing to support the development of a design continuous cycling fatigue curve at 650°C for use in Subsection NH:
 - C16. Test Matrix for Grade 91 Steel Fatigue Design Curve at 650°C.

3.1.1.12 Design Data Needs

DDNs associated with the technological development of the NGNP RPV are listed in Table 3. The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 3. RPV DDNs.

Number	Vendor	Description	Status (covered by tests)
2.2.3.1h	AREVA	Material Properties of Grade 91 in a HTGR Environment up to 650°C.	This data need is covered by tests C13. There is currently no schedule for these tests in the RPV test plan.
2.2.3.1d	AREVA	Corrosion Effects on Grade 91 in a HTGR Environment.	The data need is covered by tests C6 and C7. There is currently no schedule for these tests in the RPV test plan.
2.2.3.1f	AREVA	Irradiation Effects on Grade 91 in a HTGR Environment.	Not addressed
N.12.01.03	GA	Reactor Vessel Emissivity – Reactor Vessel Emissivity Grade 91 Forging.	There are no test plan activities related to the emissivity of Grade 91 steel internal to the INL NGNP R&D project; there is however testing of emissivity of the candidate materials currently ongoing at University of Wisconsin under a NERI project.

3.1.1.13 Additional Technological Development

A number of tasks or programs have been identified that need to be performed to advance the technology development of the hot vessel option RPV Grade 91 steel. This section identifies some programs that are being proposed to be performed but there is no specific test plan identified for this work. There is also no schedule identified for these activities. These are effectively follow-on activities to be performed at a later date.

Irradiation Effects

Longer term (~two years) and low flux irradiation data are needed to address the concern of the synergistic effect of irradiation enhanced segregation of embrittling impurities on grain boundaries. A proposed program would include irradiation of tensile specimens, compact tension specimens for fracture toughness evaluation. There are probably only three reactors left in North America and two reactors in Europe that are capable of performing such irradiation experiments.

Define Adequate Weldments

Grade 91 steel requires post-weld quench and temper heat treatment to achieve maximum high temperature properties. In addition to standard specifications for post-weld examination (e.g., inspection for lack of fusion), the microstructure must be characterized. The current ASME BPV Code rules specify a maximum hardness in order to ensure that proper tempering treatment has been carried out. An additional specification will be required for minimum hardness to ensure that the quench from the austenitizing temperature was sufficient to avoid formation of ferrite and coarse carbides.

Define Testing Schemes for Prototypical Weldments

Testing schemes that need to be developed for prototypical Grade 91 weldments include:

1. Characterize the microstructure of the welds to determine whether the desired microstructures are achieved, and delta ferrite is limited within the allowable standards stipulated by ASME BPV Code, Section III, Division 1, Subsection NH.
2. Evaluate the integrity of the fabricated weldment in optimizing the filler metals and processing parameters.
3. Generate some verification data for the weld strength factor, such as the stress-rupture factor for welds needed for Tables I-14.10 of Mandatory Appendix I of ASME BPV Code, Section III, Division 1, Subsection NH.

Post-Weld Heat Treatment

Customized post-weld heat treatment procedures must be developed for Grade 91. Post-weld heat treatment must be adjusted, depending on the filler metal employed, to achieve the desired microstructure and mechanical properties.

3.1.1.14 Risk Analysis and PIRTs

The NGNP risks database (NGNP_RMS_V2_D_15SEP2010.mdb) identifies a number of risks and PIRTs associated with the RPV. Table 4 identifies how those risks are mitigated by the RPV R&D test plans. The test references given below for A1–A33 and C1–C16 are taken from Table 11 and Table B-6 in PLN-2803.

Table 4. RPV risk and PIRT analysis.

Risk Title	Description	Associated PIRTs	Status
504. Inspection of Thick Sections and Weldments for RPV.	Interface relationship between high temperature and low temperature components may cause structural integrity issues.	6944-188. Field fabrication process control. 6944-189. Property control in heavy sections.	Field fabrication process still needs to be developed, especially in the postweld heat treatment area. Improved properties database for weldments from tests C1, C2, C3, C4, C8, C12, and C13.
518. Irradiation Effects On Fracture Performance.	Confirmatory irradiation embrittlement on fracture performance data may not be obtainable.	6944-178. Crack initiation and subcritical crack growth. 6944-181. Radiation degradation – Grade 91. 6944-182. Radiation degradation – SA533.	No tests identified in the test plan.

Table 4. (continued).

Risk Title	Description	Associated PIRTs	Status
541. NRC Issues Identified In PIRT and Not Addressed by Vendors for the RPV.	NRC Issues Identified In PIRT and Not Addressed by Vendors.	6944-60. Cavity overpressurization. 6944-77. Chimney effects. 6944-157. Corrosion products. 6944-191. Crack initiation and subcritical crack growth in power conversion vessel. 6944-79. Environment-to-confinement air leakage. 6944-158. Erosion products, noncarbon. 6944-192. High cycle fatigue in power conversion vessel. 6944-61. Pressure pulse in confinement. 6944-161. Radiolysis effects in confinement.	General material fatigue, creep and corrosion test are identified in the test plan C1-C16.
506. RPV Emissivity vs. Power Level.	RPV emissivity may be less than 1.	6944-183. Compromise of emissivity due to loss of desired surface layer properties. 6944-35. Core barrel emissivity. 6944-156. Dust deposition on vessel and Reactor Cavity Cooling System (RCCS) hardware. 6944-19. RCCS heat removal. 6944-106. RCCS performance with “gray gas” in cavity. 6944-33. Reactor vessel cavity “gray gas” (participating media). 6944-32. Reactor vessel cavity air circulation and heat transfer. 6944-18. Side reflector—core barrel—vessel heat transfer. 6944-29. Vessel emissivity. 6944-31. Vessel to RCCS effective view factors.	No emissivity tests identified in test plan. Testing of emissivity of the candidate materials currently ongoing at University of Wisconsin under a NERI project.

Table 4. (continued).

Risk Title	Description	Associated PIRTs	Status
507. RPV Loss-of-Coolant Strength and Creep Resistance.	The effects of loss of coolant and creep resistance may be unknown at design temperatures and durations during optimal conditions.	6944-177. Crack initiation and subcritical crack growth. 6944-178. Crack initiation and subcritical crack growth. 6944-184. Creep (transient). 6944-185. Creep (transient). 6944-179. High Cycle Fatigue. 6944-180. High Cycle Fatigue. 6944-175. Thermal aging (short term, high temperature). 6944-176. Thermal aging (short term, high temperature). 6944-29. Vessel emissivity.	Creep resistance, fatigue and thermal aging covered by tests C1–C16.
515. RPV: Vessel Size/Fabrication.	Fabrication techniques for forging large RPV associated with very high temperature reactors (VHTRs) have not been developed.	6944-188. Field fabrication process control. 6944-189. Property control in heavy sections.	Field fabrication process still needs to be developed especially in the post weld heat treatment area. Improved properties database for weldments from tests C1, C2, C3, C4, C8, C12 and C13.
519. Temperature Effects On Mechanical Properties of Grade 91.	Grade 91 thick section forging, elevation temperatures for its welds, and its mechanical property database may need to be obtained.	6944-186. Creep (normal operations). 6944-187. Creep (normal operations). 6944-173. Thermal aging (long term). 6944-174. Thermal aging (long term). 6944-175. Thermal aging (short term, high temperature). 6944-176. Thermal aging (short term, high temperature).	Creep and thermal aging for thick forgings are covered by tests C1–C16.
540. Temperature of Pressure Boundary for RPV.	Temperature of Pressure Boundary.	6944-186. Creep (normal operations). 6944-184. Creep (transient). 6944-185. Creep (transient).	Creep resistance, fatigue and thermal aging covered by tests C1–C16.
508. Unavailable Data for Long-term Thermal Aging Effects of SA508.	Thermal aging effects of SA508 are promising but additional information is needed on the long-term aging effects.	6944-190. Thermal aging. 6944-173. Thermal aging (long term). 6944-174. Thermal aging (long term). 6944-175. Thermal aging (short term, high temperature). 6944-176. Thermal aging (short term, high temperature).	Thermal aging of SA508 steel addressed by tests A19, A20, A21, A22, A25, and A26.
539. Uncertainty in Extrapolated Data to Higher Temperatures in RPV.	Uncertainty in Extrapolated Data to Higher Temperatures.	6944-186. Creep (normal operations). 6944-173. Thermal aging (long term). 6944-174. Thermal aging (long term). 6944-175. Thermal aging (short term, high temperature). 6944-176. Thermal aging (short term, high temperature).	Creep and thermal aging for thick forgings are covered by tests C1–C16.

Table 4. (continued).

Risk Title	Description	Associated PIRTs	Status
510. Weldments and post weld heat treatment of Grade 91 steel.	Additional data may be needed for the mechanical properties of thick sections, where there is the possibility of retained ferrite in this martensitic steel, which can lead to embrittlement.	6944-188. Field fabrication process control. 6944-189. Property control in heavy sections.	Field fabrication process still needs to be developed especially in the post weld heat treatment area. Improved properties database for weldments from tests C1, C2, C3, C4, C8, C12, and C13.

3.1.1.15 Technology Development Roadmap (NOAK)

A TDRM for NGNP R&D NOAK RPV is shown in Appendix A.

3.1.1.16 System Summary

The DDNs, risks and PIRTs are being addressed by the materials test program. There are however a number of gaps where a DDN or risk are not covered by the materials program.

There are no tests identified for the emissivity of SA508/533 and Grade 91 steel. Any oxidized steel has similar emissivity properties, therefore the thought being that there is no pressing need for tests at this time with the limited resources available. There are emissivity tests being performed at the University of Wisconsin under the NERI project, but not under the control of the INL.

There are no tests for the effects of irradiation on SA508/533 and Grade 91 steel (fractured and non fractured). The INL Materials program stance is that the irradiation effects are well known in the temperature range of light water reactor vessels. Although NGNP temperatures are expected to differ from light water reactor temperatures, the fluence is estimated to be roughly an order of magnitude less for the NGNP RPV. Therefore studies of irradiation effects on long-term creep and creep-fatigue are not planned at this time.

3.1.2 Intermediate Heat Exchanger

The IHX accepts heat from the primary loop and transfers it to the secondary loop.

3.1.2.1 IHX Design Description

The IHX transfers heat between the primary heat transport system (PHTS) and the secondary heat transport system (SHTS). The PHTS is comprised of the primary piping, primary circulator, and primary helium working fluid. The SHTS is comprised of the secondary piping, secondary circulator, and secondary helium working fluid. Heat is also transferred by the IHX to downstream applications (e.g., power production [SG], and process heat).

The IHX is comprised of the following components:

- Heat exchanger cores and/or modules containing the heat transfer surface
- The IHX vessel
- Headers and/or piping that provide a transition between the heat exchanger cores and/or modules and the PHTS and SHTS piping
- Internal structures that provide for support (steady state, transients, and seismic loading) of the IHX and related internal components within the IHX vessel
- Thermal baffles and insulation.

Functions Performed

The primary functions of the IHX are to contain the primary and secondary helium coolants and to transport thermal energy, in the form of heat, from the reactor's PHTS to the SHTS working fluid. Secondary functions include providing a pressure boundary, insulating the vessel, and preventing cross contamination (secondary to primary or vice versa).

3.1.2.2 Research and Development Test Plans

The R&D test plans focus on the codification and licensing issues related to the use of the primary alloy used for the IHX Alloy 617. The test references (A1–A28) are taken from Table 21 of PLN-2804, “Next Generation Nuclear Plant Steam Generator and Intermediate Heat Exchanger Materials Research and Development Plan,” (Rev. 1, September 23, 2010).

3.1.2.3 IHX Status

The IHX is not currently included in the NGNP configuration, but will be included in follow on NOAK reactors.

INL and Westinghouse have assigned TRL-3 to the IHX. There is a significant amount of R&D to generate sufficient information to incorporate Alloy 617 into the ASME BPV Code. In addition to the requirement for inclusion of Alloy 617 in the ASME BPV Code, it has been determined that additional studies of potential degradation of the properties of this material are needed because high temperature interaction with the anticipated NGNP helium environment is required.

Table 5. IHX TRL determination by each reactor supplier and INL.

System	AREVA	GA	Westinghouse	INL
Intermediate heat exchanger	Not provided	Not provided	3	3

3.1.2.4 Research and Development Tasks

This section discusses the detailed plans to address the ASME BPV Code and NRC licensing issues related to Alloy 617. Incorporating Alloy 617 into Section III, Subsection NH and NH is one of the main goals for the draft Alloy 617 Code effort.

The sections below describe the main effects and properties of the materials to be tested. The sections describe what the purpose of the tests are, what the environmental conditions are. PLN-2804 provides further details on the testing conditions of the tests.

Subsection NH Temperature Regime

For temperatures above 427°C, the appropriate values for time-independent allowable stress are under the jurisdiction of Subsection NH. A test matrix is required to accommodate any possible request for confirmatory tensile data from the Allowable Stresses Task Force:

A1. Tensile Test Matrix for Confirmatory Testing.

Tests to Determine Weld Strength Rupture Factor for Alloy 617 Code Case

The weld strength rupture factor (WSRF) is required in applying the creep-fatigue procedure in Subsection NH. WSRF is defined as the ratio of the creep-rupture strength of the weldment to that of the base metal. A test matrix is required to determine weld strength rupture factors:

Table A2. Test Matrix to Determine Weld Strength Rupture Factor.

Assess Creep-Fatigue Procedure for Alloy 617 Welds

Creep-fatigue data for Alloy 617 weldments are needed to assess the adequacy of the treatment of welds per the Subsection NH procedure under creep-fatigue conditions. From a licensing perspective, the availability of the creep-fatigue data for welds will help to address NRC's basic concerns about weldment creep crack growth, etc., to verify overall conservatism. Half of the specimens will be tested in air while the other half will be tested in a helium environment:

A3. Creep-Fatigue Tests for Alloy 617 Welds.

Characterize Aging Effects on Fracture Toughness

The formation of embrittling phases during long-term thermal exposure of Alloy 617 at a certain temperature range (below the intended steady-state operating temperature for IHX components) has a negative impact on toughness. A test matrix is required to establish fracture toughness to address NRC concerns:

A4(a). Aging Effects on Fracture Toughness of Alloy 617 Wrought Metal

A4(b). Aging Effects on Fracture Toughness of gas tungsten arc welding from Filler Metal 617.

Strain Rate Change Tensile Tests

Tensile tests are required to obtain data to determine the strain rate sensitivity of Alloy 617 to support the Unified Constitutive Model. A wide range of strain rates is sampled within a single test. The tests cover the full range of temperatures from room temperature to 1000°C:

A5. Tensile Test Matrix to Determine Strain Rate Sensitivity in Support of Unified Constitutive Model.

Strain Rate Change Torsion Tests

These tests are designed to gather data on the strain rate sensitivity of Alloy 617 to support the Unified Constitutive Model:

A6. Torsion Test Matrix for Validating Von Mises Criterion to Support Unified Constitutive Model.

Stress Dip Tests

These tensile tests are designed to obtain data for determining the materials constants related to the internal variables. During the stress dip period, the test samples a wide range of inelastic strain rates:

A7. Stress Dip Test Matrix to Support Unified Constitutive Model.

Short-term Creep Tests

These tensile tests are designed to obtain data for determining the materials constants related to the internal variables:

A8. Test Matrix for Short-term (Days) Creep Tests to Support Unified Constitutive Model.

Uniaxial Ratcheting

These ratcheting tests are designed to obtain data for determining the materials constants related to the internal variables:

A9. Test Matrix for Uniaxial Ratcheting Tests to Support Unified Constitutive Model.

Torsional Cycling with Constant Axial Strain

These biaxial tests are designed to obtain data for determining the materials constants related to the internal variables:

A10. Test Matrix for Torsional Cycling with Constant Axial Strain to Support Unified Constitutive Model.

Tensile Loading-Unloading-Creep Sequence

These tensile tests are designed to obtain data for determining the materials constants related to the internal variables:

A11. – Test Matrix for Loading-Unloading-Creep Sequence to Support Unified Constitutive Model.

Thermo-mechanical Cycling Tests

Out-of-phase thermo-mechanical cycling tests are proposed to determine the non-isothermal response of Alloy 617:

A12. Test Matrix for Thermo-mechanical Cycling to Support Unified Constitutive Model.

Creep and Relaxation Curves

Longer term creep and stress relaxation responses are needed to qualify the long-term predictability of the unified constitutive model. To support the early need dates of the conceptual/preliminary design activities, data from the data log at four-month intervals will be used to calibrate/fine-tune the material constants of the unified constitutive equations for Alloy 617:

A13(a). Test Matrix for Creep Curves to Qualify Unified Constitutive Model.

Thermal Aging Effects

The data will be used to determine the influence of thermal aging on the material constants of the unified constitutive model. This effort also addresses NRC concern that Alloy 617 undergoes thermal aging when exposed to elevated temperatures for long-time service:

A14. Uniaxial Tests on Thermally Aged Alloy 617 to Support Unified Constitutive Model.

Model Tube Burst Tests – Alloy 617

This will be a series of model tube burst tests to demonstrate the adequacy of the criteria and adjusted allowable stress values to address NRC concerns for (a) long-term thermal aging and (b) crack initiation and subcritical crack growth, respectively. These test results will also address NRC concern regarding weld integrity.

A15. Tube Burst Tests for Alloy 617 and Alloy 800H

Improve Alloy 617 Creep-Fatigue Procedure

Elastic follow-up testing uses a simplified model of the structure to determine the cyclic life experimentally (Simplified Model Test [SMT] approach). A test matrix for generating creep-fatigue data using 40 SMT specimens:

A16. Creep-Fatigue Test Matrix for SMT Specimens ($\epsilon\Delta\epsilon$ = elastically calculated strain range in percent).

Long-term Creep

To support ASME BPV Code acceptance, final design activities and final licensing approval, long-term creep-rupture tests at low-stress levels are required. A few very-long-term creep-rupture tests at prototypical operating stress levels should be initiated to provide creep rupture data:

A17. Long Term Alloy 617 Creep Rupture Tests for Qualification.

Yield and Tensile Strength Reduction Factors

For extended elevated temperature service, Subsection NH requires using yield and tensile strength-reduction factors that are functions of exposure time and exposure temperature to account for possible decrease in the yield and tensile strengths caused by thermal aging:

A18. Thermal Aging Test Matrix for Strength Reduction Factors.

Fatigue Design Curves for Alloy 617 Code Case (Standard Grain Size)

The continuous fatigue design curves are an integral part of the creep-fatigue procedure in Subsection NH. At higher temperatures and lower strain rates, crack growth change from trans-granular to Inter-granular, and there is a significant effect of strain rate. These tests will capture data for the design curves:

A19. Fatigue Tests to Support Design Curve Development in Alloy 617 Code Case.

Creep-Fatigue Interaction Diagram for Alloy 617

The creep-fatigue interaction diagram is an integral part of the creep-fatigue procedure of Subsection NH. A test matrix for generating creep-fatigue data to support the determination of the creep-fatigue interaction diagram in the Alloy 617 Code Case will utilize 96 creep-fatigue specimens:

A20. Creep-fatigue Test Matrix to Support Determination of Creep-Fatigue Interaction Diagram.

Multiaxial Creep-rupture Failure Criterion for Alloy 617

Creep-rupture data that support the creep-fatigue design procedure of Subsection NH are based on uniaxial testing. Structural components generally undergo multiaxial loading. In Subsection NH, an effective stress defined with respect to a multiaxial rupture strength Criterion 102 is used to relate the multiaxial stress state in a component determined by inelastic analysis to the uniaxial creep-rupture data:

A21. Test Matrix to Determine “C” Factor in Multiaxial Creep Rupture Strength Criterion for Alloy 617.

Microstructural Determination of the Onset of Tertiary Creep

Information is needed on whether the gradually increasing creep curve is a manifestation of different deformation mechanisms typified by dislocation generation and motion, or if it corresponds to creep damage such as grain boundary cavitation and cracking reminiscent of those occurring in the classical tertiary creep regime:

A22. Test Matrix for Interrupted Creep Tests.

Fatigue with Hold Time for Alloy 617

A critical issue of whether the creep-fatigue behavior of Alloy 617 saturates with increasing hold time. In this context, saturation means that the number of cycles to failure is no longer increasing, as the hold time increases (or increasing very little). A test matrix is required to clarify this issue.

A23. Test Matrix to Address Creep-Fatigue Saturation with Hold Time.

Assessment of Diffusional Creep for Alloy 617

The creep mechanisms for Alloy 617 at very high temperatures and low-stress can be explored using the creep test plan matrix. The data from this testing will be analyzed to determine the stress exponents, activation energies, and operative creep mechanisms:

A24. Test Matrix to Explore Creep Mechanisms of Alloy 617.

Creep-rupture Tests to Develop Grain Size Rupture Factors

This test matrix supports the determination of the grain size rupture factors. The test temperatures are 800, 900, and 1000°C:

A25. Test Matrix for Determining Grain Size Rupture Factors for Alloy 617.

3.1.2.5 Design Data Needs

The DDNs for the IHX are focused around the material properties of Alloy 617. Several DDNs associated with the technological development of the NGNP IHX are described in Table 6. The test references A1–A28 are taken from Table 21 of PLN-2804. The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 6. IHX DDNs.

Number	Vendor	Description	Status
2.2.2.1	AREVA	IAHX Materials - Baseline mechanical property data, including creep-fatigue data.	The data need is covered by tests A3, A16, A20.
N.13.02.01	GA	Effects of Primary Helium and Temperature on IAHX Materials.	The data need is covered by tests A3, A19, A20.
HPS-ELE-07	WEC	Test Alloy 230 and Alloy 617 in High Temperature Helium and Air/Oxygen and Steam/Hydrogen Mixtures.	The data need is covered by tests A3, A19, A20 Just for 617 and not for steam/hydrogen.
HTS-01-02	WEC	Thermal/Physical and Mechanical Properties of Alloy 617.	The data need is covered by tests A18.
HTS-01-04	WEC	Aging Effects Of Alloy 617.	The data need is covered by tests A4a, A4b, A14, A18.
HTS-01-05	WEC	Environmental Effects of Impure Helium on Alloy 617.	The data need is covered by tests A3, A19, A20.
HTS-01-06	WEC	Grain size assessment of Alloy 617.	The data need is covered by tests A25, A28.
HTS-01-21	WEC	Corrosion allowances for Alloy 617.	The data need is covered by tests A3, A19, A20.
HTS-01-03	WEC	Welding and as-welded properties of materials of Alloy 617 for compact heat exchangers.	No tests identified.
HTS-01-20	WEC	Influence of section thickness on materials properties of Alloy 617.	A scoping study was recommended in the R&D test plans for the IAHX.

3.1.2.6 Additional Technological Development

The R&D materials test plans recommend further development of Alloy 617. Currently for the work identified in this section there are no test plans or any existing programs in place to perform these activities.

This section identifies some programs that are being proposed to be performed but there is no specific test plan identified for this work. There is also no schedule identified for these activities. These are effectively follow-on activities to be performed at a later date.

Scoping Study for Creep-rupture Strength of Alloy 617 Foils

Accelerated creep-rupture tests on foils with different grain sizes need to be initiated as soon as possible. The resulting creep-rupture times from small grain-sized specimens are to be compared with those from standard grain-sized specimens under the same temperature and stress conditions. Based on these data, if a sufficient performance envelope exists and the creep deformation mechanism is similar to the standard grain-sized product forms, steps will be taken to support ASME BPV Code material qualification.

Define adequate bonds

A test program must be developed to determine mechanical properties of the diffusion bonds or brazed joints. It must also be verified that the environmental effects in the joined regions are similar to the

base metal, that the microstructure is stable with no chemical in-homogeneity, and that the grain size is acceptable.

Environmentally Assisted Cracking

Experiments must be designed to test materials and components in gas representative of the NGNP gas. The chemical composition, including anticipated impurities and moisture levels, pressure (~7 MPa), and velocities up to 75 m/s must all be accounted for. Under these conditions, there is an increased potential for erosion. This effort will be coordinated with the NGNP Heat Transport System Components Engineering Test Plan.

Key Feature Testing

Key feature testing is a way to bridge the gap between structural performance prediction by design methods, which are established based on specimen testing, and the actual structural performance of the component as determined from component testing or actual service.

Vessel and Piping Ratcheting Tests for Alloy 617 and Alloy 800H

These tests address NRC concerns on whether the current simplified bounding methods in ASME BPV code, Subsection NH, Appendix T are appropriate for both the geometry and conditions for which they were derived, a pressurized cylinder with cyclic linear through-the-wall thermal gradients, and the more general geometries and loading conditions for which they are currently permitted.

3.1.2.7 Risk Analysis and PIRT

The NGNP risks database (NGNP_RMS_V2_D_15SEP2010.mdb) identifies a number of risks and PIRTs associated with the IHX. Table 7 identifies how those risks are mitigated by the Materials R&D test plans. The test references given in Table 7 for A1–A31 are taken from Table 21 of PLN-2804.

Table 7. IHX Risk and PIRT analysis.

Risk Title	Description	Associated PIRTs	Status
446. Contaminant Effects on Material Properties.	The codes and code cases do not provide specific guidelines for environmental effects, especially the effect of impure helium on the high temperature behavior (e.g., fatigue, creep, and creep-fatigue) of the materials considered.	6944-211. Water or chemical ingress/attack.	Impure helium effects addressed by tests A3, A19, and A20 for Alloy 617.
444. Design and Material Stresses for IHX.	Early design and model testing may be required to confirm the feasibility of selected methods.	6944-318. System, Subsystem/Structure, Component, Stress on IHX or Other Component in Contact with Balance-of-Plant (BOP) Generic Power or Thermal Transients Initiated in VHTR Events that Impact Chemical Plant.	Stress and environmental tests on Alloy 617 covered by tests A1–A31.
448. Difficult to perform all tests on Alloys 617 and 230.	Alloys 617 and 230 in all product forms and grain sizes may be cost prohibitive and adds unnecessary burden on R&D activities and budgets.	6944-209. Manufacturing phenomena (such as joining).	A comprehensive set of tests with multiple grain sizes are proposed in the test plan from A1–A31.

Table 7. (continued).

Risk Title	Description	Associated PIRTs	Status
565. Effects of Helium Contaminants On Material Micro Structure and Performance.	Effects of Helium Contaminants On Material Micro Structure and Performance.	6944-205. Crack initiation and subcritical crack growth.	Environmental effects are covered by tests A3, A19, and A20.
468. Failure Mechanisms for Design Criteria, Creep, Fatigue.	Cyclic loading introduces a failure mechanism in structural components at elevated temperatures: creep-fatigue.	6944-205. Crack initiation and subcritical crack growth. 6944-206. High cycle fatigue. 6944-212. Plastic instability. 6944-204. Thermal aging.	Creep, creep fatigue, thermo-mechanical cycling covered by tests A1–A31.
447. IHX Creep and Fatigue Effects.	Creep and fatigue effects may change with variations in product form and accompanying grain size, e.g. plate vs. sheet vs. foil with large or small grains. Data and understanding of such variations for both Alloy 617 and 230 for the IHX must be generated.	6944-207. Crack initiation and propagation.	Creep fatigue effects are identified for a number of product forms, bar, plate, foil, strip, tube, and weld across multiple grain sizes. A1–A25.
452. Immature Compact Heat Exchanger Technology.	Immature Compact Heat Exchanger Technology.	6944-208. Primary boundary design methodology limitations for new structures (lack of experience). 6944-204. Thermal aging.	Coolant impurities and long term thermal aging of Alloy 617 are addressed by A3, A18, A16, A19 and A20. Component design and testing will be performed at a later date.
566. Inspection of Weldments for IHX.	May not have the ability for sufficient inspection of welds in IHX.	6944-210. Inspection/testing phenomena.	Inspection procedures and criteria will need to be developed. Compact heat exchangers are more problematic for inspection (possible periodic replacement should be considered). No plan in place to do this task.
454. Potential Leakage in the IHX.	The IHX in the primary heat transport loop may leak helium into the atmosphere.	6944-317. Accident Radionuclide Release 6944-165. NGNP-unique leakage path beyond confinement.	Identified in PLN-3305, “Heat Transport System Components Engineering Test Plan” (Rev. 1, 06/30/10) Table 8, Small scale and circulating loop tests – Mechanical performance testing of IHX subassemblies.
471. Temperature of Pressure Boundary for IHX.	Depending on the material of the pressure boundary, temperatures above 375–425°C may result in a pressure boundary creep.	6944-208. Primary boundary design methodology limitations for new structures (lack of experience).	Coolant impurities and long term thermal aging of Alloy 617 are addressed by A3, A18, A16, A19, and A20.

Table 7. (continued).

Risk Title	Description	Associated PIRTs	Status
473. Temperature of Pressure Boundary for IHX.	Migration of tritium from the primary system may cause spread of contamination of the secondary and tertiary systems.	6944-315. Diffusion of 3H. 6944-316. Diffusion of 3H.	The migration of tritium is being investigated under PLN-3479, “R&D Test Control Plan – High Temperature Hydrogen Permeation through Nickel Alloys” (Rev. 0, 4/19/2010). The permeation tests are performed at the Safety and Tritium Applied Research (STAR) Facility at the Advanced Test Reactor (ATR) Complex.
470. Uncertainty in Extrapolated Data to Higher Temperatures in IHX.	The risk is associated with the uncertainty in extrapolation of existing data to higher temperatures.	6944-212. Plastic instability.	Coolant impurities and long term thermal aging of Alloy 617 are addressed by A3, A18, A16, A19, and A20.
481. Verification & Validation (V&V) of IHX Analytical Methods to Support Design Development.	V&V of analytical methods may be required to support design development, ASME BPV code acceptance, ASTM International standards acceptance, and NRC licensing. The inability to simulate anticipated operational or off-normal plant behaviors may impede licensing.	6944-308. Harmonics. 6944-314. Loss of Heat Sink Cooling, then no Heat Sink IHX Hydrodynamic Loading.	Identified modeling required using RELAP5 and ASPEN in PLN-2498, “Methods Technical Plan” (Rev. 2, 09/27/10).

3.1.2.8 Technology Development Roadmap

A TDRM for NGNP R&D IHX is shown in Appendix A.

3.1.2.9 System Summary

There are a few gaps where a DDN or risk are not covered by the materials program related to materials for use by the IHX

There are no tests for welding and weld properties of Alloy 617 or for the influence of section thickness on the material properties for 617. Currently there is no provision in the ASME BPV code for diffusion bonding or brazing, no tests are planned pending standardization and codification in this area.

There is a risk identified related to the inspection of weldments for the IHX. There are currently no test plans from a materials perspective related to this risk. This is to be expected since the materials program is focused on the properties of the building materials for the IHX and not the design.

3.1.3 Steam Generator

The SG converts water into steam using heat from a heat source, in this case heat produced in a nuclear reactor core. Pressurized water is channeled through alloy tubes, which heats up water around the tube to form the steam.

3.1.3.1 Steam Generator Design Description

In the SG, subcooled feedwater acquires heat from the higher temperature helium circulating in the PHTS and vaporizes, becoming superheated steam. Piping transports the steam to the turbine inlet to drive the turbine rotation or it can be directed for use as process heat. The SG design concept is a direct-cycle helical tube. It is a vertically oriented, counter-flow, shell-and-tube, once-through, nonreheat tubular heat exchanger with helium on the shell side and water/steam in the tubes. The SG may interface with the secondary heat exchanger and power conversion system. Internal structure materials (tube supports, tube surfaces, shrouds, etc.) are selected consistent with their respective operating temperatures. The SG incorporates an economizer, evaporator, and first-stage superheater in one helical tube bundle, followed by a finishing superheater in a second helical tube bundle.

Functions Performed

The function of the SG is to produce superheated, high-pressure steam for conversion into mechanical work to turn a turbine that will generate electricity. The steam can also be directed through piping such that it can be used as process heat in industrial applications.

3.1.3.2 Research and Development Test Plans

The R&D test plans are focused on the codification and licensing issues related to the usage of the primary alloy used for the SG Alloy 800H. Test references A1–A28 are taken from Table 21 of PLN-2804.

3.1.3.3 Steam Generator Status

The SG has been assigned a TRL-6 by GA, primarily because Fort St. Vrain experience has demonstrated the basic helical coil SG thermal and hydraulic design and the SG material selections. In addition, a high level of SG design definition is already available from the cancelled MHTGR project. Both AREVA and Westinghouse believe that past experience justifies a TR-6. INL has assigned TRL-4 to the SG because there are still licensing and codification issues for Alloy 800H some of which are tensile strength because of thermal aging, extended allowable stresses to 500,000 hours service time. Material properties testing will also be needed to investigate the performance of the bimetallic weld between the Alloy 800H and 2.25Cr1Mo tubes. Table 8 summarizes TRL determinations for SG.

Table 8. Steam generator TRL determination by each reactor supplier and INL.

Heat Transport System structure, system, and component	AREVA	GA	Westinghouse	INL
Steam generator	6	6 ^a	6	4
a. General Atomics Conceptual Design Report – Steam cycle Modular Helium reactor (SC-MHR) Demonstration Plant (NGNP-R00016 Revision 0)				

3.1.3.4 Research and Development Tasks

This section discusses the detailed plans to address the code issues that support the development of the NGNP SG. It describes the main effects and properties of the materials to be tested, the purpose of the tests, the environmental conditions. For further details on the testing conditions of the tests refer to PLN-2804.

Model Tube Burst Tests

A series of model tube burst tests are needed to demonstrate the adequacy of allowable stress values to address NRC concerns for (a) long-term thermal aging and (b) crack initiation and subcritical crack growth. These test results will also address NRC concern regarding weld integrity:

A15. Tube Burst Tests for Alloy 800H.

Unified Constitutive Model

To develop the unified constitutive equations for Alloy 800H, appropriate data is required to determine the material constants of the model. Many tests have been carried out for Alloy 800H since the 1970s and creep information needed for unified constitutive model development could be synthesized from open literature sources. However, tensile data that would allow some of the material constants in the unified constitutive equations to be determined are not available. The tensile tests proposed include strain-rate change, stress dip, and loading/unloading/creep at a stress:

A26. Tensile Tests Supporting Unified Constitutive Model for Alloy 800H.

Alloy 800H Weldments

Based on the ASME Standards Technology, LLC/DOE Generation IV Reactor Materials Project recommendation, a test plan is proposed to generate creep-rupture data for Alloy 800H weldments to support extending the time and temperature limits of Alloy 800H WSRF in ASME BPV Code, Section III, Division 1, Subsection NH:

A27. Test Matrix for Weld Strength Rupture Factor for Alloy 800H Weldments.

Strain Rate Effect on Yield and Tensile Strengths

Currently, Alloy 800H is approved for construction to 760°C, the ASME Standards Technology, LLC/DOE Generation IV Reactor Materials Project was charged to consider extending the applicable temperature range for Alloy 800H to 900°C. Average and minimum stresses were determined and recommended for ASME BPV Code action. To better understand the range of applicability of the recommended values, further testing at various strain rates is needed:

A28. Test Matrix for Strain Rate Effect on Yield and Tensile Strengths for Alloy 800H.

Effects of Diffusional Creep Mechanism on Allowable Stresses

Diffusional creep mechanisms are active in Alloy 800H at 800°C and higher at stresses typical of long time service. Some testing of Alloy 800H is needed to clearly establish the temperature, stress, and grain size dependency of the early creep process at temperatures above 800°C:

A29. Test Matrix to Explore Creep Mechanisms of Alloy 800H.

Yield and Tensile Strength Reduction Factor

Thermal aging is not a significant concern within the specified temperature limit of 760°C for Alloy 800H. Yield and tensile strength reduction factors are required for service temperatures greater than 730°C in ASME BPV Code, Section III, Division 1, Subsection NH. These factors are valid up to 300,000 hours. Extension of these factors to 500,000 hours is required to support the NGNP intermediate temperature IHX and core internal application:

A30. Test Matrix to Qualify Yield and Tensile Strength Reduction Factors for Alloy 800H Due to Thermal Aging.

Effect of Multiaxial Stress State on Creep-Fatigue Procedure

The “C” factor in the multiaxial creep-rupture strength criterion has a value of $C = 0$ for Alloy 800H in ASME BPV Code, Section III, Division 1, Subsection NH. Biaxial creep-rupture tests are required to validate the value of $C = 0$ for Alloy 800H:

A31 Test Matrix to Validate “C” Factor in Multiaxial Creep Rupture Strength Criterion for Alloy 800H.

3.1.3.5 Design Data Needs

The DDNs for the SG described in Table 9 are focused around the material properties of Alloy 800H. The DDNs for Alloy 800H have been addressed in the R&D test plans (or from previous work performed on Alloy 800H). The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 9. Steam generator DDNs.

Number	Vendor	Description	Status (covered by tests)
2.2.3.1a	AREVA	Thermal Properties of Alloy 800H.	The data need is covered by test A26.
M.21.02.03	GA	Determine Properties of Alloy 800H Base Metal and Weldments.	The data need is covered by tests A22 and A27.
M.21.02.02	GA	Determine Properties of 2.25Cr1Mo Base Metal and Weldment	No tests identified.
HTS-01-25	WEC	Effects of aging on the properties of Alloy 800H and Hastelloy X.	The data need is covered by test A30 but not for Hastelloy X.
HTS-01-27	WEC	Influence of grain size on material properties of Alloy 800H and Hastelloy X.	The data need is covered by test A28 but not for Hastelloy X.
2.2.3.1e	AREVA	Irradiation Effects on Alloy 800H in a HTGR Environment.	No tests identified.
2.2.3.1g	AREVA	Material Properties of Alloy 800H in a HTGR Environment up to [1000°C].	No tests identified.
3.1.6.0a	AREVA	Steam Generator—Integrity Testing of Dissimilar Material Welding Joint in Tubes.	A scoping study has been recommended by the INL in the referenced test plan.
HTS-01-24	WEC	Effects of joining techniques on the properties of Alloy 800H and Hastelloy X.	A scoping study has been recommended by INL in the referenced test plan.
HTS-01-26	WEC	Effects of exposure in impure helium on Alloy 800H and Hastelloy X weldment properties.	No tests identified.
HTS-01-28	WEC	Influence Of Section Thickness On Material Properties Of Alloy 800H and Hastelloy X.	No tests identified.
SG-01-01	WEC	Secondary Side Corrosion Characteristics Alloy 800H and 2.25Cr1Mo and Weldments	The secondary side environment is not well established making test definition difficult until the design is known. No tests identified
SG-01-02	WEC	Helium Environment Effects on 2.25Cr1Mo	No tests identified

3.1.3.6 Additional Technological Development

The R&D materials test plans recommend further development of Alloy 800H. This section identifies some programs that are being proposed to be performed but there is no specific test plan identified for this work. There is also no schedule identified for these activities since they are effectively follow-on activities to be performed at a later date.

Vessel and Piping Ratcheting Tests—Alloy 800H

These tests address NRC concerns on whether the current simplified bounding methods in Appendix T of Subsection NH are appropriate for not only the geometry and conditions for which they were derived, but also for the more general geometries and loading conditions for which they are currently permitted. Part of this task is to assess those tests for applicability to NGNP. An issue not addressed in previous testing is the effect of strain rate-dependent yield strength at high to very high temperatures on creep ratcheting models.

More General Simplified Methods

This is in the nature of generic issues for all of Subsection NH. New work on Task 9 of the ASME Standards Technology, LLC/DOE Generation IV Reactor Materials Project will address this issue. Recommended testing from the task will be assessed and tests relevant to NGNP will be proposed.

Cold Work Effect and Subsequent Heat Treatment Requirements

The Alloy 800H time-temperature curve that governs short-term temperature excursion beyond the maximum allowable temperature for the purpose of heat treatment needs to be extended to 500,000 hours. Research will be performed into how the original curve for up to 300,000 hours was created. Additional tests will be proposed in the future if it is determined that testing is necessary to support the extension of the time-temperature curve. Prohibiting cold work for service beyond 300,000 hours is an option under consideration.

3.1.3.7 Risk Analysis and PIRT

The NGNP Risks database (NGNP_RMS_V2_D_15SEP2010.mdb) identifies a number of risks and PIRTs associated with the SG. Table 10 identifies how those risks are mitigated by PLN-2804 (referenced tests A1–A31 are taken from Table 21 of PLN-2804).

Table 10. Steam generator risk and PIRT analysis.

Risk Title	Description	Associated PIRTs	Status
600. Failure Mechanisms for Design Criteria, Creep, Fatigue.	Failure Mechanisms for Design Criteria, Creep, Fatigue.	6944-194. Creep, creep crack growth, thermal loading, rotational stress, fatigue, creep fatigue. 6944-193. Missile (disc failure).	Identified creep and creep fatigue tests for Alloy 800H in A15, A26, A27, A29, and A30.
606. Fouling or Plugging in SG.	Fouling or Plugging in SG.	6944-125. Fouling or plugging plate-out and dust distribution under normal operation.	No fouling tests are identified in the Materials test plans.
600. Failure Mechanisms for Design Criteria, Creep, Fatigue.	Failure Mechanisms for Design Criteria, Creep, Fatigue.	6944-194. Creep, creep crack growth, thermal loading, rotational stress, fatigue, creep fatigue. 6944-193. Missile (disc failure).	Identified creep and creep fatigue tests for Alloy 800H in A15, A26, A27, A29, and A30.
529. Product Steam Contamination (including Tritium Migration).	There may be potential contamination of the product streams, which may exceed the acceptable limits of contamination.	6944-125. Fouling or plugging plate-out and dust distribution under normal operation.	The migration of tritium is being investigated under PLN-3479, “R&D Test Control Plan—High Temperature Hydrogen Permeation through Nickel Alloys” (Rev. 0, April, 19, 2010). The permeation tests are performed at the STAR Facility at the ATR Complex.

3.1.3.8 Technology Development Roadmap (FOAK)

A TDRM for NGNP R&D SG is shown in Appendix A.

3.1.3.9 Section Summary

There are a number of gaps where a DDN or risk are not covered by the materials program related to the materials for the Steam Generator.

There are no tests related to the irradiation effects or material properties of Alloy 800H in an HTGR environment. However there are plans to develop an irradiation effects test plan specific to Alloy 800H as funds become available. There are also no tests related to the corrosion characteristics and influence of section thickness on material properties for Alloy 800H, but there is a lot of data available on material properties for Alloy 800H from the German AVR/THTR program. There are a number of DDNs related to Hastelloy X and 2.25Cr1Mo, these materials are not included in the materials test program. When reactor vendor selection is made and the reactor design is established then these materials may be included as part of the Materials test plan program.

There is a risk related to the Steam Generator regarding fouling or plugging. There are no tests related to this risk in the materials program, which is to be expected as the material program is focused on the properties of the building materials for the Steam Generator and not the design.

3.2 Fuels

The Fuels program is to provide a fuel qualification data set in support of the licensing and operation of the NGNP. Gas-cooled reactor fuel performance demonstration and qualification comprise the longest duration R&D task required for NGNP design and licensing. The fuel form is to be demonstrated and qualified for service conditions enveloping normal operation and accidents.

3.2.1 Fuels Description

A major goal of the Advanced Gas Reactor (AGR) Fuel Development and Qualification Program is to establish coated particle fuel fabrication technology in the United States for the very high temperature reactor (VHTR) that is capable of producing TRISO fuel of a quality at least as good as that produced by German fuel technology. The AGR program is used as a basis for the TRLs for the NGNP Fuel Elements.

Functions Performed

The function performed by the fuel elements is to provide fissile fuel material for the fission reaction.

3.2.2 Research and Development Test Plans for Fuel

The overall goals for the Fuels R&D test plans are to provide a fuel qualification data set in support of the licensing and operation of the NGNP. The fuel is to be demonstrated and qualified for service conditions enveloping normal operation and accident conditions. This fuel qualification effort is to support NRC in its preapplication review of the NGNP concept and to support the NRC in its eventual issuance of a license.

The TRISO-coated UCO (uranium oxycarbide) fuel particle shown in Figure 3 was chosen as the baseline fuel to be fabricated and tested in this program. The AGR-1 irradiation experiment used only UCO fuel in the fuel particle kernel. The AGR-2 irradiation experiment includes both UCO and UO₂ (uranium dioxide) fuel particles. All future AGR irradiation experiments are currently planned to include only UCO fuel. If a pebble bed reactor (PBR) design should be developed for the NGNP, UCO fuel could be compacted into spherical elements to fit this design, although variations in particle design and qualification service conditions may be needed for optimal performance.

The R&D NGNP fuel development and qualification program consists of five program elements:

- Fuel Fabrication
- Fuel and Materials Irradiation
- Post-Irradiation Examination (PIE) and Safety Testing
- Fuel Performance Modeling
- Fission Product Transport and Source Term.

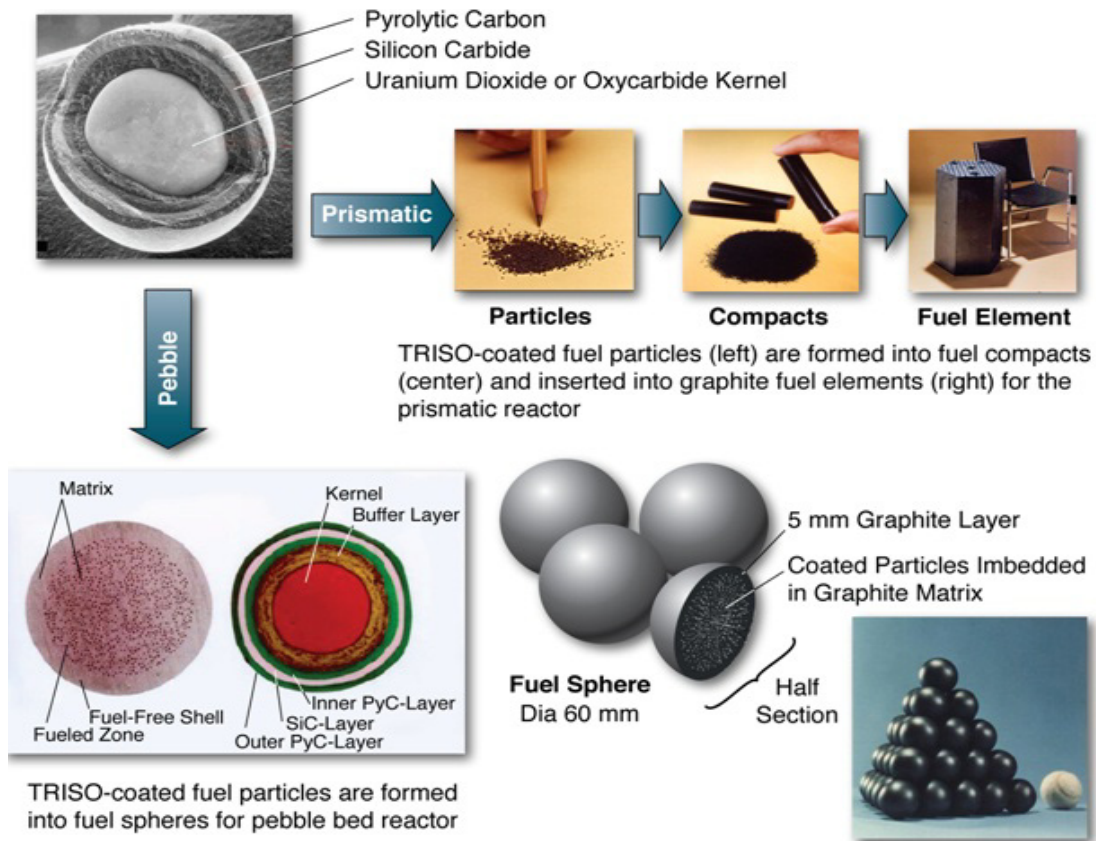


Figure 3. TRISO particle fuel element.

3.2.2.1 Fuel status

The Prismatic and Pebble-Bed fuel elements are currently rated as a TRL 5. There are too many uncertain and untested parameters with the current generation of fuel to attribute the Westinghouse suggested rating of TRL-7.

Table 11. Fuel TRL determination by each reactor supplier and INL.

System	AREVA	GA	Westinghouse	INL
Fuel	Not provided	4	7 ^a	5

a. The TRL-7 was based on the PBR design which was taking credit for South Africa's Pebble Bed Modular Reactor program which is now no longer in operation.

The INL rating of TRL-5 is based on the performance to date of experimental-scale fuel made at Babcock and Wilcox (B&W) (UCO kernels) and Oak Ridge National Laboratory (ORNL) (TRISO-coated particles and compacts) in the AGR 1 irradiation experiment. The results show very-low fission-gas release from all six capsules in the test train. Safety testing is underway at ORNL and will start at INL in September 2011.

3.2.2.2 Research and Development Tasks

The following section is identifying the main R&D activities being performed to meet the Fuel Qualification program goals. Those goals are to provide data for fuel performance under irradiation as

necessary to support fuel process development, qualify fuel for normal operation conditions, and support development and validation of fuel performance and fission product transport models and codes. Provide irradiated fuel and fuel materials as necessary for PIE and safety testing.

AGR-1 Shakedown Small Coater Fuel

The AGR-1 irradiation provides experience with a multimoned test train design, fabrication, and operation, and will reduce the chances of test train or capsule failures in subsequent test trains. Having been successfully taken to estimated design burnup and fast fluence, it will provide data on irradiated fuel performance for baseline and fuel variants selected based on data from fuel process development and existing irradiation experience.

AGR-2 Production Scale Fuel Performance

UCO compacts for this irradiation will be subjected to a range of burnups and temperatures exceeding anticipated prismatic reactor service conditions in three capsules. The test train will also include compacts containing UO_2 particles in three separate capsules. The range of burnups and temperatures in these capsules will exceed anticipated PBR service conditions. This test train will provide irradiated fuel performance data and irradiated fuel samples for safety testing and PIE for key fuel product and process variants.

AGR-3/4 Fission Product Transport Data

The AGR-3/4 irradiation experiment is a combination of AGR-3 and 4, which were initially planned for separate irradiations. Designed-to-fail (DTF) fuel particles will fail early in the irradiation and provide a known source of fission products. The sweep gas will contain gaseous impurities (e.g., CO , H_2O) typically found in the primary helium loop of HTGRs. This will allow an assessment of the effect of impurities on intact and DTF fuel performance and subsequent fission product transport. This experiment will also be conducted in a flux trap.

AGR-5/6 Fuel Qualification (Flux Trap)

This irradiation experiment is a combination of AGR-5 and 6, which were initially planned for separate irradiations. The test train will include a single fuel type made using process conditions and product parameters considered to provide the best prospects for successful performance based on process development results and available data from AGR-1 and 2. This will be the reference fuel design selected for qualification. Variations in capsule conditions (burnup, fast fluence, and temperature) will be established in accordance with the test specification.

AGR-7/8 Fuel Performance and Fission Product Transport Verification and Validation (Flux Trap)

AGR-7 and AGR-8 will be conducted at the same time in two separate test trains or in one test train where half is dedicated to AGR-7 and half to AGR-8. The irradiation will test fuel beyond its operating envelope so that some measurable level of fuel failure would occur (margin test). The test train will provide irradiated fuel performance data and irradiated fuel samples for safety testing and PIE to validate fission product transport codes. The sweep gas will be the same as that used in AGR-5/6.

Fuel Performance Modeling

The main purpose of this program is the development of validated fuel performance models. Fuel performance modeling addresses the structural, thermal, and chemical processes that can lead to coated-particle failures. It considers the effect of fission product chemical interactions with the coatings, which can lead to degradation of the coated-particle properties. Fission product release from the particles and transport within the fuel compact matrix and fuel element graphite is also modeled.

Fission Product Transport and Source Term

The goals for fission product and source term work are to satisfy the fission product transport DDNs identified for the NGNP, provide a technical basis for the source terms under normal and accident conditions, reduce the uncertainty in the source term to less than the design margins of 4 for gases and 10 for metals, and validate design methods and codes for predicting source terms for normal and accident conditions.

3.2.3 Design Data Needs

Several DDNs are associated with technological development of NGNP fuel elements . R&D subtask references in the following table are taken from PLN-3636, “Fuel Development and Qualification Program” (Rev 0, 09/30/2010). The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 12. Fuel DDNs.

Number	Vendor	Description	Status
1.1.1.1	AREVA	Develop advanced carbon source for UCO kernel production. Test materials in pilot-facility fabricating UCO kernels.	A successful UCO kernel process improvement was carried out, part of which optimized and developed specifications for carbon source and were used in making the fuel for AGR-2.
1.1.1.2	AREVA	Kernel Manufacturing. Develop advanced kernel wash and dry system to effectively increase throughput of kernel line with no degradation in kernel quality.	Addition of a second drying station and use of larger wash/dry vessels increased the throughput of the B&W fabrication process.
1.1.1.2b	AREVA	Kernel manufacturing. Enhanced sintering with a focus on increased throughput and reduced cost.	The kernel sintering furnace batch size was increased from 750 g for AGR-1 kernels to 1,700 g for AGR-2 kernels to 3,300 g for FY 2009 natural uranium oxycarbide kernels. An alternative kernel upgrading method will be evaluated in FY 2011.
1.1.2.2	AREVA	Coating Manufacturing. Investigate the largest coating batch size that is practical in the existing 6 inch coater or in a larger coater.	Multiple 6-inch-diameter coaters would be needed for a production facility, and economics may require ultimate demonstration and testing of fuel from a coater larger than 6-inches.
1.1.3.1a	AREVA	Potential sources of materials for compact production, including graphitic matrix and resin materials, will be reviewed and selections made to support production of thermosetting compacts.	The present fuel fabrication program includes a task to develop an improved fuel compact fabrication process using a thermosetting resin-based matrix.
1.1.3.1b	AREVA	Determine the irradiation performance of the fuel compacts to be used in the NGNP conditions.	The AGR irradiation program is determining the irradiation performance of compacts anticipated to be used in NGNP operational and accident conditions.

Table 12. (continued).

Number	Vendor	Description	Status
1.1.3.2	AREVA	Establish compact manufacturing capabilities in the United States based on the AREVA process. Develop (or confirm) compact pressures and temperatures to minimize fuel damage. Develop a heat treatment process to ensure complete graphitization of the matrix material.	A plan in 2009 for scaled up efforts for production of compacts for AGR-5/6 was developed to obtain the widest possible range for packing fraction adjustment without fuel damage.
1.1.3.2a	AREVA	Confirm the pressures and temperatures used during the compact manufacturing process.	The AGR Fuel Test Preparation program tests compact properties on key process conditions; temperature and pressure are two of those properties.
1.1.3.2b	AREVA	Optimize the heat treatment process used during compact manufacture. This process must ensure sufficient removal of volatile materials, including H ₂ , from the compact matrix material to produce a high integrity compact.	The AGR Fuel Test Preparation program tests compact properties on key process conditions; temperature and pressure are two of those properties.
1.1.4.1	AREVA	Fuel Mass Production. R&D should focus on areas where product uniformity and quality are most at jeopardy.	A successful UCO kernel process improvement was carried out, part of which optimized and developed specifications for carbon source and were used in making the fuel for AGR-2.
1.1.4.1a	AREVA	Fuel Mass Production. Process scale-up.	A conceptual design is needed to document the basis for a full-scale fuel manufacturing plant, incorporating results of development and providing a solid basis for fuel costs.
1.1.4.1b	AREVA	Confirm that the irradiation performance of the fuel produced by the production scale processes and facilities matches the performance from the laboratory/pilot facilities.	A successful UCO kernel process improvement was carried out, part of which optimized and developed specifications for carbon source and were used in making the fuel for AGR-2.
1.2.1.0	AREVA	Quality control (QC) methods. develop highly reliable instrumentation and data acquisition software to ensure fuel particle quality is built into the fuel.	The fuel fabrication program element includes establishing the fuel fabrication infrastructure using QC methods development.
1.2.2.0	AREVA	Develop QC inspection techniques that directly relate to irradiation performance.	Characterization methods established at ORNL were formalized in the work instructions used for QC inspection and testing of fuel fabricated for AGR-1 and AGR-2. These methods and procedures were used to characterize the four fuel variants irradiated in AGR-1.

Table 12. (continued).

Number	Vendor	Description	Status
1.3.1.0	AREVA	Fuel Oxidation Under Water/Air Ingress. Evaluate the need for additional data for oxidation behavior of the kernel, buffer, inner pyrolytic carbon, SiC (silicon carbide), outer pyrolytic carbon, compact, and fuel element.	To evaluate the oxidation behavior of SiC, tests are planned as part of the accident heating tests in AGR-5 and 6 in which the influence of air on fuel behavior is studied.
1.3.1.0b	AREVA	Fuel Compact—Fission Product Interactions. Determine the interactions between the fuel matrix material and key radionuclides that impact fission product transport through the matrix material.	The Fuel Development and Qualification program identifies the need to examine irradiated high burnup particles that have been heated to determine the magnitude of the effect of fission product interactions with layers and potential degradation of properties.
1.3.3.0	AREVA	Fission Product Speciation During Mass Transfer. Determine chemical speciation of fission products within the primary system and the confinement for differing potential atmospheres, including those encountered during water or air ingress events.	Under the AGR-5/6 irradiation test program one capsule in the test train will contain fuel compacts with DTF particles to support post-irradiation moisture ingress testing. The sweep gas will contain helium, neon, and a representative set of impurity gases found in the primary system helium.
1.4.1.0a	AREVA	Spent Fuel—Long-Term Release of Fission Products from TRISO Fuel. This DDN evaluates the long-term fission product release characteristics of the TRISO fuel particles under representative fuel storage conditions.	Spent fuel storage issue. Not presently being addressed by the Fuels Program. Further R&D will proceed when the NGNP design is more mature and US spent fuel strategy has been established.
C.07.01.01	GA	UCO Kernel Process Development.	Within the Fuel Development and Qualification program one of the fuel fabrication requirements is to conduct fuel kernel process studies to optimize the UCO kernel fabrication process (e.g., carbon dispersion, broth chemistry, calcination, carburization, and sintering).
C.07.01.02	GA	Fuel Particle Coating Process Development.	There is a Fuel Particle Coating Process Development Plan, the initial coating process development effort involved experimental work in a 2 inch-diameter, laboratory-scale coater at ORNL.
C.07.01.03	GA	Fuel Compact Fabrication Process.	There is a Fuel Compacting Process Development Plan. The initial compacts were developed and modeled at ORNL and produced compacts for the use in AGR-1 and AGR-2 irradiations.
C.07.01.04	GA	QC Test Techniques Development.	B&W has implemented QC processes and analytical procedures to ensure that the kernels and coated particles they produce will comply with AGR specifications.
C.07.01.05	GA	Fuel Product Recovery Development.	Spent fuel storage issue; not presently being addressed by the Fuels Program.

Table 12. (continued).

Number	Vendor	Description	Status
C.07.02.01	GA	Coating Material Property Data.	Part of the Fuel Fabrication program is to produce a full set of coating material property data based on a number of differing coating parameters.
C.07.02.02	GA	Defective Particle Performance Data.	Part of the Fuels and Materials Irradiations, Safety Testing and PIE is to have representative fuel containing DTF particles in support of fission product transport model development (AGR-3/4).
C.07.02.03	GA	Thermochemical Performance Data for Fuel.	In the Fuel Performance Modeling program there are thermochemical test plans for fuel for normal operation and under accident conditions.
C.07.02.04	GA	Fuel Compact Thermophysical Properties.	In the Fuel Performance Modeling program there are thermophysical test plans for fuel compacts.
C.07.02.05	GA	Normal Operation Fuel Performance Validation Data.	In the Fuel Performance Modeling program there are normal operational fuel performance tests.
C.07.02.06	GA	Accident Fuel Performance Validation Data.	In the Fuel Performance Modeling program there are accident operational fuel performance tests.
C.07.02.07	GA	Fuel Proof Test Data.	There is a requirement in the fuel qualification program to conduct fuel coating process studies to determine the adaptability of the German-like coating process to NGNP fuel and to establish coating conditions that yield coating layers having microstructural properties and features comparable to the coating layers on the German fuel particles in proof-test composite EUO 2358-2365.
C.07.03.01	GA	Fission Gas Release from Core Materials.	AGR-3/4 will provide irradiated fuel performance data on fission product gas release from failed particles and irradiated fuel. The inpile gas release, PIE, and safety testing data on fission gas and metal release from kernels will be used in the development of improved fission product transport models.
C.07.03.02	GA	Fission Metal Effective Diffusivities in Fuel Kernels.	Part of the Fission Product Transport and Source Term activity there is a Task 3.5.2 to investigate fission metal effective diffusivities in fuel kernels.
C.07.03.03	GA	Fission Product Effective Diffusivities in Particle Coating.	Part of the Fission Product Transport and Source Term activity there is a Task 3.5.3 to investigate fission product effective diffusivities in particle coatings.
C.07.03.04	GA	Fission Product Diffusivities/Sorptivities in Graphite.	For AGR-3/4 irradiation the test train is designed to provide data on fission product diffusivities in fuel kernels and sorptivities and diffusivities in compact matrix and graphite materials for use in upgrading fission product transport models.
N.07.01.07	GA	As-manufactured Quality of Low Enriched Uranium UO ₂ (extended burnup fuel).	The Fuel Fabrication program element includes establishing the fuel fabrication infrastructure using QC methods development.
N.07.02.08	GA	Irradiation Performance of Low Enriched Uranium UO ₂ (extended burnup fuel).	The AGR irradiation program is determining the irradiation performance of compacts anticipated to be used in NGNP operational and accident conditions.

Table 12. (continued).

Number	Vendor	Description	Status
N.07.02.09	GA	Accident Performance of Low Enriched Uranium UO ₂ (extended burnup fuel).	In the fuel performance modeling program there are accident operational fuel performance tests.
N.07.03.19	GA	Physical and Chemical Forms of Radionuclides Released During Core Heat-up.	The Fuel Development And Qualification program identifies the need to examine irradiated high burnup particles that have been heated to determine the magnitude of the effect of fission product interactions with layers and potential degradation of properties.
N.07.03.20	GA	Data are needed to characterize the deposition of I, Cs, Ag and Te on prominent Vented Low-Pressure Building (VLPB) surfaces (paint, concrete, etc.), including the sorptivities of these nuclides as a function of temperature, partial pressure, surface state, and coolant chemistry.	R&D Subtask 3.5.11.1: New DDNs need to be defined for characterizing radionuclide transport in the VLPB for the accident scenarios postulated for the NGNP. A plan needs to be developed, defining an experimental/analytical program to satisfy the DDNs, and be executed. The radionuclides of interest are I, Cs, Sr, Te, and Ag.
N.07.03.21	GA	Qualification of Coatings with High Iodine Sorptivity.	A test plan to characterize radionuclide transport in a VLPB has been published "Test Plan to Characterize Radionuclide Transport in a Vented Low-Pressure Containment," General Atomics, PC-000573, Rev. 0, September 2008. Part of the plan includes the investigation of coatings with high iodine sorptivities.
N.07.03.22	GA	Validation Data for Predicting Radionuclide Transport in VLPB. Integral test data are needed to independently validate the methods describing the transport behavior of condensable radionuclides in the VLPB under dry and wet core conduction cooldown conditions.	A test plan to characterize radionuclide transport in a VLPB has been published "Test Plan to Characterize Radionuclide Transport in a Vented Low-Pressure Containment," General Atomics, PC-000573, Rev. 0, September 2008.
N.07.03.23	GA	Data are needed to determine H-3 release rates from failed and intact, fuel particles, reference TRISO particles as a function of temperature and burnup.	A test plan for characterizing tritium transport has been published as, "Test Plan for Characterizing Tritium Transport in a VHTR," General Atomics, PC-000550, Rev. 0, December 2007. In R&D Task 3.5.13, tritium transport sets out to provide the experimental bases for improving component models and material property correlations to describe H-3 transport behavior in HTGRs.
N.07.03.24	GA	Tritium Release from Control Materials. Data are needed to determine H ₃ retention by boron-based control materials.	R&D Subtask 3.5.13.3 is to measure fractional releases of tritium from boron carbide (B ₄ C) granules as a function of temperature, time, fast fluence, irradiation temperature, and water partial pressure.

Table 12. (continued).

Number	Vendor	Description	Status
N.07.05.01	GA	Long-Term Mechanical Integrity of Stressed TRISO Coatings.	Spent fuel storage issue is not presently being addressed by the Fuels program. Further R&D will proceed when the NGNP design is more mature and the U.S. spent fuel strategy has been established.
N.07.05.02	GA	PyC Coating Oxidation Rates (Air).	R&D Subtask 3.5.13.4 investigates the oxidation resistance to B4C granules when applying PyC coating to the granules.
N.07.05.03	GA	SiC Coating Oxidation Rates (Air).	Tests are planned to evaluate the oxidation behavior of SiC as part of the accident heating tests in AGR-5 and 6 in which the influence of air on fuel behavior is studied.
N.07.05.07	GA	PyC Coating Corrosion Rates (Groundwater).	Spent fuel storage issue is not presently being addressed by the Fuels program. Further R&D will proceed when the NGNP design is more mature and U.S. spent fuel strategy has been established.
N.07.05.08	GA	SiC Coating Corrosion Rates (Groundwater).	Spent fuel storage issue is not presently being addressed by the Fuels program. Further R&D will proceed when the NGNP design is more mature and U.S. spent fuel strategy has been established.
N.07.05.09	GA	Matrix Corrosion Rates (Groundwater).	Spent fuel storage issue is not presently being addressed by the Fuels Program. Further R&D will proceed when the NGNP design is more mature and US spent fuel strategy has been established.
N.07.05.10	GA	Graphite Corrosion Rates (Groundwater).	Spent fuel storage issue is not presently being addressed by the Fuels program. Further R&D will proceed when the NGNP design is more mature and U.S. spent fuel strategy has been established.
N.07.05.11	GA	Radionuclide Leaching Rates From UCO Kernels.	The ORNL laboratory provides the capability for inspection and testing of UCO kernels. It performs leach-burn-leach testing of fuel compacts to determine the defective SiC and uranium contamination fractions and the quantity of specified impurities outside the SiC layer.
NHSS-01-01	WEC	Fuel irradiation tests for normal operational conditions.	Covered by the AGR-1 through AGR 8 irradiation program.
NHSS-01-02	WEC	Fuel heating tests for accident conditions.	Covered by the AGR-1 through AGR 8 irradiation program.
NHSS-01-03	WEC	Fuel graphite irradiation tests.	Covered by the AGC-1 through AGC 6 irradiation program.

3.2.4 Additional Technological Development

A number of follow-on activities are required to support future efforts to achieve a cost-competitive HTGR fuel manufacturing capability. This section identifies some proposed programs, but there is no specific test plan identified for this work, nor a schedule identified for these activities. These are effectively follow-on activities to be performed at a later date.

Process Improvement Studies and Evaluations

Studies or evaluations may be defined based on uncertainties in the assumptions used in the initial fuel cost estimate or recommendations from the conceptual design (see below). These studies could, for example, evaluate larger diameter kernel fabrication equipment, evaluate ways to reduce waste and improve yields, operate the forming column in a continuous mode, and eliminate manual transfers.

Waste Treatment Evaluation and Waste Minimization Plan

The process flow diagrams and material balances developed for the initial fuel cost estimate will serve as the starting point for waste treatment evaluations and developing a waste minimization plan. Waste and scrap from AGR-1 and AGR-2 fuel fabrication processes have been stored or disposed of in ways that will not be possible for waste from full-scale fabrication facilities. Process changes may be required to avoid mixed (hazardous and radioactive) waste. The waste minimization plan will consider all scrap and waste streams generated by the fabrication processes and recommend ways to avoid and reduce wastes by process changes, identify streams that can be recycled, discuss unit operations required for recycle, and define waste treatment processes required prior to offsite disposal.

Fuel Characterization Plan and Automated QC Method Evaluations

Characterization of AGR-1 and AGR-2 fuel to determine compliance with specifications required much more calendar time than fabricating the fuels, and it consumed a large fraction of the fuel produced. Ideally, QC methods that are nondestructive, allow for near real-time feedback, and allow for large throughputs or 100% inspection is desired for a fabrication facility. The plan will provide an initial evaluation of whether new techniques are needed, whether automation of current techniques is possible, and if so, what development is recommended.

Fuel Manufacturing Plant Conceptual Design

A conceptual design is needed to document the basis for a full-scale fuel manufacturing plant, incorporating results of development and providing a solid basis for fuel costs. The conceptual design along with studies and data, which support the design, provide the source documents to develop risk management plans, safety and hazards analyses, and cost estimates. The basis for the conceptual design starts with input from the NGNP design. A Technical and Functional Requirements document is developed and expanded in a Conceptual Design Criteria document that provides the basis for the conceptual design.

3.2.5 Risk Analysis and PIRTs

The NGNP risks database (NGNP_RMS_V2_D_15SEP2010.mdb) identifies a number of risks and PIRTs associated with the fuel elements. Table 13 is a table identifying how those risks are mitigated by the Fuel R&D test plans.

Table 13. Risk and PIRT analysis.

Risk Title	Description	Associated PIRTs	Status
393. Burnup Safety.	The safety case may not be demonstrated at burnups beyond ~10% fissions per initial metal atom in either UO ₂ or UCO TRISO-coated fuel.	6844-329. Kernel: Buffer interaction. 6844-127. Kernel: Grain growth. 6844-328. Kernel: Kernel swelling. 6844-A6. Kernel: Microstructure changes.	Kernel buffer interaction, growth, swelling, and microstructural changes will be characterized during PIE of AGR fuel and fission product release tests.

Table 13. (continued).

Risk Title	Description	Associated PIRTs	Status
320. Fuel Volume Availability and Manufacturability.	Capability of manufacturing TRISO fuel at levels required may not meet demand.	6844-32 (+). Buffer layer. 6844-12 (+). Fuel Element. 6844-31 (+). Inner PyC layer. 6844-34 (+). Kernel. 6844-7 (+). Layer coating. 6844-A2 (+). Manufacturing. 6844-21- (+). Outer PyC layer. 6844-8. Process control. 6844-9. Product control. 6844-23 (+). SiC layer.	A conceptual design for a full-scale fuel manufacturing plant is needed. The design would incorporate results of development and provide a solid basis for fuel costs. Fuel particle properties to produce the optimal product are part of the AGR-1–AGR-8 irradiation program.
614. Inability to develop a comprehensive model capable of predicting fuel performance.	Inability to develop a comprehensive model capable of predicting fuel performance.	6844-238(+). Buffer Layer. 6844-256(+). Fuel Element. 6944-57. Heat-up accident fuel performance modeling. 6844-295(+). Inner PyC Layer. 6844-173(+). Kernel. 6844-173(+). Outer PyC Layer. 6844-208(+). SiC Layer.	The INL Fuel Performance Modeling program addresses the capability to predict fuel performance. Many groups have tried and failed to generate a comprehensive model of coated particle fuel. The INL approach is to develop fuel performance models of coated particle fuel (UO ₂ or UCO) that are more first principle based.
615. Inability to produce a technical basis for fission product transport and source terms.	Inability to produce a technical basis for fission product transport and source terms under normal and accident conditions.	6944-143. (De)Absorption on dust. 6944-317. Accident Radionuclide Release. 6944-148(+). Aerosol. 6944-145(+). Ag-110m. 6844-59(+). Buffer Layer. 6944-136. C-14, Cl-36, Co-60 generation and inventory. 6944-155. Confinement aerosol physics. 6944-150. Coolant chemical interaction with surfaces. 6944-151. Fission product diffusivity, sorptivity in nongraphite surfaces. 6944-140. Fission product speciation during mass transfer. 6944-139. Fission product speciation in carbonaceous material. 6944-127. Fission product transport through matrix. 6844-175. Fuel element(+). 6944-144. H-3 generation and circulating coolant inventory. 6944-58. Hydrodynamic conditions for dust suspension (fluid structure	The INL Fission Product Transport and Source Term program addresses the ability to produce a technical basis for fission product transport and source terms. The technical basis will be codified in design methods (computer models) that are validated by experimental data. The approach is to take credit for all fission product release barriers (kernels, coatings, graphite, primary coolant pressure boundary, and reactor building) to meet protective action guidelines at the exclusion area boundary.

Table 13. (continued).

Risk Title	Description	Associated PIRTs	Status
		interactions). 6844-104(+). Inner PyC Layer. 6844-45(+) Kernel. 6944-126. Matrix permeability, tortuosity. 6944-147. Nucleation. 6944-146. Other activation products (e.g., Cs-134, Mn-55, Fe-56). 6844-87(+). Outer PyC Layer Gas-phase diffusion. 6944-251. Oxidation of irradiated graphite, including potential adsorbed/absorbed Fission product. 6944-154. Resuspension. 6844-275(+). SiC Layer. 6944-134. Sorptivity graphite. 6944-149. Surface roughness. 6944-159. Wash-off.	
341. Reactor Fuel Qualification.	The lack of a fuel design may impact the qualification schedule for NGNP. The reactor fuel (TRISO) and graphite are not qualified and may require excessive expenditures (cost and schedule).	6944-54. Core effective thermal conductivity. 6944-28. Core specific heat function. 6944-26. Core thermal conductivity (effective). 6944-16. Effective fuel element thermal conductivity.	The objective of the DOE AGR Fuel Development and Qualification program is to qualify TRISO-coated particle fuel for use in HTGRs.
(+) = Multiple other PIRTs			

3.2.6 Technology Development Roadmap

A TDRM for NGNP R&D Fuel Element is shown in Appendix A.

3.2.6.1 Section Summary

There are two areas in the fuels program where a DDN and/or risk are not currently being addressed. The first area is related to the fuel mass production process where the program has identified that a conceptual design is needed to document the basis for a full-scale fuel manufacturing plant, incorporating results of development and providing a solid basis for fuel costs.

The second area is related to spent fuel storage and long term release of fission products. Further R&D will proceed when the NGNP design is more mature and US spent fuel strategy has been established.

3.3 Graphite

The principle Graphite R&D activity is the qualification of graphite for use in the NGNP reactor core and core structures.

3.3.1 Graphite Design Description

Reactor core and core structure refer to the reactor core and its support structure. The reactor core provides the housing for the fissile fuel material, moderator material, control rods, and reflectors. The fuel material is the source of heat while undergoing the fission reaction. The moderator material, which may be fixed in place or placed in the moveable control rods, moderates or controls the sustained fission reaction. The reflectors provide containment and assist in sustaining the reaction. Figure 4 depicts a representative annular prismatic reactor core design showing these components. The core structure also provides channels for the flow of helium coolant for heat exchange.

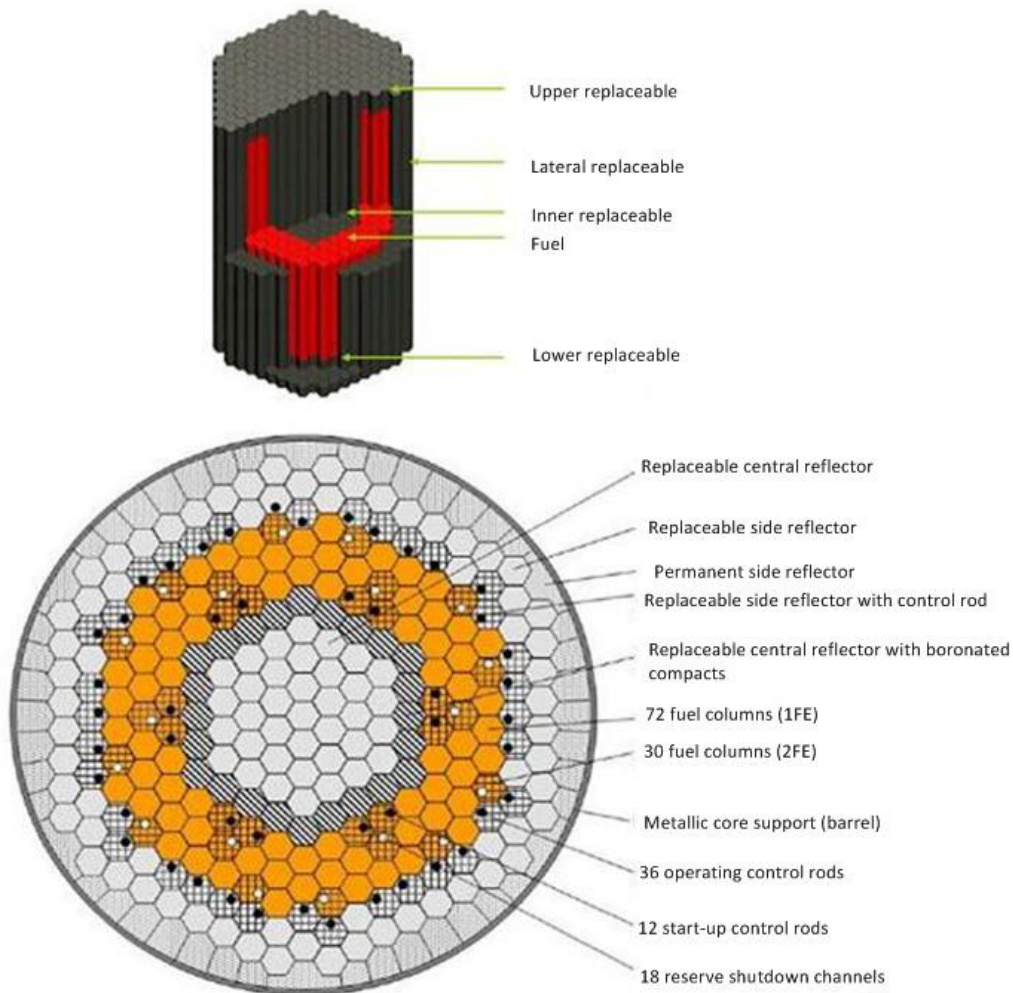


Figure 4. Representative annular prismatic core design.

Functions Performed

The functions performed by the Reactor Core are as follows:

1. Start the reaction.
2. Generate high-temperature heat using nuclear fission.
3. Transfer the heat to the helium coolant.
4. Control radiation from the core.
5. Sustain the fission reaction through neutron reflection in the core.
6. Maintain flow passage configuration.
7. Limit the temperatures and the fast neutron fluence.

3.3.2 Research and Development Test Plans

The R&D test plans are focused on the R&D activities and associated rationale necessary to qualify nuclear grade graphite for use within the NGNP reactor.

3.3.2.1 Reactor Core and Core Structure status

The General Atomics conceptual design report for the SC-MHR demonstration plant (NGNP-R00016; Rev 0) identifies graphite at TRL-6. The reactor vendors AREVA and Westinghouse are in line with the INL TRL-4.

While the general characteristics necessary for producing nuclear grade graphite are understood, historical nuclear grades no longer exist. New grades must therefore be fabricated, characterized, and irradiated to demonstrate that current grades of graphite exhibit acceptable nonirradiated and irradiated properties upon which the thermomechanical design of the structural graphite in NGNP is based. For that reason INL considers a TRL-4 to more accurately reflect the current state of nuclear graphite technology.

Table 14. TRL determination by each reactor supplier and INL.

System	AREVA	GA	Westinghouse	INL
Reactor Core and Core Structures	4	6	4	4

3.3.2.2 Research and Development Tasks

The scientific and engineering techniques described in this section encompass all the anticipated tests required to validate and qualify nuclear grade graphite for use with the NGNP. The tests represent the information needed for a full operational license of the prismatic NGNP reactor design.

Non-irradiated Material Testing

The graphite material properties are expected to vary throughout the billets or blocks of graphite, mapping of the magnitude and spatial positions of variability is important in determining an individual component's material properties. Physical, thermal, and mechanical property testing of multiple graphite samples from a large billet sample matrix is therefore necessary to determine the proper statistical ranges of values.

Irradiation Experiments

The core graphite will be exposed to a high energy neutron environment; a series of irradiation experiments are required to determine the graphite response under irradiation.

The Advanced Graphite Creep (AGC) experiment is designed to provide irradiation creep rates for moderate doses and higher temperatures of leading graphite types that will be used in the NGNP reactor design. The AGC program consists of six experiments (AGC-1 to AGC-6) that will provide data for the graphite material property database, which identifies irradiation, thermal, mechanical, and physical properties. All specimens are maintained at a constant temperature during exposure times of between 6 and 20 months, depending on the required dose. PIE characterization is projected to take approximately 14 to 18 months for each capsule.

High Dose Irradiation Experiments

The high-dose experiment is designed to provide irradiation exposure for very high doses and moderate temperatures. The PBR design expects the facing reflector blocks (inner and outer reflector) to operate for much longer times, thus withstanding a maximum of irradiation damage before the core is shutdown, defueled, and the blocks replaced (~20 to 25 years). Since these dose levels are expected after 25 years of service, the high dose experiments are not needed for initial material property ranges specifically required for reactor licensing and startup operations. Results from this experiment will most likely be delayed until after reactor startup.

Material Characterization

These material tests will be applied to both irradiated and as received graphite samples to ascertain the changes to the material properties resulting from a neutron radiation field. The tests will cover physical, thermal, and mechanical properties.

- Physical testing will consist of microstructural characterization, irradiation dimensional change, isotropy of microstructure, chemical impurities, and elastic and shear modulus. Inspecting billets without damage to ensure proper microstructural development is one of the largest problems facing any QA program purchasing nuclear grade graphite.
- Thermal testing will be on button samples to determine thermal expansion and conductivity, rate of oxidation, emissivity values, and specific heat determination.
- Mechanical testing is the most extensive and complex part of the graphite test program. An extensive irradiation creep program is planned to characterize graphite creep response as part of a larger irradiated materials characterization program. Standard strength testing techniques using stress-strain curve relationships will provide the bulk of the mechanical material properties.
- Tribology (wear/friction) testing procedures will be used to determine wear, friction, and dust generation values for selected grades of graphite. Previously irradiated and oxidized graphite will be subjected to similar tests to determine any changes. These will be limited studies focused on those graphite types of interest to pebble bed designs.

Multiscale Model Development

Models are required to allow the designer to assess the condition of graphite components and core structure design margins at any point in the lifetime of the reactor. The primary objective of these models is to provide the ability to calculate in-service stresses and strains in graphite components and estimate the structural integrity of the core as a whole.

- Whole graphite core and component behavior models are required to define the core condition at all times during core life. Core and component-scale models will allow designers to predict core and core

block (e.g., reflector or fuel element) dimensional distortion, component stresses, residual strength, and probability of failure during normal or off-normal conditions.

- Macroscale material behavior models are needed to predict the effects of temperature, neutron dose, and oxidation weight-loss on key physical mechanical properties.
- Microscale/nanoscale models provide a fundamental understanding of material behavior. The development of nanoscale and microscale models will underpin the macroscale materials property models, as well as provide valuable input for experimental validation requirements.

3.3.3 Design Data Needs

The DDNs for the reactor core and core structures are focused around the technological development of graphite. There are several DDNs associated with the technological development of the NGNP reactor core graphite. The references identified in the ‘status’ column in Table 15 are taken from PLN-2497, “Graphite Technology Development Plan” (Rev. 1, October 4, 2010). The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 15. Reactor core and core structures DDNs.

Number	Vendor	Description	Status
2.4.1.0	AREVA	Graphite: Study Thermal-Physical Properties (K, coefficient of thermal expansion, Cp, emissivity).	Covered under Section 5.1.4 Materials Characterization. Thermal, physical and mechanical properties are tested.
2.4.1.0a	AREVA	Graphite: Thermal-Physical Properties.	Covered under Section 5.1.4 Materials Characterization. Thermal, physical and mechanical properties are tested.
2.4.1.0b	AREVA	Graphite: Mechanical Properties.	Covered under Section 5.1.4 Materials Characterization. Thermal, physical and mechanical properties are tested.
2.4.1.0c	AREVA	Graphite: Physical Characteristics.	Covered under Section 5.1.4 Materials Characterization. Thermal, physical and mechanical properties are tested.
2.4.1.0d	AREVA	Graphite: Fracture Properties.	Covered under Section 5.1.4 Materials Characterization. Thermal, physical and mechanical properties are tested.
2.4.1.0f	AREVA	Graphite: Air Oxidation Characteristics and Effect on Material Properties.	Oxidation studies covering development of ASTM test standards for oxidation testing of nuclear graphite as well as determining oxidation rates of nonirradiated and irradiated graphite. Sections 5.1.4 and 5.1.4.2, Oxidation.
2.4.1.0g	AREVA	Graphite: Water/Steam Oxidation Characteristics and Effect on Material Properties.	Section 5.1.4, Table 4 addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O) for acute accident and chronic normal operations.
2.4.1.0h	AREVA	Graphite: Impure Helium Oxidation Characteristics and Effect on Material Properties.	Section 5.1.4, Table 4 addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O) for acute accident and chronic normal operations.

Table 15. (continued).

Number	Vendor	Description	Status
2.4.3.0	AREVA	Graphite Machine Ability. Review the various fabrication techniques necessary to produce a finished graphite fuel or reflector block from a graphite billet.	Fabrication techniques are not part of the scope of the R&D activities identified in the Graphite Technology Development Plan.
4.1.2.2	AREVA	Thermal-Hydraulics: STAR-CD Graphite Oxidation Model Development for Water and Air Ingress.	Sections 5.2.1 Whole graphite core and component behavior models and 5.2.2 macroscale materials behavior models address the modeling oxidation properties and weight loss.
4.1.4.2a	AREVA	Structural Analysis: Completion of Experimental Databases for Structural Mechanics Codes.	The structural mechanical code database will be populated by the material characterization program the properties of which are identified in Section 5.1.4, Table 4.
4.1.4.2b	AREVA	Structural Analysis: Development of Block-Type Core Dynamic Modeling.	Core block modeling is addressed in Section 5.2.1, Whole graphite core and component behavior models.
4.1.4.3	AREVA	Chemistry Effects of Steam/Water.	Section 5.1.4, Table 4 addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O) for acute accident and chronic normal operations.
C.11.03.11	GA	Graphite Multiaxial Strength Data.	Multi-axial strength data is obtained from Section 5.1.4.3, Mechanical testing, and 5.2.1, Whole graphite core and component behavior models.
C.11.03.12	GA	Graphite Fatigue Data.	No reference to fatigue data in the Graphite Technology Development Plan.
C.11.03.13	GA	Graphite Mechanical Properties Data.	The majority of the mechanical properties data is obtained from mechanical testing as identified in Section 5.1.4.3.
C.11.03.14	GA	Graphite Irradiation Induced Dimensional Change Data.	Irradiation induced dimension change data is gathered from the physical testing Section 5.1.4.1 (specifically, subsection Irradiation Dimensional Change).
C.11.03.15	GA	Graphite Irradiation Induced Creep Data.	Irradiation induced creep data is gathered from the AGR experiment as identified in Section 5.1.31.
C.11.03.16	GA	Graphite Thermal Properties Data.	Thermal properties data is obtained from thermal testing per Section 5.1.4.2.
C.11.03.17	GA	Graphite Fracture Mechanics Data.	Fracture toughness data is obtained from mechanical testing per Section 5.1.4.3.

Table 15. (continued).

Number	Vendor	Description	Status
C.11.03.18	GA	Graphite Corrosion Data.	The only corrosion data identified is oxidation as covered under Section 5.1.4, Table 4, which addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O).
C.11.03.19	GA	Graphite Corrosion Data for Methods Validation.	The only corrosion data identified is oxidation as covered under Section 5.1.4, Table 4, which addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O).
C.11.03.20	GA	Graphite Destructive and Nondestructive Examination Data.	Examination data, both destructive and nondestructive, is gathered per Section 5.1.4.1, Physical Testing.
C.11.03.21	GA	Graphite Coke Source Qualification.	Source qualification for coke has been identified (and costs) in the Graphite R&D test plan as a required activity but no testing or detailed program activities are identified.
C.11.03.23	GA	Graphite Oxidation Data for Postulated Accidents.	Section 5.1.4, Table 4 addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O) for acute accident and chronic normal operations.
C.16.00.05	GA	Effective Conductivity of Core Blocks.	Thermal Conductivity of graphite is covered in Section 5.1.4.2, Thermal Testing.
N.07.05.05	GA	H-451 Graphite Oxidation Rates (Air).	Section 5.1.4, Table 4 addresses the oxidation behavior in the presence of impurities (O ₂ , CO ₂ , H ₂ O)
N.07.05.06	GA	Graphite Non-combustibility Demonstration.	Graphite Non-combustibility is being addressed through the INL/EXT-11-21097 'HTGR Dust Safety Issues and Needs for R&D' – Rev 0, 6-27-2011
N.07.05.10	GA	Graphite Corrosion Rates (Groundwater).	The only corrosion data identified is oxidation which is covered under Section 5.1.4, Table 4.
N.07.05.13	GA	Chemical Impurities in Graphite.	All major grades of graphite that are candidates for use in the NGNP will need to meet the maximum impurity levels specified in ASTM Standard 7219. The chemical impurity levels are determined at the billet level so that they are known before physical, thermal, and mechanical tests are performed.

3.3.4 Additional Technological Development

The R&D graphite test plans provide a baseline estimate for performing the required graphite R&D for the NGNP. This section identifies longer-term issues that would impact the longer R&D program needed for ultimate commercialization of the HTGR technology. This section identifies some programs that are being proposed to be performed but there is no specific test plan or schedule identified for this work. These are effectively follow-on activities to be performed at a later date

Graphite Acquisition Plan

Full commercialization of the HTGR graphite technology in the long term requires a more complete evaluation of the processing route and raw material (e.g., coke source) constituent's influence on graphite behavior.

Graphite Disposition and Recycle Options

There is currently no federal guidance on recycling irradiated graphite. Recycling irradiated graphite will depend on a number of factors, including the number of HTGRs (volume of graphite generated), ability to decontaminate irradiated graphite, performance of recycled graphite, and total cost of recycling.

Once a successful technology is developed for decontaminating graphite, the primary issue for recycling will be irradiation performance of the recycled graphite. A new qualification program will be necessary to validate the performance of this recycled graphite source, either for reuse of blocks or reconstituted material.

3.3.5 Risk Analysis and PIRTs

The NGNP risks database (NGNP_RMS_V2_D_15SEP2010.mdb) identifies a number of risks and PIRTs associated with the reactor core graphite. Table 16 identifies how those risks are mitigated by the graphite R&D test plans. The test references given below are taken from PLN-2497, "NGNP Graphite Technology Development Research and Development Plan" (Rev. 1).

Table 16. Risk and PIRT analysis.

Risk Title	Description	Associated PIRTs	Status
477. Consistency in Graphite Properties in Reactor Core and Core Structure.	As new graphite's are developed and produced their properties will be inconsistent with current stocks of graphite being tested and qualified for the NGNP.	6944-232. Consistency in graphite quality over the lifetime of the reactor fleet. 6944-231. Statistical variation of nonirradiated properties.	This is the main component of the Advanced Graphite Capsule (AGC) program, in which multiple graphite types are being irradiated in order to understand the changes to the overall performance of the graphite during irradiation.
499. Distortion of Core Structure Materials by Radiation.	The life of key core structural components associated with graphite performance under irradiation at high temperatures may be limited or reduced.	6944-260(+). Channel distortion. 6944-4. Core flow distribution changes due to graphite irradiation. 6944-273(+). Increased bypass coolant flow channels by break, distortion, etc. 6944-249(+). Irradiation-induced change in graphite pore structure.	The graphite irradiation program (AGC1–AGC6 and high temperature vessel (HTV) HTV1–HTV2) consists of eight irradiations that span the proposed temperature and dose envelope for a prismatic NGNP and the first half of a pebble bed design dose.

Table 16. (continued).

Risk Title	Description	Associated PIRTs	Status
501. Reactor Core Graphite Qualification.	The reactor core graphite is not qualified and may require a series of irradiation tests necessary for qualification.	6944-135. Fluence effect on transport in graphite 6944-129. Fission product transport through fuel block 6944-128. Fuel block permeability, tortuosity.	The graphite irradiation program (AGC1–AGC6 and HTV1–HTV2) consists of eight irradiations that span the proposed temperature and dose envelope for a prismatic NGNP and the first half of a pebble bed design dose. Fission product transport is tested under the fuel AGR program.
503. Effect of air Ingress On Reactor Core & Core Structure.	Effect of air Ingress On Reactor Core and Core Structure.	6944-137. Air attack on graphite. 6944-280. Chemical attack. 6944-110. Core (steam) oxidation modeling. 6944-67. Core oxidation. 6944-63. Heat transfer correlations for mixed gases in core. 6944-68. Rx cavity-to-reactor vessel air ingress.	Activities for predicting the long-term, chronic (diffusion controlled) oxidation rate of graphite are ongoing. A systematic effort is being pursued to characterize fundamental material properties that determine oxidation behavior (chemical reactivity and diffusion transport) of candidate NGNP graphite materials. This activity considers each of the possible oxidant species (O ₂ , CO ₂ , H ₂ O) that will be present in the coolant helium and covers normal operation, transient, and acute (accident) conditions.
502. Effect of Water Ingress On Reactor Core & Core Structure.	Effect of Water Ingress on Core Structure.	6944-280. Chemical attack. 6944-110. Core (steam) oxidation modeling. 6944-67. Core oxidation. 6944-108. Fuel performance with oxygen attack. 6944-107. Mechanisms for water or steam ingress from coolers. 6944-138. Steam attack on graphite.	Activities for predicting the long-term, chronic (diffusion controlled) oxidation rate of graphite are ongoing. A systematic effort is being pursued to characterize fundamental material properties that determine oxidation behavior (chemical reactivity and diffusion transport) of candidate NGNP graphite materials. This activity considers each of the possible oxidant species (O ₂ , CO ₂ , H ₂ O) that will be present in the coolant helium and covers normal operation, transient, and acute (accident) conditions.
552. Failure Mechanisms for Design Criteria, Creep, Fatigue.	Failure Mechanisms for Design Criteria, Creep, Fatigue.	6944-283. External (applied) loads. 6944-118. Material/structure properties. 6944-275(+). Outlet plenum collapse.	The AGC experiment is designed to provide irradiation creep rates for moderate doses and higher temperatures of leading graphite types that will be used in the NGNP reactor design.

Table 16. (continued).

Risk Title	Description	Associated PIRTs	Status
553. Uncertainty of extrapolation of existing to higher temperatures in reactor core and core structure.	Uncertainty of extrapolation of existing data to higher temperatures.	6944-286. Graphite temperatures.	The AGC program provides data on graphite physical, thermal, and mechanical behavior as a function of irradiation temperature and neutron fluence. The data is used to model the graphite core as per Section 5.2.1 Whole graphite core and component behavior models, and 5.2.2 Macroscale materials behavior models.
555. Working Fluid Impact on Vessel Internals (Coolant Flow Viscosity/Friction, Corrosion and Erosion).	Working Fluid Impact on Vessel Internals (Coolant Flow Viscosity/Friction).	6944-4. Core flow distribution changes due to graphite irradiation. 6944-122. Gas composition. 6944-246. Graphite dust generation. 6944-247. Potential changes in irradiated graphite emissivity. 6944-248. Tribology of graphite in (impure) helium environment.	Section 5.2.1, Whole graphite core and component behavior models; models will take core physics and thermohydraulic inputs for point dose and temperature values and apply graphite material behavior models to calculate the changes in properties with neutron dose, temperature, and oxidative weight loss. Core and component-scale models will allow designers to predict core and core block (e.g., reflector or fuel element) dimensional distortion, component stresses, residual strength, and probability of failure during normal or off-normal conditions.

3.3.6 Nongraphite Core Structures (Ceramic Composites)

No R&D activities are currently being performed at INL on ceramic composites. However, a Composite Technology Development Plan (ORNL/TM-2009/185 Rev. 3, June 2010) has been produced by ORNL, sponsored by the DOE under contract DE-AC05-00OR22725.

The ORNL Composite Technology Development Plan was developed to (1) review the knowledge base that exists globally for the application of ceramic composites in nuclear and high temperature extreme environments with emphasis on radiation effects, (2) review ceramic composite materials needs and component design requirements based on studies performed by U.S. plant vendors/designers, (3) provide recommendations for licensing strategies and needs, primarily through development of materials/test specifications and design rules; (4) identify needs for composite property determinations for qualification of specific materials for use in HTGR/VHTR, and (5) propose a plan to generate the information needed for operational licensing of an HTGR/VHTR reactor design with composite control rods and other in-vessel components.

Ceramic composites that are candidates for HTGR/VHTR application are certain grades of carbon fiber-carbon matrix composites (C/C composites) and SiC/SiC composites. Ceramic composite materials are potential alternatives to heat resistant metallic alloys for many components in HTGRs and the only viable option for some components in the higher temperature and high fluence follow-on NOAK reactors.

3.3.6.1 Ceramic Composites Status

The Composite Technology Development Plan (ORNL/TM-2009/185, Rev. 3, June 2010) identifies that during FY 2005 through 2008, the technical feasibility of using composite materials in HTGR/VHTR was critically examined in DOE's NGNP Composite R&D Program. The main findings included (1) the environmental challenges (nuclear and oxidative corrosion) do not appear to degrade the performance of these materials in a significant manner; (2) the normal reactor operating condition or off-normal events, will not be seriously limited by properties of these materials; (3) the driving need for these materials ranges from a potential economic benefit to a clear technical need, depending on design decisions, which, at that point, had not been determined; and (4) the design code development and licensing are the critical barriers limiting the use of these materials in nuclear systems.

The General Atomics report, "Effect of Reactor Outlet Helium Temperature on the Need for Composites in the NGNP" (911175, Rev. 0, November 6, 2009), identifies the need for ceramic composites for reactor core internals as the reactor outlet temperature increases. The report detailed a study where the reactor outlet temperature was varied from 700 to 950°C in 50°C increments. Reactor component temperatures were estimated for normal operation at 100% power and for pressurized conduction cooldown (PCC) and depressurized conduction cooldown (DCC) conditions. The conduction cooldown cases were for 600 MW(t) reactor with 6.6 w/cc average power density. Some of the conclusions regarding ceramic composites required for components were:

- For hot duct thermal barrier cover plates ceramic composites are needed for reactor outlet helium temperatures at or above 900°C
- The upper core restraint (UCR) elements should be made from ceramic composites because the temperatures that result from the decay heat are too high for use of metallic materials during a PCC event for all reactor outlet helium temperatures evaluated
- The control rod and reserve shutdown material guide tubes need to be made of ceramic composite for reactor outlet temperatures at or above 850°C
- The inner control rod structural elements should be made from ceramic composite material because the conduction cooldown temperatures are too high for use of metals for all reactor outlet helium temperatures considered in the study
- It was considered unlikely that ceramic composite UCR elements can be developed and qualified on a schedule that would make them available for NGNP startup in 2021.

Figure 5 shows material selections for a 600 MW(t) NGNP high temperature reactor internals components as reactor outlet temperatures increase (these are GA recommendations).

Comp	Temperature Condition	Reactor Outlet Helium Temperature and Material Selection ¹											
		700C	Mat'l Sel	750C	Mat'l Sel	800C	Mat'l Sel	850C	Mat'l Sel	900C	Mat'l Sel	950C	Mat'l Sel
Inner CR ²	Normal Op	788	C-C or SiC-	808	C-C or SiC-	832	C-C or SiC-	850	C-C or SiC-	871	C-C or SiC-	894	C-C or
	PCCD Max	1,159	SiC	1,164	SiC	1,169	SiC	1,174	SiC	1,179	SiC	1,184	SiC-SiC
	DCCD Max	1,413		1,418		1,463		1,428		1,433		1,438	
Outer CR ²	Normal Op	420	C-C or SiC-	440	C-C or SiC-	464	C-C or SiC-	482	C-C or SiC-	503	C-C or SiC-	526	C-C or
	PCCD Max	924	SiC	929	SiC	934	SiC	939	SiC	944	SiC	1,129	SiC-SiC
	DCCD Max	975		980		980		990		995		1,000	
CR & RSM Guide Tubes	Normal Op	346	Hast X	346	Hast X	346	Hast X	346	C-C or SiC-	346	C-C or SiC-	346	C-C or
	PCCD Max	928		933		938		943	SiC	948	SiC	953	SiC-SiC
	DCCD Max	438		418		423		428		433		438	
UCR ³	Normal Op	346	C-C or SiC-	346	C-C or SiC-	346	C-C or SiC-	346	C-C or SiC-	346	C-C or SiC-	346	C-C or
	PCCD Max	1,023	SiC	1,028	SiC	1,033	SiC	1,038	SiC	1,043	SiC	1,048	SiC-SiC
	DCCD Max	599		604		609		614		619		624	
UPS T/B	Normal Op	318	Hast X	318	Hast X	318	Hast X	318	Hast X	318	Hast X	318	Hast X
	PCCD Max	872		877		882		887		892		897	
	DCCD Max	450		455		460		465		470		475	
MCS Load Pads	Normal Op	615	Macor	653	Macor	692	Macor	730	Macor	769	Macor	807	Macor
	PCCD Max	615	Glass	653	Glass	692	Glass	730	Glass	769	Glass	807	Glass
	DCCD Max	615	Ceramic	653	Ceramic	692	Ceramic	730	Ceramic	769	Ceramic	807	Ceramic
Hot Duct T/B	Normal Op	699		749		798		848		898		948	
	Long Term Peak	734	800H	486	Hast X	837	Hast X	837		937	C-C or SiC-	986	C-C or
	Short Term Peak	766		820		874		923		972	SiC	1,022	SiC-SiC
	PCCD Max	699		749		798		848		898		948	
	DCCD Max	699		749		798		848		898		948	
LPS T/B	Normal Op	630		670		711		752		792		833	
	Long Term Peak	664	800H	707	800H	750	800H	791	Hast X	831	Hast X	871	Hast X
	Short Term Peak	697		742		786		826		867		907	
	PCCD Max	630		670		711		752		792		833	
	DCCD Max	630		670		711		752		792		833	
SCS Entrance Tubes	Normal Op	615		653		691		729		768		806	
	Long Term Peak	664	800H	690	800H	730	800H	768	Hast X	806	Hast X	844	Hast X
	Short Term Peak	697		724		766		804		842		880	
	PCCD Max	615		653		691		729		768		806	
	DCCD Max	615		653		691		729		768		806	
SCS T/B	Normal Op	350	800H	350	800H	350	800H	350	800H	350	800H	350	800H
	PCCD Max	350		350		350		350		350		350	
	DCCD Max	350		350		350		350		350		350	

Figure 5 shows material selections for a 600 MW(t) NGNP high temperature reactor internals components as reactor outlet temperatures increase (these are GA recommendations).

3.3.6.2 Design Data Needs

Several DDNs are associated with the technological development of the NGNP ceramic composite program. These DDNs are presented in Table 17. ORNL has a generic R&D ceramic composite development plan, but no specific test plans or schedule has been identified. The first three column references are from DDN documents (see 'References' section, numbers 15, 16 and 17).

Table 17. Ceramics composite DDNs.

Number	Vendor	Description	Status
2.3.1.1a	AREVA	Control Rods: Thermal-physical properties Material selection has not been performed yet although different control rod material alternatives are being considered. Later during conceptual design, a decision will be made. Materials currently envisioned are C/C, SiC/SiC or C/SiC composites.	No Tests have been identified.
2.3.1.1a	AREVA	Control Rods: Thermal-Physical Properties.	No Tests have been identified.
2.3.1.1b	AREVA	Control Rod: Mechanical Properties.	No Tests have been identified.
2.3.1.1c	AREVA	Control Rod: Fracture Properties.	No Tests have been identified.
2.3.1.1d	AREVA	Control Rod: Fatigue Strength.	No Tests have been identified.
2.3.1.1e	AREVA	Control Rod: Oxidation Characteristics and Effects on Material Properties.	No Tests have been identified.
2.3.1.1f	AREVA	Control Rod: Irradiation and Testing of Mockups.	No Tests have been identified.
2.3.1.1g	AREVA	Control Rod: Development of Fabrication and Qualification Methods.	No Tests have been identified.

Table 17.(continued)

Number	Vendor	Description	Status
2.3.1.2	AREVA	Control Rods (solid ceramic composite control rod without sheaths).	No Tests have been identified.
2.3.2.1	AREVA	Upper Core Restraints Study: thermal-physical properties (K, CTE, Cp), mechanical properties including multiaxial strength, fracture properties, fatigue properties, behavior under oxidized atmosphere and oxidation effects on properties, codification, and materials.	No Tests have been identified.
2.3.2.1a	AREVA	Upper Core Restraints: Thermal-Physical Properties.	No Tests have been identified.
2.3.2.1b	AREVA	Upper Core Restraints: Mechanical Properties.	No Tests have been identified.
2.3.2.1c	AREVA	Upper Core Restraints: Fracture Properties.	No Tests have been identified.
2.3.2.1d	AREVA	Upper Core Restraints: Fatigue Strength.	No Tests have been identified.
2.3.2.1e	AREVA	Upper Core Restraints: Oxidation Characteristics and Effects on Material Properties.	No Tests have been identified.
2.3.2.1f	AREVA	Upper Core Restraints: Irradiation and Testing of Mockups.	No Tests have been identified.
2.3.2.1g	AREVA	Upper Core Restraints: Development of Fabrication and Qualification Methods.	No Tests have been identified.
2.3.3.1	AREVA	Top Plenum Shroud Study: Thermal-physical properties (K, CTE, Cp): Mechanical properties including multiaxial strength: Fracture properties.	No Tests have been identified.
2.3.4.1	AREVA	Hot Gas Duct Liners Study: thermal-physical properties (K, CTE, Cp), behavior under high temperature and irradiation conditions. and behavior under oxidation.	No Tests have been identified.
2.3.5.1	AREVA	Core Support Insulation Blocks Study: thermal-physical properties (K, CTE, Cp), behavior under high temperature and irradiation conditions, and behavior under oxidation.	No Tests have been identified.
2.3.6.1	AREVA	Ceramic Insulation Study: thermal-physical properties (K, CTE, Cp), behavior under high temperature and irradiation conditions, and behavior under oxidation.	No Tests have been identified.
2.3.6.1a	AREVA	Ceramic Insulation: Thermal-Physical Properties.	No Tests have been identified.
2.3.6.1b	AREVA	Ceramic Insulation: Mechanical Properties.	No Tests have been identified.
2.3.6.1c	AREVA	Ceramic Insulation: Oxidation Characteristics and Effects on Material Properties.	No Tests have been identified.
HPS-04-04	WEC	Develop Method to Bond Alloy 230/Alloy 617/Similar Materials to Silicon Carbide and other Ceramics.	No Tests have been identified.
HTS-02-01	WEC	Ceramic/Composite Hx. Review Existing Technology Data needed includes establishing requirements, assessing current databases, and selecting vendor organization(s) to facilitate further developmental activities.	No Tests have been identified.
HTS-02-02	WEC	Ceramic/Composite Hx. Materials Properties Database.	No Tests have been identified.

Table 17.(continued)

Number	Vendor	Description	Status
HTS-02-03	WEC	Ceramic/Composite Hx. Design Methods Data needed, including results of stress strain modeling, thermal structural modeling, and failure probability under postulated conditions.	No Tests have been identified.
HTS-02-04	WEC	Ceramic/Composite Hx: performance verification data needed, including all information required to establish the empirical basis for IHX performance, life prediction, durability, and acceptability of fabricated materials in support of the ASME BPV Code Cases.	No Tests have been identified.
HTS-02-05	WEC	Ceramic/Composite Hx. Manufacturing technology data needed includes general data from the open literature and specific test results required to provide the basis for integrating a ceramic/composite IHX within and with a metallic or composite pressure vessel plus piping system.	No Tests have been identified.
HTS-02-06	WEC	Ceramic/composite Hx: codes and standards.	No Tests have been identified.
N.11.02.25	GA	UCR: Effect of Low Level Irradiation on Composite Materials.	No Tests have been identified.
N.11.02.26	GA	UCR: Composite Material Properties.	No Tests have been identified.
N.11.02.27	GA	UCR: Effects on Composites of Primary He and Temperature.	No Tests have been identified.
N.11.02.28	GA	UCR: Composite Component Manufacturing Process Development.	No Tests have been identified.
N.11.03.53	GA	Control Rod: Effect of High Level Irradiation on Composite Materials.	No Tests have been identified.
N.11.03.54	GA	Control Rod: Composite Material Properties.	No Tests have been identified.
N.11.03.55	GA	Control Rod: Effects on Composites of Primary He & Temperature.	No Tests have been identified.
N.11.03.56	GA	Control Rod: Composite Component Manufacturing Process Development.	No Tests have been identified.

3.3.7 Technology Development Roadmap

A TDRM for NGNP R&D Graphite test program, is shown in Appendix A.

3.3.7.1 Section Summary

There are two DDNs not being addressed by the graphite program. The first DDN relates to graphite fatigue data, of which there are no related tests. Fatigue data is required by ASME BPV code and as funding becomes available there are fatigue tests planned by the graphite program. The graphite program is utilizing the Generation IV Information Forum (GIF) to obtain fatigue data from the British and European Union experience in decommissioning of the their graphite reactors.

The second DDN is related to fabrication techniques which are not part of the scope of the R&D activities identified in the Graphite Technology Development Plan. This is to be expected since the graphite program is focused on the properties of the graphite for the reactor core and not the fabrication process.

Composite ceramics (as is graphite) are used as components in reactor core internals. As the reactor outlet temperature increases to 850°C (and above), the number of reactor components required to be made from ceramic composites increases significantly. During a conduction cooldown scenario, the decay heat is too high for use by metallic materials, possibly requiring the replacement of reactor components. No INL ceramic composite R&D program exists to advance the development, codification, and licensing of ceramic components. If a ceramics composite program is not initiated in the very near future, it is unlikely that the ceramic composites will be available for NGNP startup in the 2021 time frame.

3.4 High Temperature Steam Electrolysis

High temperature steam electrolysis (HTSE) for the NGNP is the production of hydrogen using solid oxide electrolysis cells (SOECs).

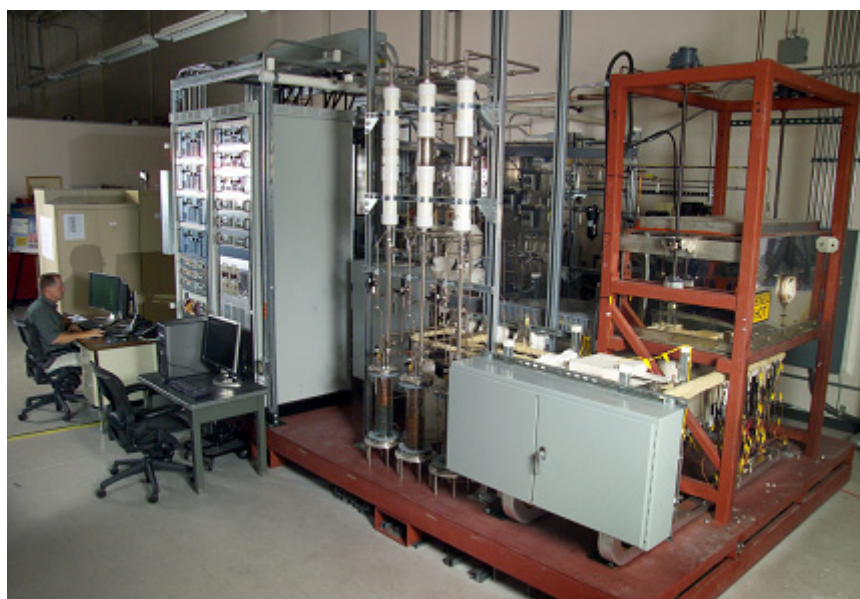


Figure 5. Overview of 15 kW INL Integrated Laboratory Scale (ILS) HTSE test facility.

3.4.1 HTSE Design Description

The SOEC is a solid-state electrochemical device consisting of an anode, cathode, and a solid oxygen ion-conducting electrolyte. In the planar configuration, these devices can be arranged in multiple-cell stacks with the individual cells joined by electrically conducting interconnects.

3.4.1.1 Functions Performed

The heat and electrical power from the reactor can be used to split water using the SOECs to create hydrogen and oxygen. The process heat from the reactor reduces the amount of electricity needed to split the water, thus increasing the efficiency of the process compared to low-temperature electrolysis.

3.4.2 Research and Development Test Plans for HTSE

The goals for the HTSE R&D test plans are as follows:

- Understanding and mitigating long-term performance degradation in electrolytic cells

- Developing cell and stack configurations that are amenable to large format cells
- Operating stacks at elevated pressures, nominally 3.5 to 5 MPa
- Designing and operating large-scale systems, including a pilot plant and demonstration module, which will show the engineering feasibility of this method of hydrogen production

The test plans are segregated by component to support NGNP deployment.

3.4.2.1 Research and Development Tasks

This section identifies the key R&D activities required to advance the HTSE program's components through a number of technology readiness levels from a TRL-4 to a TRL-7. Each of the sections below is a key component to the test plan.

HTSE Cells

Demonstrate long-term operation of stacks with minimal degradation because of Cr, Si, or Sr migration, delamination of electrodes from electrolyte or corrosion of the interconnects. Operate a module for long durations (1,000 to > 10,000 hours).

HTSE Heat Recuperator

Demonstrate long-term operation of heat recuperators with minimal corrosion and leakage because of oxygen and hydrogen flows while operating at a pressure of 3 to 5 MPa. Demonstrate long-term operation of the modules with steam/hydrogen and air inlet temperatures of 300 to 400°C and outlet temperatures to the modules of 780 to 820°C. Operate recuperators in the pilot plant for long durations (5,000 to >10,000 hours).

HTSE Steam Superheater

Demonstrate long-term operation of steam superheaters with minimal corrosion and leakage because of steam and hydrogen flows while operating at a pressure of 3 to 5 MPa. Demonstrate long-term operation of the modules with steam/hydrogen and air inlet temperatures of 300 to 400°C and outlet temperatures to the modules of 780 to 820°C. Operate superheaters in the pilot plant for long durations (5,000 to >10,000 hours).

HTSE Sweep-gas and Oxygen Handling System

Demonstrate long-term operation of sweep-gas and oxygen handling systems with minimal corrosion and leakage because of oxygen and hydrogen flows while operating at a pressure of 3 to 5 MPa. Demonstrate long-term operation of the modules with steam/hydrogen and air inlet temperatures of 300 to 400°C and outlet temperatures to the modules of 780 to 820°C. Operate sweep-gas and oxygen handling systems in the ILS for long durations (5,000 to >10,000 hours).

HTSE Hydrogen Recycle System

Demonstrate long-term operation of the hydrogen recycle systems with minimal corrosion and leakage because of steam and hydrogen flows. Demonstrate long-term operation of the modules with steam/hydrogen and air inlet temperatures of 300 to 400°C and outlet temperatures to the modules of 780 to 820°C. Operate hydrogen recycle systems in the ILS for long durations (1,000 to > 10,000 hours).

HTSE Pressure Boundary System

Demonstrate long-term operation of pressure boundary systems with minimal corrosion and leakage because of oxygen and hydrogen flows while operating at a pressure of 3 to 5 MPa. Demonstrate long-

term operation of the modules with steam/hydrogen and air inlet temperatures of 300 to 400°C and outlet temperatures to the modules of 780 to 820°C. Operate pressure boundary systems in the pilot plant for long durations (5,000 to >10,000 hours).

HTSE Instrumentation and Control System

Demonstrate long-term operation of instrumentation and control systems with minimal corrosion and leakage because of oxygen and hydrogen flows while operating at a pressure of 3 to 5 MPa. Demonstrate long-term operation of the modules with steam/hydrogen and air inlet temperatures of 300 to 400°C and outlet temperatures to the modules of 780 to 820°C. Operate instrumentation and control systems in the pilot plant for long durations (from 5000 to >10,000 hours).

3.4.2.2 HTSE Status

Current HTSE process development is at TRL-4, having completed successful bench-scale testing. An ILS test was also completed at the 15 kW scale, operating for 1,000 hours.

There are no vendor TRLs available; only an INL TRL as shown in Table 18.

Table 18. HTSE TRL determination by INL.

System	INL
HTSE	4

The ILS was operated at ambient pressure and therefore not at a relevant environment, as required to achieve TRL-5 (experimental scale). A TRL-5 will be achieved when multicell stacks are tested at approximately 1 MPa—anticipated in 2012.

At present, the single largest challenge for the HTSE program is the unacceptable level of performance degradation of the SOECs. A reasonable target degradation level for long-term operation is 0.5%/khr. At this degradation level, capital costs associated with hydrogen production become small compared to operating costs, since the SOECs would only have to be changed out after approximately 40,000 hours (~every 5 years), allowing for a total degradation of 20%.

3.4.3 Design Data Needs

Several DDNs are associated with the technological development of the NGNP HTSE. Documents referenced in Table 18 are from the *High Temperature Steam Electrolysis Test Plans* (INL/EXT-10-19125, Rev. 0, June 16, 2010) and PLN-3604, “High Temperature Steam Electrolysis Technical Program Plan” (Rev. 0, March 4, 2011). The first three column references are from DDN documents (see ‘References’ section, numbers 15, 16 and 17).

Table 19. HTSE DDNs.

Number	Vendor	Description	Status
N.44.01.01	GA	Catalyst activity and lifespan as a function of temperature and system pressure.	All component tests are based on operational lifespan at differing temperatures and pressures.

Table 19. (continued).

Number	Vendor	Description	Status
N.44.03.01	GA	H ₂ permeability: H/H ₂ separation factor and membrane life.	H ₂ permeability will be developed as a part of 'HTSE Hydrogen Recycle System'. Membrane life is included in the 'HTSE Cells' development. HI/H separation relates to a down selected technology that is no longer part of the baseline.
N.45.01.01	GA	Basic data on ionic conductivity, ohmic loss, material stability at high temperature, structural properties, corrosion resistance, and thermal properties. Data needed to support SOEC design and model SOEC performance.	Section 2, 3, and 4, Test plan for HTSE cells. INL/EXT-10-19125 addresses cell properties and degradation issues.
N.45.01.02	GA	SOEC Design and Performance. Lifetime testing (50,000 hours) of individual cells. Hydrogen production rate as a function of time and temperature.	Section 2, 3, and 4 Test plan for HTSE cells. INL/EXT-10-19125 addresses cell properties and degradation issues >10,000 hours.
N.45.02.01	GA	SOEC Unit Design and Performance. Lifetime testing of individual (1 Nm ³ /hour) units. Hydrogen production rate as a function of time and temperature.	Section 2, Test plan for HTSE cells (C5). INL/EXT-10-19125 addresses lab scale cell properties and degradation issues.
N.45.02.02	GA	SOEC Multiunit Integration and Performance. Performance of multiple (~10) integrated units. Evaluation of manifold configurations and flow rates. Evaluation of electrical configurations.	Section 2, Test plan for HTSE cells (C5). INL/EXT-10-19125 addresses lab-scale cell properties and degradation issues.
N.45.03.01	GA	SOEC Pilot-Scale Module Demonstration. Long-term performance of an engineering-scale module (600 Nm ³ /hour) at high pressure. Procedures for startup, control, and maintenance. Assessment of instrumentation. Data for flow-sheet assessment and validation, including steam generation and hydrogen recycle.	Section 3, Test plan for HTSE cells (C6). INL/EXT-10-19125 addresses pilot-scale cell properties and degradation issues.
N.45.03.03	GA	NGNP Solid Oxide Electrolysis Multimodule Demonstration. Long-term performance of an integrated high temperature electrolysis plant consisting of 10 engineering-scale modules (600 Nm ³ /hour) at high pressure. Procedures for startup, control, and maintenance of multiple modules. Assessment of instrumentation. Data for flow-sheet assessment.	Section 4, Test plan for HTSE cells (C7). INL/EXT-10-19125 addresses prototypic commercial modules cell properties and degradation issues >10,000 hrs.
HPS-01-02	WEC	Gather Reaction Kinetics Data.	Section 4.2.3, PLN-3604, "Atomistic Modeling" identifies preliminary results for thermodynamic and kinetic modeling.

Table 19. (continued).

Number	Vendor	Description	Status
HPS-01-03	WEC	Analyze Data and Improve Simulation.	Section 4.2.2, PLN-3604, Computational Fluid Dynamics (CFD) Modeling and Simulation, Commercial CFD code FLUENT was selected for detailed SOEC modeling.
HPS-04-07	WEC	Provide Data Supporting Code Case.	Pressure vessel code demonstration for the modules containing the stack arrays will be developed as part of the 'HTSE Pressure Boundary System'.
HPS-07-02	WEC	Develop a Cell Membrane.	Developed as part of 'HTSE Cells'.
HPS-07-03 HPS-ELE-03	WEC	Develop a Cell Configuration and Materials.	Section 2, 3, and 4. Test plan for HTSE cells. INL/EXT-10-19125 addresses cell configuration tests and materials.
HPS-07-04 HPS-ELE-04	WEC	Build and Test a Prototype Cell.	Section 4, Test plan for HTSE cells (C7). INL/EXT-10-19125 addresses Prototype scale cell properties and degradation issues.
HPS-07-05 HPS-ELE-05	WEC	Build and Test a Pilot-scale Cell.	Section 3, Test plan for HTSE cells (C6). INL/EXT-10-19125 addresses Pilot scale cell properties and degradation issues.
HPS-07-06 HPS-ELE-06	WEC	Build and Test a Stack of Cells in a Pilot Plant.	Section 3, Test plan for HTSE cells (C6). INL/EXT-10-19125 addresses Pilot scale cell properties and degradation issues.
HPS-08-01 HPS-PPU-01 HPS-FUS-01	WEC	Identify Product Impurities.	Impurities in the Hydrogen product will be identified as a part of 'HTSE Hydrogen Recycle System'.
HPS-08-02 HPS-PPU-02	WEC	Test Product Purification Methods.	Impurities in the Hydrogen product will be identified as a part of 'HTSE Hydrogen Recycle System'..
HPS-09-01 HPS-PPU-DT-27	WEC	Test Sensors in the Pilot Plant.	Development of a pilot-scale test facility at the 200 kW scale is planned for the 2015 time frame with design activities beginning in FY 2013.
HPS-ELE-07 HPS-ELE-12	WEC	Test Alloys 230 and 617 in High Temperature Helium and Air/Oxygen and Steam/Hydrogen Mixtures.	Materials testing for the Hydrogen product will be identified as a part of 'HTSE Hydrogen Recycle System'. Material testing for the Oxygen product will be identified as part of 'HTSE Sweep-gas and Oxygen Handling System'.

Table 19. (continued).

Number	Vendor	Description	Status
HPS-ELE-09	WEC	Provide Data Supporting a Code Case.	Pressure vessel code demonstration for the modules containing the stack arrays will be developed as part of the 'HTSE Pressure Boundary System'.
HPS-ELE-10	WEC	Develop Gasket Materials and Design.	Pressure management methods (seals, gaskets, joints, pressure relief valves, etc.) will be developed as a part of 'HTSE Pressure Boundary System'.
HPS-ELE-11	WEC	Develop Seal Materials and Design.	Pressure management methods (seals, gaskets, joints, pressure relief valves, etc.) will be developed as a part of 'HTSE Pressure Boundary System'.
HPS-ELE-DT-03	WEC	Design a cell stack suitable for operation at high temperature in a high pressure, oxygen-rich environment.	Section 2, 3, and 4, Test plan for HTSE cells. INL/EXT-10-19125 addresses cell properties and degradation issues.
HPS-ELE-DT-04	WEC	Design an economical stack enclosure that minimizes heat loss, sealing and stack handling and maximizes safety.	R&D for heat management methods (insulation, cooling, expansion, etc.) will be developed as a part of 'HTSE Pressure Boundary System'. Both Pilot-scale and Engineering-scale designs are included in the March 2011 'NGNP HTSE Technical Program Plan'.
HPS-ELE-DT-05	WEC	Design a conceptual plant layout and piping arrangement that accommodates expected thermal expansion.	Both Pilot-scale and Engineering-scale designs are included in the March 2011 'NGNP HTSE Technical Program Plan'.
HPS-FUS-02	WEC	Develop Feedwater Purification Methods.	Water purification will be an engineering demonstration of existing technology rather than R&D.
HPS-FUS-03	WEC	Develop Process Fluid Purification Methods.	Process fluid purification will be developed as a part of 'HTSE Hydrogen Recycle System'. Materials testing for the Oxygen product will be identified as part of the 'HTSE Sweep-gas and Oxygen Handling System'.
HPS-FUS-DT-01 HPS-FUS-DT-09	WEC	Design a feedwater purification system that includes equipment sizing and economics.	Water purification will be an engineering demonstration of existing technology rather than R&D.
HPS-FUS-DT-02 HPS-FUS-DT-10	WEC	Design a process fluid purification system that includes equipment sizing and economics.	Both Pilot-scale and Engineering-scale designs are included in the March 2011 'NGNP HTSE Technical Program Plan'.

Table 19. (continued).

Number	Vendor	Description	Status
HPS-PCN-01	WEC	Test Sensors in the Pilot Plant.	Development of a pilot-scale test facility at the 200 kW scale is planned for the 2015 time frame with design activities beginning in FY 2013.
HPS-PCN-03	WEC	Test Valves in the Pilot Plant.	Development of a pilot-scale test facility at the 200 kW scale is planned for the 2015 time frame with design activities beginning in FY 2013.
HPS-PCN-DT-12	WEC	Identify appropriate valve materials and sensing devices for the aggressive environments of the process technology.	Materials testing for the Hydrogen product will be identified as a part of 'HTSE Hydrogen Recycle System'. Material testing for the Oxygen product will be identified as part of 'HTSE Sweep-gas and Oxygen Handling System'. Sensing devices will be developed as part of 'HTSE Instrumentation and Control System'.

3.4.4 Additional Technological Development

This section identifies some proposed programs, but there is no specific test plan identified for this work. There is no schedule identified for these activities.

Integrated Laboratory Scale

Performance degradation with the ILS system was observed over a period of 700 hours of test time. Despite this cell degradation, the ILS was able to successfully demonstrate large-scale hydrogen production (5,000 L/hour) with heat recuperation and hydrogen recycle, as would be required in a large-scale plant. A follow-on laboratory-scale demonstration is anticipated in the FY 2012 time frame. The selection of the cell and stack technology to be used for this test will be based on bench-scale testing that has demonstrated excellent initial performance and very low long-term degradation rate.

System Modeling

The HTSE program will have an ongoing need for system modeling activities. One anticipated need will be to examine the potential performance of HTSE coupled to various small modular reactor concepts. System modeling will also be needed to design larger-scale test facilities such as the HTSE pilot plant planned for operation at INL in the 2014 to 2016 time frame.

Degradation Testing

Degradation testing and analysis will be performed during FY 2011 at both INL and subcontractor locations. Subcontractor testing will be performed at Versa Power and University of Connecticut. Test articles for in-house testing at INL will be supplied by St. Gobain, and possibly Topsoe.

Cell Development

Advanced cell and stack development activities will be supported at Ceramtec, MSRI, and National Aeronautics and Space Administration for FY 2011. Cell development will focus on improving

performance in the electrolysis mode, addressing degradation mechanisms such as delamination of air electrodes observed primarily in the electrolysis mode. Ceramatec is developing an advanced air-electrode-supported cell.

3.4.5 Risk Analysis and PIRTs

There are no PIRTs associated with the HTSE system.

3.4.6 Technology Development Roadmap

A TDRM for NGNP R&D HTSE is shown in Appendix A.

3.4.6.1 Section Summary

All of the HTSE DDNs are addressed within the HTSE program. There does however need to be more detail added to the seven key R&D activities in the HTSE program plan to identify specific R&D activities that meet the DDN requirements.

There are no PIRTs associated with the HTSE system.

3.5 Methods

NGNP Methods R&D focuses on the development and validation of tools to assess the neutronic and thermal fluid behavior of the plant.

Functions Performed

The methods content for this document are taken from PLN-2498, “NGNP Methods Technical Program Plan”, Revision. 2, INL, September 27, 2010. The experimental needs and required R&D are focused in six distinct areas based on the relative state of the software in each:

- Basic cross-section data measurement and evaluation
- Lattice calculations of cross sections for core burnup and dynamic analysis
- Reactor core simulation (core flux, power, temperature, coolant flow and burnup profiles)
- Reactor kinetics (special changes in flux power, temperature as a function of time)
- Fuel and material behavior (neutronic and thermal fluid behavior)
- Fission product transport (fission product movement once escaped from confines of fuel).

HTGRs employ passive design features that prevent both plant damage and significant releases of radioactive materials, even under extreme circumstances. Yet the operating experience with these reactors is limited, and the most challenging scenarios have not been experienced. Furthermore, while the overall safety margin can be quite large, local variations in temperature can challenge the integrity of structural materials and reduce the life of components. The legacy codes and models used to simulate the behavior of HTGR plants rely on assumptions and approximations that lead to considerable uncertainty in important figures-of-merit. Modern high-resolution codes have yet to be qualified for HTGR applications. The NGNP Methods task is devoted to developing high fidelity, accurate simulations of plant phenomena and generating the experimental data needed to validate codes and models to the satisfaction of the designer, regulator, and end user. Project risk is reduced through the simulation of scenarios and phenomena with high confidence in the results and limited uncertainties.

The role of the NGNP Methods program is to provide an independent and accessible validation, verification, and high fidelity simulation capability against which all NGNP stakeholders can benchmark their tools.

3.5.1 Research and Development Test Plans for Methods

R&D of NGNP Methods development programs are being planned and executed in conformance with the approach, practices, and methodologies recommended in NRC Regulatory Guide 1.203 – ‘Transient and Accident Analysis Methods’.

The current Methods technical program identifies activities extending to 2016. The highest-priority Methods activities for FY 2011 through 2013 will include: conducting integral experiments in the High Temperature Test Facility (HTTF), completing and operating the Natural Circulation Shutdown Test Facility for investigation of ex-core heat removal, performing bypass and air ingress experiments with associated computational fluid dynamics (CFD) model validation, and completing the development of 3-D core simulation tools for analyzing complex core behavior under anticipated normal and off-normal conditions, including a range of loss-of-forced-cooling events.

3.5.1.1 Research and Development Tasks

This section identifies the R&D Methods activities to be performed in order for the program to meet its key goals, which are to:

1. Define the calculational envelope required to be able to analyze the candidate HTGR reactor systems.
2. Design and execute a matrix of experiments that will produce a comprehensive data set that can be used to validate and verify NGNP evaluation models developed by DOE, NRC, and vendors.
3. Develop uncertainty and sensitivity analysis capability that can be used to identify and prioritize gaps in the ability of an evaluation model to compute safety and performance parameters within confidence intervals.

3.5.1.2 Experimental Validation of CFD and System Codes

Bypass Flow

Bypass flow is a series of experiments that will test theories regarding factors that affect the quantity of bypass flow for both prismatic and PBRs. Some influencing factors would be manufacturing tolerances and core configuration changes from irradiation or thermal expansion. It is thought that the work scope will be a DOE laboratory-university partnership.

Air Ingress and Graphite Oxidation

A number of isothermal air ingress experiments are presently under way. Heated air ingress experiments will be performed using the HTTF. Graphite oxidation experiments are being performed as part of the NGNP Graphite Characterization program. The data from these experiments will be used in multiphysics air ingress simulations using the ‘GAMMA’ code.

Water ingress was not identified in the original PIRT to be a high frequency scenario, but the shift to water as a secondary loop working fluid poses a substantially higher risk of water ingress. Reference reactor design includes a high-pressure, water-filled secondary system. From the PIRT results, a set of experiments will be designed that are scaled to represent the reference design and new points will be inserted in the thermal-fluids validation matrix.

Core Heat Transfer and Plenum-to-Plenum

The characteristics of the hottest cooling channels at operational conditions are considered a key calculational result, since the hot channel temperature distribution defines the hottest initial condition for the fuel and surrounding materials.

The distribution of the flow between the various coolant channels in a prismatic HTGR reactor is important in determining the warmest part. Experiments are needed to investigate core heat transfer. The experiments will support the efforts of the current computational task concerning the hot channel issue by providing benchmark data for detailed assessment of its turbulence models for forced and mixed convection with helium property variation.

A matched-index-of-refraction experiment is planned to examine flows near outlets in PBRs. A key difficulty in analyzing the safety of PBR systems is predicting the maximum fuel temperatures and chemical reaction rates locally in the coolant outlet region (e.g., hot spots) where the temperature field is generally high. Measurements are needed to examine the validity of any models employed and their related constitutive theories. The INL matched-index-of-refraction flow system is ideal to investigate these difficulties in detail.

Upper and Lower Plenum

The mixing of hot plumes in the upper plenum of a gas-cooled reactor is of concern during a pressurized cooldown. An experiment is planned to investigate interactions between hot plumes and parallel flow instabilities. The experiment will produce a scaled fluid behavior simulation of plumes moving upwards from the hot core cooling channels of the natural circulation development in the upper plenum and of the downward movement of upper plenum inventory into the cooler channels in route to the lower plenum. Sufficient instrumentation will be used to characterize the flow behavior for CFD validation data sets.

Ex-Core Heat Transfer

Convective cooling contribution is an important ingredient in describing the total heat transfer from the core, and thus the ultimate peak core and vessel temperatures, these heat transfer phenomena are potentially important. The objective of this task is therefore to acquire the model/code validation data for natural convection and radiation heat transfer in the reactor cavity and the RCCS by performing experiments in the Argonne National Laboratory (ANL) Natural Convection Shutdown Heat Removal Test Facility (NSTF).

Fission Product and Dust Transport

The AGR Fuel Qualification Program addresses the generation and transport of fission products out of the fuel and block matrix. The transport of fission products out of the primary system and into the reactor building will be addressed in Methods. During events involving primary coolant leakage into the silo and onto the building, natural processes will act to reduce the level of entrained radionuclides as the gas stream transits. Data are needed to develop and validate the methods describing the behavior of condensable radionuclides in the building under wet and dry conditions for these accidents.

Reactor Physics

Integral benchmark experiment data for existing critical configurations that are neutronicallly similar to contemplated NGNP designs are required for physics code validation and QA, both as part of the reactor design process and for licensing applications. Mathematically rigorous sensitivity studies for representative HTGR core designs are required as an aid in guiding the design of any needed critical experiments that cannot be replaced by simulations and for quantification of the propagation of

uncertainties in computational simulations because of uncertainties in the underlying nuclear data and other parameters that make up the input to the simulation models.

Engineering Scale Reactors

Two gas-cooled test reactors are presently operational for integral experiments: the Chinese High Temperature Gas-cooled Reactor (HTR-10) located at the Institute of Nuclear Energy Technology in Beijing, China, and the High Temperature Engineering Test Reactor (HTTR) at the Japan Atomic Energy Agency (JAEA) in Oarai, Japan. Since integral experiments are the only experimental sources that may be able to produce the complex interactions between dominant phenomena identified in the NGNP system-specific PIRT, they are essential for systems analysis and CFD code validation studies. Data from both the HTTR and the HTR-10 will be important in the calculational matrix required for plant licensing by NRC.

Based on the MHTGR, the HTTF is being designed and will be constructed at a facility at Oregon State University in Corvallis, OR. The HTTF is scaled to one quarter of the size of the MHTGR and will have an electrically-heated core. The first HTTF configuration is prismatic; however subsequent HTTF configurations may also be pebble-bed, depending on the need.

The HTTF will be operational for startup testing in FY 2012 and the formal prismatic test program will begin in FY 2013. It is anticipated that the HTTF will generate data for several years and the experimental test matrix will be tailored to match the experiments scheduled for inclusion in all of the other experimental facilities, including the RCCS, plenum experiments, core heat transfer experiments, air ingress experiments, and bypass flow experiments.

3.5.1.3 Core and Plant Simulation

The NGNP will rely heavily upon simulations to ascertain plant behavior under all anticipated circumstances. The main objectives of the NGNP Core Simulation task are to develop high fidelity models and benchmarks for investigating challenging HTGR phenomena and scenarios, and to increase confidence in, or at least quantify the uncertainties in vendor calculations and NRC evaluations models.

Prismatic Reactor Core Simulation

Under NGNP, INL has developed a modification to the analytical Nodal Green's Function Method (NGFM) for nodal diffusion codes that explicitly treat local absorption in the nodal balance equation. The new method is being implemented in the HEXPEDITE code. The NGFM solver in HEXPEDITE retains the accuracy of DIF3D-VARIANT and the speed of DIF3D-nodal. Coarse mesh nodal solvers, such as HEXPEDITE, relieve the computational burden by solving the diffusion equation in a higher fidelity form, but over a much coarser mesh.

For steady-state and depletion problems in core analysis, the INL has developed the INSTANT multigroup transport code. INSTANT solves the neutral particle transport code using either the spherical harmonic or discrete ordinate approximation to the Boltzmann transport equation. Originally developed as a general purpose reactor physics tool under NGNP, INSTANT will be coupled to a depletion code (MRTAU) and to the INL plant analysis code RELAP5-3D. This code system will provide accurate flux, power, and burnup profiles at specified points in the prismatic fuel cycle and will provide the initial conditions for subsequent transient analysis.

PBR Core Simulation

INL has developed the PEBBED code for steady-state and depletion problems (core design and fuel management) in PBR simulation. PEBBED solves the multigroup diffusion and burnup equations for recirculating pebble bed cores in which the fuel is continuously loaded and moving downward through

the core during operation. Under NGNP, the COMBINE code has been modified to generate accurate cross sections for PEBBED analysis. The accurate computation of burnup in the pebble bed requires knowledge of the direction and speed of the pebble flow. INL has developed the PEBBLES code, which simulates the mechanics of flowing pebbles. This code system will provide accurate flux, power, and burnup profiles at specified points in the pebble bed fuel cycle and will provide the initial conditions for subsequent transient analysis.

Core Thermal Fluids

Core and system thermal simulation of both the pebble bed and prismatic HTGRs can be performed accurately to first order with low order fluid dynamics and heat transfer equations that assume either a uniform porous medium (pebble bed) or a network of individual pipes (coolant channels in prismatic reactors) with a common pressure drop (the density is also assumed to be constant). These codes rely on experimentally determined friction factor and heat transfer correlations appropriate to the geometry and materials used. For the PBR, the THERMIX-KONVEK code is being upgraded to 3-D and tighter coupling to the PEBBED fuel management system. For the prismatic reactor, appropriate 1-D fluid flow correlations will be testing in the RELAP5-3D code to provide corewide thermal fluid profiles for fuel management problems.

Plant Simulation and Process Heat Plant Coupling

The Methods program will be using RELAP5-3D for system-wide analysis of NGNP. The core model can be coupled to various balance-of-plant (BOP) components to investigate system-level interactions and behavior, and to support economic analysis of process heat plant applications. The commercial software packages ASPEN and HYSIS have been acquired by the Project and used to simulate the behavior of candidate process heat and hydrogen production plants. RELAP5 models of the primary loop (core, SG, and IHX) may be coupled to ASPEN or use the output data as boundary conditions. As the IHX and SGs will be most sensitive to core and load transients, the effective coupling of RELAP and ASPEN will be an important challenge for assessing performance.

Multi-physics Applications

For some problems, the relatively coarse and approximate thermal fluid modeling capability of RELAP5-3D is insufficient. Detailed and complex thermal fluid phenomena require a high resolution multiphysics capability developed and operated on a high performance computing platform. The approach being pursued under NGNP is to build a core simulator with MOOSE—a computational platform specifically designed for solving arbitrary and complex systems of partial differential equations. Because the basic meshing and solver tools are embedded within MOOSE, the code developer need only provide the governing equations that describe the physics of the system.

Time-dependent neutron diffusion and advanced porous medium and 3-D fluid solvers are being implemented into the MOOSE framework, specifically, to solve challenging transient problems in both pebble bed and prismatic core behavior. The MOOSE framework allows the application code (PRONGHORN) to be expanded to include such phenomena as graphite oxidation, fuel particle stress analysis, and graphite deformation with full feedback.

The PRONGHORN application necessarily employs lower order neutronics and thermal fluid equation systems in order to support computational intensive transient analyses, albeit with very high resolution in the time and spatial domains. High order coupled neutron transport and CFD offer an exact description of the system in question that can be executed in reasonable times for steady state problems. The SHARP code system developed at ANL will provide such high fidelity snapshots against which the lower order methods can be compared. The SHARP-VHTR code system has been tested on a one twelfth

scale core model of the Gas Turbine-Modular Helium Reactor (GT-MHR) and will be used to provide benchmark-quality results for verifying the other codes in the DOE evaluation model.

Fuel Performance and Core Dynamics

INL has developed the PASTA (Particle Stress Analysis) code for computing the mechanical stress on the TRISO boundaries as a function of temperature, gas pressure, and other factors.

Uncertainty and Sensitivity Analysis

Quantification of the uncertainties in computed core physics parameters that result from propagation of uncertainties in the underlying nuclear data and other input parameters used in the various modeling codes is a key component of the quality assurance process for reactor physics modeling and simulation.

Variational theory cannot yet be applied to the coupled core simulation problem, causing reliance on forward sensitivity techniques. In this approach input parameters are manipulated in a stochastic manner over their known and estimated range of variability.

A very limited number of computer codes are available for performing such analyses, one of which is the Software for Sensitivity and Uncertainty Analysis (conduction cooldown) code developed by the GRS (Gesellschaft für Anlagen und Reaktorsicherheit) company of Germany. conduction cooldown envelopes the user's analysis code, manipulates the user-supplied input parameters over the specified ranges, executes the minimum number of runs to generate a certain confidence value in the output uncertainty, and computes and ranks the output sensitivities. This software has been acquired by the Project and is being evaluated. Applications to core simulation will commence in FY 2011.

3.5.1.4 Methods Status

The current status of methods available for designing and analyzing the NGNP HTGR can be summarized as follows:

- Software and advanced detailed methods are not ready to perform design and licensing analyses to the standard required for NGNP. Development of the DOE Evaluation Model is about 65% complete, meaning that the codes are capable of simulating most HTGR phenomena of interest but need to be verified and validated against experimental data before being put into production.
- The practices and procedures acceptable for validating and developing the software tools for the HTGR must be defined and implemented to a standard defined by the engineering community.

The risks involved with complex engineering projects can be reduced with modeling efforts that can resolve key performance and safety issues early in the design process

3.5.2 Design Data Needs

Several DDNs are associated with the technological development of the NGNP Methods. Document references in Table 19 are from PLN-2498, "Next Generation Nuclear Plant Methods Technical Program Plan (Rev. 2, September, 27, 2010). The first three column references are from DDN documents (see 'References' section, numbers 15, 16 and 17).

Table 20. Methods DDNs.

Number	Vendor	Description	Status
4.1.1.1	AREVA	CABERNET model enhancement to include short-term transient analysis capability to reactivity events for block type cores.	There are no model enhancements to CABERNET identified in the INL Methods program. This is a vendor specific activity.

Table 20. (continued).

Number	Vendor	Description	Status
4.1.1.2	AREVA	Cross-section data is needed as input into NEPHTIS. Originally this DDN was written with a 950°C reactor in mind. Give the lower temperature of the NGNP now, existing cross-sections may be adequate.	Cross sectional data will be gathered from facilities such as HTTF and NSTF.
4.1.2.2	AREVA	Thermal Hydraulics. STAR-CD Graphite Oxidation Model Development for Water and Air Ingress.	Section 4.1.2.2 Air Ingress and Graphite Oxidation identifies R&D for a number of scenarios related to water and air ingress. Air ingress experiments will be performed at HTTF from 2012 for at least 1 year.
4.1.3.1a	AREVA	Refinement of ATLAS models is needed for better prediction of how fission products interact with coatings.	There are no refinements of ATLAS identified in the INL Methods program (this is a vendor specific activity). The AGR fuel qualification program addresses the generation and transport of fission products out of the fuel and block matrix. Vendor specific activity.
4.1.3.1b	AREVA	Fuel hydrolysis modeling needs to be included in ATLAS for fuel performance prediction during water ingress events.	There are no refinements of ATLAS identified in the INL Methods program (this is a vendor specific activity). The AGR fuel qualification program addresses fuel hydrolysis modeling during water ingress events. Vendor specific activity.
4.1.3.1c	AREVA	Checking and possibly adapting the coated particle and compact irradiation models in ATLAS versus data from the AGR irradiation program will help assess NGNP fuel performance. Calculation of the failure fraction and fission product release rate from a fuel.	Vendor specific activity. The AGR fuel qualification program addresses fission product release rate from fuel.
4.1.3.1d	AREVA	ATLAS heat-up modeling predictions have to be compared with experimental heat-up data, and might have to be improved following such comparison.	Vendor specific activity.
4.1.3.1e	AREVA	The ATLAS code currently has no UCO models, so these models need to be developed.	Vendor specific activity.
4.1.4.1a	AREVA	Fission product Transport. Development of Model for Activation Product Assessment in the Primary Circuit.	The AGR Fuel Qualification Program addresses the generation and transport of fission products out of the fuel and block matrix into the primary circuit.
4.1.4.1b	AREVA	Fission product Transport. Modeling of Tritium Migration and Control in SG and Secondary Water Loops.	Tritium permeation measurements have been taken and were acquired by the Project in 2010 (from the HTTR in Oarai, Japan). This data will be used to validate the Tritium Permeation Analysis Code recently developed at the INL to study tritium permeation in HTGRs.

Table 20. (continued).

Number	Vendor	Description	Status
4.1.4.1c	AREVA	Fission product Transport. Modeling of Radio-Contaminant Distribution in the Primary Circuit.	The AGR Fuel Qualification Program addresses the generation and transport of fission products out of the fuel and block matrix into the primary circuit.
4.1.4.1d	AREVA	Fission product Transport. Modeling of Radio-Contaminant Release outside the Primary Pressure Boundary.	The transport of fission products out of the primary system and into the reactor building will be addressed in Methods. Once the NSTF is configured for the RCCS experiment, the structures and geometry for the condensation of the fission products will be available for an integral large-scale experimental simulation of fission product transport in this cavity and silo.
4.1.4.1e	AREVA	Fission product Transport. Modeling of Radio-Contaminant Releases in the Environment for Accidental Situations.	A number of experiments are being proposed (one of which is the Thermal-hydraulics, Hydrogen, Aerosols, Iodine [THAI] facility), but the primary deliverable of this series of experiments would be fission product retention factors (amount released from the building/amount released from the core), which could be incorporated directly into a safety analysis or Probability Risk Assessment.
4.1.4.1f	AREVA	Fission product Transport. Development of Fission Product Wash-off Modeling.	No reference was found to fission product wash-off modeling—an unresolved issue in fission product transport. Transport of fission products from the fuel matrix into the primary coolant stream is captured under the AGR Fuel Qualification Program. The transport of Fission product around the primary coolant stream and beyond is a joint Fuels/Methods task.
4.1.4.1g	AREVA	Fission product Transport. Data Collection for Fission Product Aerosols.	Data collection for Fission Product aerosols and dust dispersion experiments can be performed in Argonne's Zero Power Reactor Cell 5.
4.1.4.2a	AREVA	Structural Analysis. Completion of Experimental Databases for Structural Mechanics Codes.	Not currently in the Methods program, this is because INL has not identified a need in this area. The existing structural analysis methods are largely adequate for the purpose. As AREVA implies, however, the input data is probably incomplete. This is a task for NGNP Materials, not Methods
4.1.4.2b	AREVA	Structural Analysis. Development of Block-Type Core Dynamic Modeling.	This activity is part of the long-term plan, an evolution of bypass flow.
4.1.4.2c	AREVA	Structural Analysis. Modeling of Fluid Structure Interaction and Flow-Induced Vibration.	Not currently in the Methods program. However the CFD work is a precursor to this activity. Once a specific problem is defined in this area, it can be included in the Methods or Materials Plans. Flow-induced vibrations are likely to be an issue only in BOP components, not in the core itself.

Table 20. (continued).

Number	Vendor	Description	Status
4.1.4.2d	AREVA	A methodology needs to be developed to assess vessel behavior during normal and accident conditions. The proposed safety approach excludes the vessel rupture and thus relies on a leak-before-break approach that has not been established for gas-cooled reactors.	One of Methods overall program requirements is to address safety and performance issues identified in the NGNP PIRT. To understand and model reactor behavior in normal and off-normal scenarios.
4.2.1.1c	AREVA	In-core measurements of power and temperature distributions in NGNP are needed to qualify coupled neutronics/thermal-hydraulics calculations in CABERNET, and therefore, allow reaching nominal power.	HTTF and NSTF are facilities that will generate data for basic neutronics modeling. The use of CABERNET is vendor specific.
4.2.1.2	AREVA	A dedicated critical experiment with representative configurations is needed for qualifying MCNP for NGNP core calculations with pin-by-pin power distributions and control rod and burnable poisons worths.	No dedicated critical experiment was identified for qualifying MCNP for NGNP core calculations. While there are uncertainties in the nuclear data libraries that can be addressed with a dedicated critical experiment, the reduction in risk is not commensurate with the expense of such a facility. Past critical experiments (graphite-low enriched uranium) are sufficiently representative of NGNP to provide some validation of codes. The real uncertainties in the nuclear data libraries are in minor actinides at high burnup.
4.2.1.2	AREVA	Dedicated critical experiments, with an asymptotic spectrum representative of the expected prismatic fuel assembly and core, with full access to pin-by-pin power distributions and control rod and burnable poisons worth are needed.	New dedicated critical experiments are not planned. The Methods program will be relying on past critical experiments such as Proteus, HTTR, and HTR-10.
4.2.1.3a	AREVA	Neutronics. Results of Fuel Irradiation Experiments for MONTEBURNS Qualification.	The AGR Fuel Qualification Program addresses fuel irradiation data results. Experiments for MONTEBURNS is a vendor specific activity.
4.2.1.3b	AREVA	Neutronics. Experimental Results of Decay Heat for MONTEBURNS Qualification.	The AGR Fuel Qualification Program addresses decay heat data results. Experiments for MONTEBURNS is a vendor specific activity.
4.2.1.4	AREVA	A dedicated critical experiment with representative configurations is needed for qualifying NEPHTIS for NGNP core calculations with pin-by-pin power distributions and control rod and burnable poisons worth.	Dedicated critical facilities for the NGNP will not be constructed; validation of neutronic codes will rely on data from critical facilities that operated in the past and on existing HTGR engineering scale reactors (HTTR and HTR-10). Qualifying NEPHTIS is a vendor specific activity.

Table 20. (continued).

Number	Vendor	Description	Status
4.2.1.5	AREVA	Neutronics. Results of Fuel Irradiation Experiments for NEPHTIS Qualification.	Dedicated critical facilities for the NGNP will not be constructed; validation of neutronic codes will rely on data from critical facilities that operated in the past and on existing HTGR engineering scale reactors (HTTR and HTR-10). Qualifying NEPHTIS is a vendor specific activity.
4.2.2.1	AREVA	Additional benchmarks against experimental data are required. Some facilities that could provide valuable data have been identified (nonexhaustive): HTTR, HTR-10, and Sandia Brayton Loop-30 kWe at Sandia National Laboratory (SNL).	Dedicated critical facilities for the NGNP will not be constructed; validation of neutronic codes will rely on data from critical facilities that operated in the past and on existing HTGR engineering scale reactors (HTTR and HTR-10). Qualifying NEPHTIS is a vendor specific activity.
4.2.2.2	AREVA	STAR-CD. Qualification of conduction cooldown models on representative geometry, materials, and temperature. Qualification of countercurrent flow and diffusion models. Qualification of turbulence and stratification/mixing on representative mockups in critical area.	ANL NSTF experimental results will capture key phenomena expected to be present in the RCCS and provide data of sufficient resolution for development and assessment of applicable CFD (STAR-CD/Fluent) and system codes (RELAP5-3D/ATHENA).
4.2.2.2a	AREVA	Thermal-Hydraulics. Qualification of STAR-CD for Modeling Conduction Cooldown.	ANL NSTF experimental results will capture key phenomena expected to be present in the RCCS and provide data of sufficient resolution for development and assessment of applicable CFD (STAR-CD/Fluent) and system codes (RELAP5-3D/ATHENA).
4.2.2.2b	AREVA	Thermal-Hydraulics. Qualification of STAR-CD for Modeling Diffusion, Turbulence, etc.	ANL NSTF experimental results will include the identification of RCCS design candidates from both the pebble-bed and prismatic options. The range of thermal-hydraulic conditions for normal operating and accident events will be evaluated. An instrumentation strategy will be developed to ensure that adequately detailed velocity and turbulence profiles are obtained.
4.2.2.2c	AREVA	Thermal-Hydraulics. Qualification of STAR-CD for Modeling Oxidation.	The experimental V&V and Graphite programs will be providing data on oxidation that can be used for code validation.
4.2.2.3	AREVA	Thermal-Hydraulics. Validation of RELAP5-3D Consistent with that Planned for MANTA.	Validation of RELAP5 is part of the Methods plan. Validation of MANTA is a vendor-specific activity.
4.2.3.1a	AREVA	Fuel. Qualification of ATLAS for Modeling Irradiation of Coated Particles.	The AGR Fuel Qualification Program addresses Irradiation of coated particles. Qualification of ATLAS is a vendor-specific activity.
4.2.3.1b	AREVA	Fuel. Qualification of ATLAS for Modeling Heat-up Experiment.	The AGR Fuel Qualification Program addresses heat-up experiments. Qualification of ATLAS is a vendor-specific activity.

Table 20. (continued).

Number	Vendor	Description	Status
4.2.4.1	AREVA	Experimental Work for Fission Product Transport Model Qualification.	The AGR Fuel Qualification Program addresses the generation and transport of fission products out of the fuel and block matrix.
C.07.04.03	GA	Core Corrosion Methods Validation Data.	The AGR Fuel Qualification Program addresses the issue of corrosion of the fuel matrix.
C.11.03.19	GA	Graphite Corrosion Data for Methods Validation.	The AGC Graphite program addresses the issues of graphite block corrosion.
C.11.03.51	GA	Integral Nuclear Data Measurement at Temperature for GT-MHR Physics Methods Validation.	Integral data is planned to be obtained from HTTR, a question remains as to how applicable this data is to current NGNP designs. Fort St. Vrain may provide some prismatic core validation data.
C.11.03.52	GA	Critical Experimental Data for GT-MHR Physics Methods Validation.	New dedicated critical experiments are not planned. The Methods program will be relying on past critical experiments such as Proteus, ASTRA, HTTR, and HTR-10.
HTS-01-13	WEC	Despite the maturity of CFD as a design tool, some model development is required to use for compact heat exchanger thermal performance calculations. The models developed here serve as an input to the structural analysis model.	Modeling of the process heat primary loop for SG and IHX will be using RELAP5 and may be coupled to ASPEN core and load transients, the effective coupling of RELAP and ASPEN will be an important challenge for assessing performance. Data from this modeling can also inform in-service inspections and characterizing pressure barriers.
HTS-01-14	WEC	Data needed includes all information required to validate the operational and design basis of the compact heat exchanger design selected, and all information required to develop a theoretical design basis for comparison with empirical data.	Modeling of the process heat primary loop for SG and IHX will be using RELAP5 and may be coupled to ASPEN core and load transients, the effective coupling of RELAP and ASPEN will be an important challenge for assessing performance. Data from this modeling can also inform in-service inspections and characterizing pressure barriers.
HTS-01-16	WEC	Performance modeling methods are required to characterize the steady-state and transient performance of compact heat exchangers. The required methods are needed to support advancement of the design, to provide inputs to thermal and structural assessments.	Modeling of the process heat primary loop for SG and IHX will be using RELAP5 and may be coupled to ASPEN core and load transients, the effective coupling of RELAP and ASPEN will be an important challenge for assessing performance. Data from this modeling can also inform in-service inspections and characterizing pressure barriers.

3.5.3 Additional Technological Development

No additional technological development tasks were identified.

3.5.4 Risk Analysis and PIRT

Because the specific NGNP design has yet to be selected, a detailed PIRT cannot be completed. However, during the interim, first-cut PIRTs have been used instead as a guide for the initial R&D work and planning for both prismatic and pebble-bed-type gas-cooled reactors as shown in Table 21.

Table 21. PIRT for normal operation and conduction cooldown scenarios.

Phenomena	Component	Scenario	Status
Flow distribution	Upper Plenum	Normal Operation	Section 4.1.2.3, Preliminary neutronics and CFD studies have been initiated and validation data are sought from core heat transfer experiments. It is necessary to know the flow distribution for both operational and transient conditions.
	Core	Depressurized	
	Lower Plenum	Conduction Cooldown (DCC) Pressurized Conduction Cooldown (PCC)	
Pressure drop	Upper Plenum	Normal Operation	Experiments on fluid dynamics of geometric transitions conducted from FY 2009 through FY 2011 will employ the INL matched-index-of-refraction flow system. Measurements of turbulence distributions and pressure drop (loss coefficients) are needed for CFD predictions and design.
	Core	DCC	
		PCC	
Mixing and stratification	Upper Plenum	DCC	The Frankfurt THAI facility supports experiments for gas mixing phenomena and complex flow patterns within multiple compartments.
	Lower Plenum	PCC	
Hot plumes	Upper Plenum	DCC	An experiment is planned to investigate interactions between hot plumes and parallel flow instabilities. The envisioned experiment will produce a scaled fluid behavior simulation of plumes moving upwards from the hot core cooling channels of the natural circulation development.
		PCC	
Thermal resistance of structures	Upper Plenum	DCC	A high-level stochastic structure involving a combination of materials modeling, thermal-hydraulics R&D, and manufacturing practice will need to be put in place early. For the case of the prismatic reactor, small-scale experiments encompassing both thermal-hydraulics and materials phenomena will be performed (some at INL and some at universities).
	Lower Plenum	PCC	
Reactivity feedback behavior	Core	Normal Operation	JAEA has planned a spectrum of HTTR experiments that include various reactivity transients and loss of cooling conditions. Some of these tests have been performed, still others are planned in 2010 through 2012. JAEA has proposed a collaboration on HTGR R&D that would provide data to the NGNP Project for the validation of codes.
Core configuration (bypass)	Core	Normal Operation	A series of experiments is envisioned that will test the various theories regarding factors that influence the quantity of bypass (in either the prismatic or PBR) as a function of various factors, including manufacturing tolerances and core configuration changes from irradiation or thermal expansion. It is envisioned that the work scope will be a DOE laboratory-university partnership.
		DCC	
		PCC	
Heat transfer	Core	Normal Operation	Preliminary neutronics and CFD studies have been initiated and validation data are sought from core heat transfer experiments. Under low-flow conditions such as can occur after the primary blowers have tripped, conduction, radiation, and buoyancy-driven flow becomes the dominant heat transfer mechanisms.
		DCC	
		PCC	

Table 21. (continued).

Phenomena	Component	Scenario	Status
Power distribution	Core	Normal Operation DCC PCC	Integral experiments, including power distribution, are planned to be studied using the HTTF. The HTTF will be operational for startup testing in FY 2012 and the formal prismatic test program will begin in FY 2013.
Axial heat conduction and radiation	Core	DCC PCC	For the prismatic HTGR, more sophisticated thermal fluid codes must model the radial and axial flow between the blocks to get a firmer picture of the temperature distribution within the fuel and core. For Pebble bed, 2-D or 3-D thermal fluid codes model the bed as a porous medium with known pressure drop and heat transfer correlations for pebble beds. Coolant flow can be in any direction, but analyses indicate that the axial flow assumption is a reasonable to first order.
Natural circulation in the RPV	Core	DCC PCC	An experiment is planned to investigate interactions between hot plumes and parallel flow instabilities. The envisioned experiment will produce a scaled fluid behavior simulation of plumes moving upwards from the hot core cooling channels of the natural circulation development in the upper plenum and of the downward movement of upper plenum inventory into the cooler channels in route to the lower plenum.
Air and water ingress	Core Lower Plenum	DCC	The highest-priority Methods activities for FY 2011 through 2013 will include: conducting integral experiments in the HTTF, completing and operating the Natural Circulation Shutdown Test Facility for investigating ex-core heat removal and performing bypass and air ingress experiments with associated CFD model validation.
Potential fission product transport	Core	DCC	The AGR Fuel Qualification Program addresses the generation and transport of fission products out of the fuel and block matrix into the primary circuit.
Decay heat	Core	DCC PCC	With the use of the ANL NSTF it may be possible to perform depressurized conduction cooldown experiments in the NSTF for the prismatic block reactor to simulate the conduction and radiation of the decay heat away from the fuel in the core and transfer it to the primary vessel metal.
Material properties	Core	DCC PCC	For the case of the prismatic reactor, small-scale experiments encompassing both thermal-hydraulics and materials phenomena will be performed (some at INL and some at universities). The R&D effort for the PBR will be planned to complement available data already recorded during extensive experimental programs at the African Pebble Bed Modular Reactor, Pty.

Table 21. (continued).

Phenomena	Component	Scenario	Status
Heat transfer at operational conditions	RCCS Lower Plenum	Normal Operation	The HTTF will be operational for startup testing in FY 2012 and the formal prismatic test program will begin in FY 2013. It is anticipated that the HTTF will generate data for several years and the experimental test matrix will be tailored to match the experiments scheduled for inclusion in all of the other experimental facilities, including the RCCS, plenum experiments, core heat transfer experiments, air ingress experiments, and bypass flow experiments.
Natural circulation in cavity	RCCS	Normal Operation	The NSTF will be used to acquire the model/code validation data for natural convection and radiation heat transfer in the reactor cavity and the RCCS.
Laminar turbulent transition flow	RCCS	DCC PCC	Preliminary neutronics and CFD studies have been initiated and validation data are sought from core heat transfer experiments. Under low-flow conditions such as can occur after the primary blowers have tripped, conduction, radiation, and buoyancy-driven flow becomes the dominant heat transfer mechanisms. This flow can be a mixture of turbulent and laminar flow and thus may be subject to considerable instability.
Forced-natural mixed convection flow	RCCS	DCC PCC	A potential experiment has been designed to investigate core heat transfer. The experiment will support the efforts of the current computational task concerning the hot channel issue by providing benchmark data for detailed assessment of its turbulence models for forced and mixed convection with helium property variation.
Heat transfer—radiation and convection in duct	RCCS	DCC PCC	The HTTF will be operational for startup testing in FY 2012 and the formal prismatic test program will begin in FY 2013. It is anticipated that the HTTF will generate data for several years and the experimental test matrix will be tailored to match the experiments scheduled for inclusion in all of the other experimental facilities, including the RCCS, plenum experiments, core heat transfer experiments, air ingress experiments, and bypass flow experiments.
Thermal striping	Lower Plenum	Normal Operation	No reference to thermal striping.
Jet behavior	Lower Plenum	Normal Operation	In typical prismatic HTGR concepts, the complicated transition from coolant channels to the lower plenum provides the inlet conditions for the jets into the lower plenum. Measurements of turbulence distributions and pressure drop (loss coefficients) are needed for CFD predictions and design. Depending on the reactor designs, comparable problems may appear for the upper plenum.

3.5.5 Technology Development Roadmap

A TDRM for NGNP R&D Methods is shown in Appendix A.

3.5.5.1 *Section Summary*

All of the Methods DDNs are addressed within the Methods program. There are no risks identified or associated with the Methods program. There is one PIRT associated with ‘Thermal Striping’ that is not being addressed by the program. This is a phenomena associated with reactor design and is therefore not part of the Methods program.

3.6 Nuclear Energy Research Initiative and Nuclear Energy University Program

A number of external initiatives identified throughout the NGNP R&D test programs complement the work being performed by INL on NGNP. Table 22 identifies the areas being worked under NERI and NEUP.

Table 22. Areas being worked under NERI and NEUP.

R&D Systems	Task	Description of Initiative
Fuels	Fuel performance modeling.	NERI – Some aspects of this work are being addressed in DOE Nuclear Energy Research Initiative (NERI) and International Nuclear Energy Research Initiative projects (I-NERI) with France and a joint Japan-ORNL I-NERI.
Fuels	Code Benchmarking and Improvement.	NERI – The performance test fuel and fuel qualification irradiations and accident testing, along with planned material property irradiations obtained via NERI and international collaborations will provide much of the separate effects data needed to improve the fuel performance models.
RPV	Emissivity.	NERI – There is a project at the University of Wisconsin-Madison that is addressing emissivity of candidate RPV core internal materials. Emissivity is being determined as a function of the time and temperature of exposure to impure helium and air. These experiments address materials behavior on the interior and exterior surface of the reactor system.
IHX	Environment.	NERI – The University of Michigan has completed work to define strategies for the improvement of high temperature alloys for structural components, such as the NGNP IHX operating at 1000°C in helium. They investigated the oxidation/carburization behavior and microstructure stability and how these processes affect creep in order to develop a fundamental understanding of how impurities in the helium environment affect these degradation processes.
IHX	Diffusional Creep for Alloy 617.	NEUP – A portion of the work necessary to accomplish this task is underway at the University of Cincinnati with NEUP support.
SG	Diffusional Creep Mechanism for Alloy 800H.	NEUP – A portion of this work is underway at University of Cincinnati with NEUP support.
Graphite	Microscale modeling.	NERI – It is assumed that the primary funding source for microscale modeling will be NERI type awards.
Graphite	Emissivity.	NEUP – Initial emissivity values for graphite have begun through the DOE's NEUP on graphite types of current interest.
Methods	Validate and develop software.	NERI – The intention is to use I-NERIs as medium for international relationships and collaboration projects to validate and develop software.

4. CONCLUSIONS

Conclusions

Conclusions from the Technology Readiness Assessment and the creation of TDRMs for the R&D program development plans are as follows:

- The current technology development test plans for the NGNP R&D programs apply to critical^d reactor components that require broad and fundamental R&D. As the design matures further analysis is required to identify any additional components that may be on the critical path
- Until the NGNP reactor design is more mature and critical decision down-selects have been made, then some key reactor components cannot be sufficiently advanced, resulting in increased risk being carried forward on the project and possible delays to the schedule
- As the reactor outlet temperature increases to 850°C (and above), the number of reactor components required to be made from ceramic composites increases significantly. During a conduction cooldown scenario, the decay heat is too high for metallic materials, possibly requiring the replacement of reactor components. No INL ceramic composite R&D program exists to advance the development, codification, and licensing of ceramic components.
- All of the INL NGNP R&D technology program plans are actively engaged in advancing the technology for the NGNP reactor components. Their focus is on ASME BPV codification, NRC licensing, satisfying DDNs, and addressing items of risk and PIRTs.^e There are no INL NGNP R&D activities being pursued that are not directed at support of NGNP deployment.

d. The use of the word critical in this document refers to being on the critical path for reactor deployment, not crucial to or related to nuclear safety.

e. Any omission or exceptions are identified in the main body of this document.

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Appendix A

Technology Development Roadmaps

Appendix A—Technology Development Roadmaps

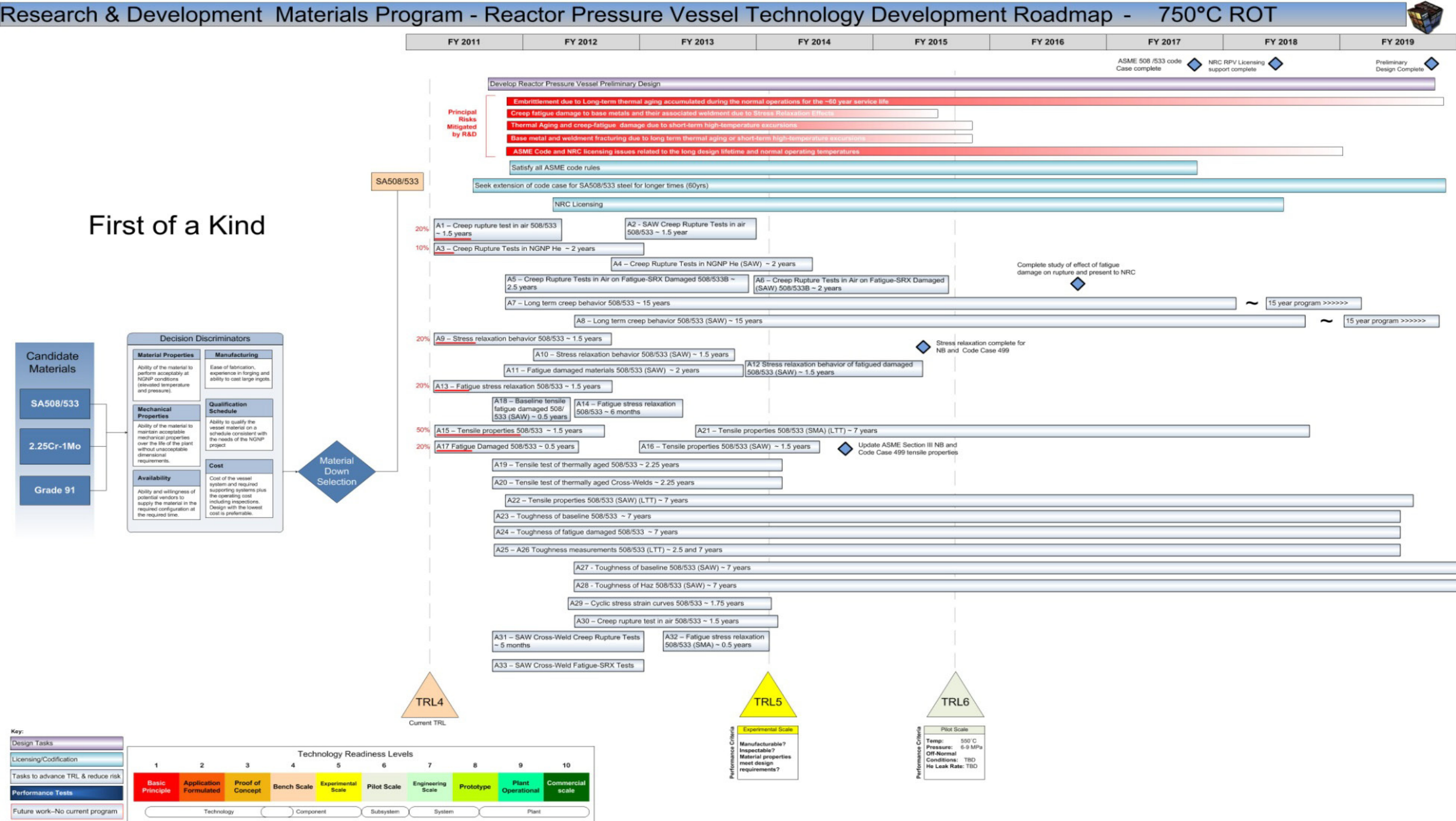


Figure A-1. R&D Materials Program reactor pressure vessel (FOAK).

Research & Development Materials Program - Reactor Pressure Vessel Technology Development Roadmap - 950°C ROT



Nth of a Kind

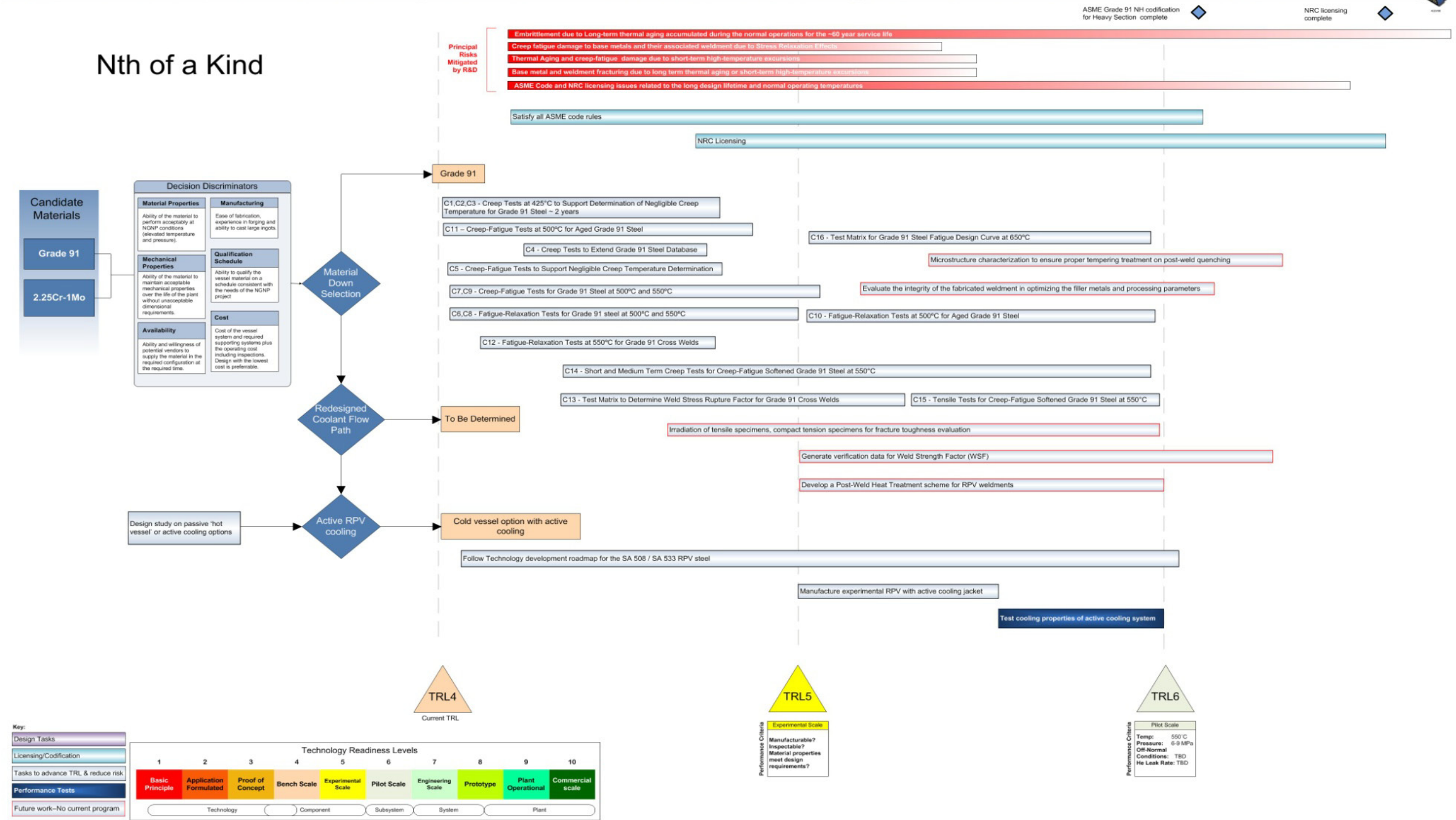


Figure A-2. R&D Materials Program reactor pressure vessel (NOAK).

Research and Development Materials Program - Intermediate Heat Exchanger Technology Development Roadmap

950°C

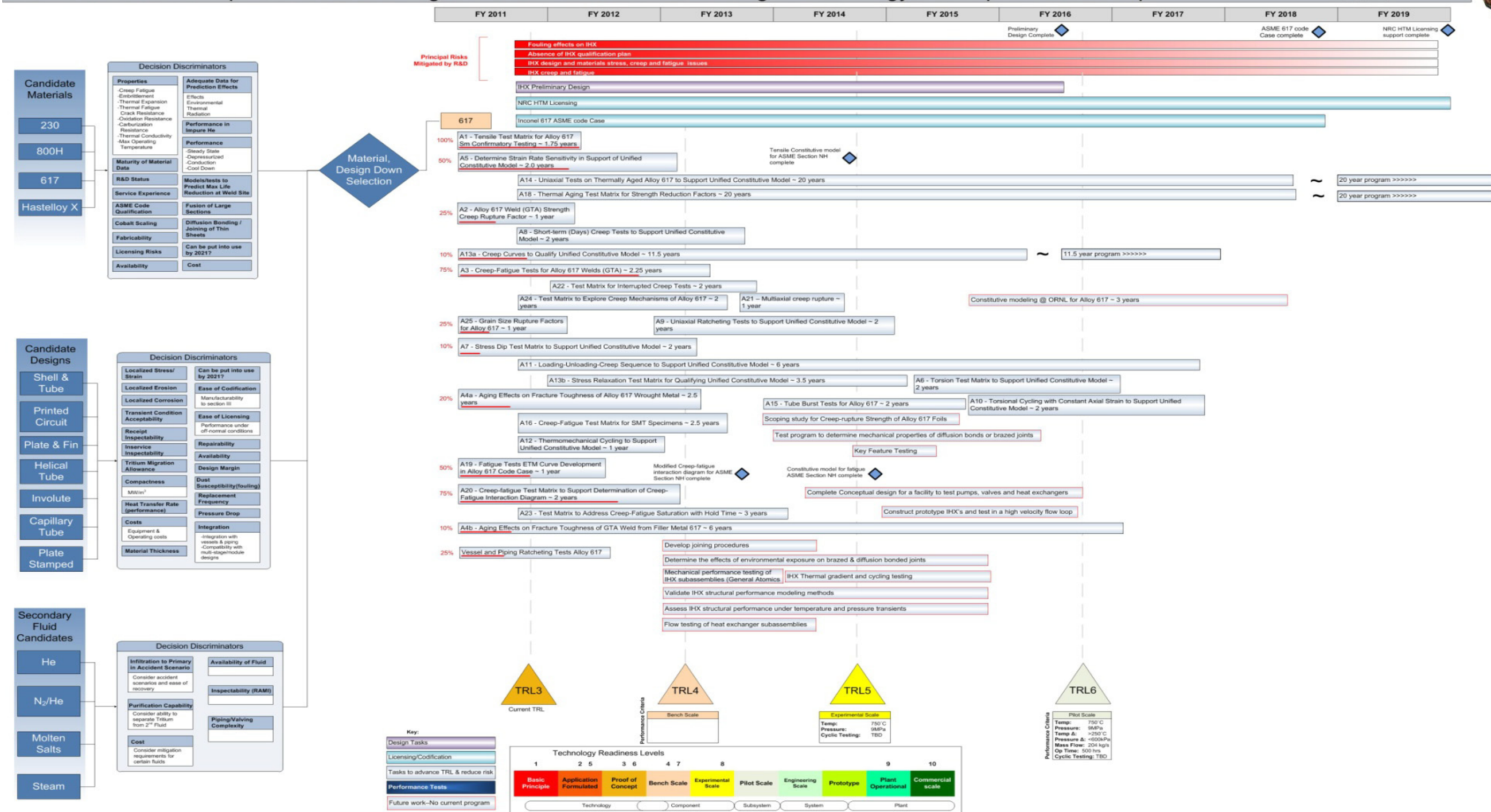


Figure A-3. R&D Materials Program intermediate heat exchanger (NOAK).

Research and Development Materials Program - Steam Generator Technology Development Roadmap 750°C

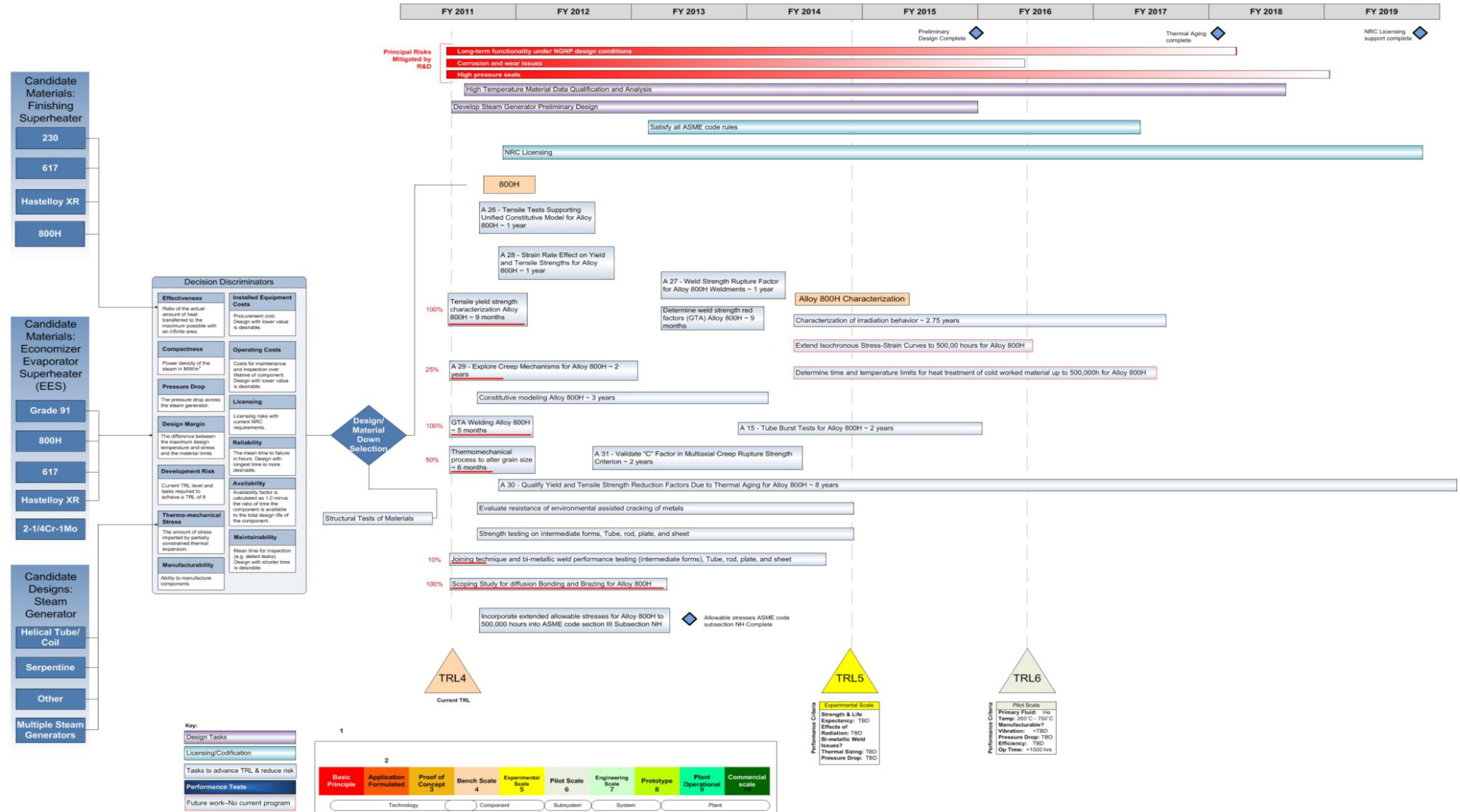


Figure A-4. R&D Materials Program steam generator (FOAK).

Research and Development Fuels Program - Technology Development Roadmap

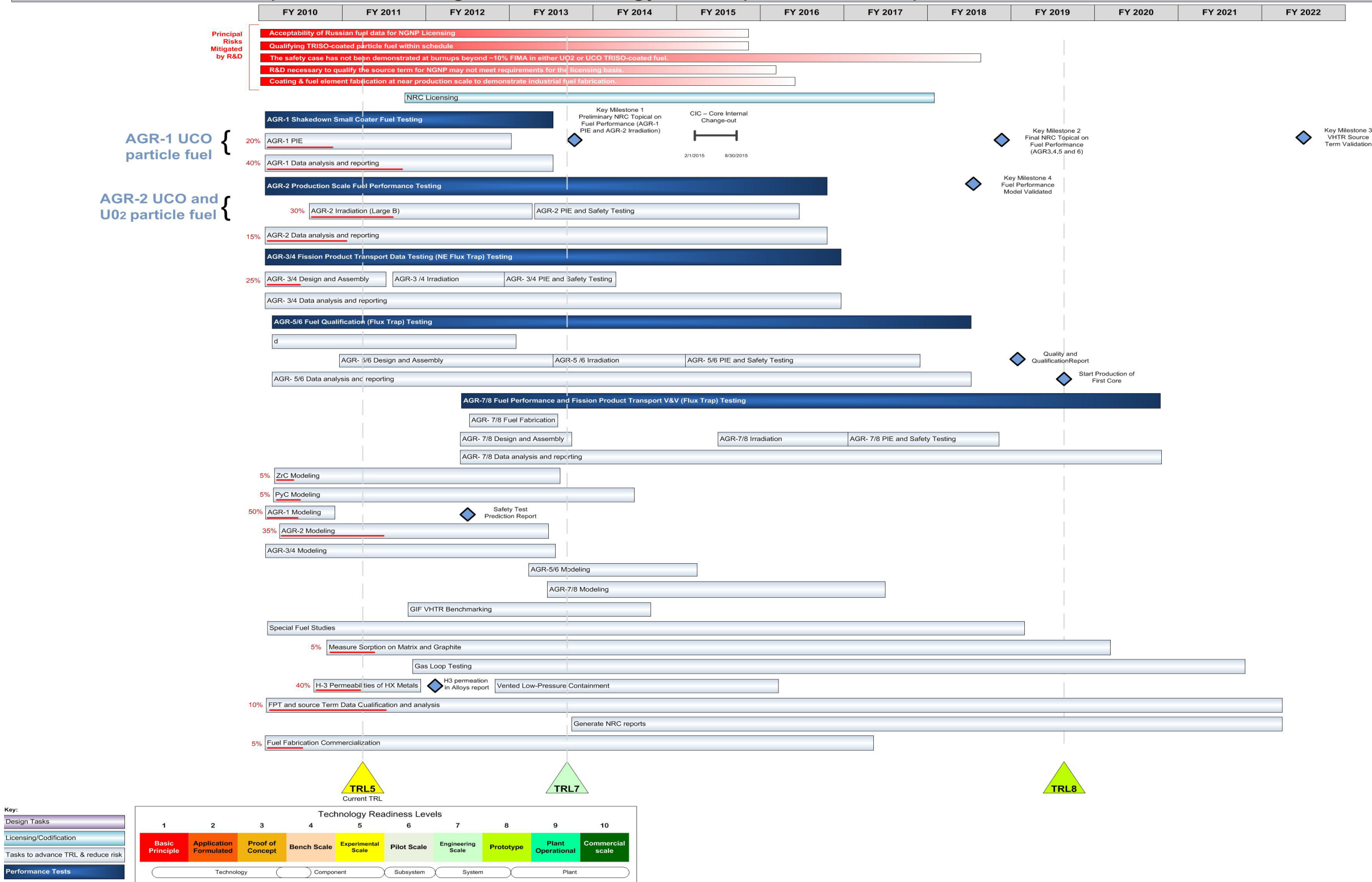


Figure A-5. R&D Fuels Program.

Research and Development Graphite Program - Technology Development Roadmap

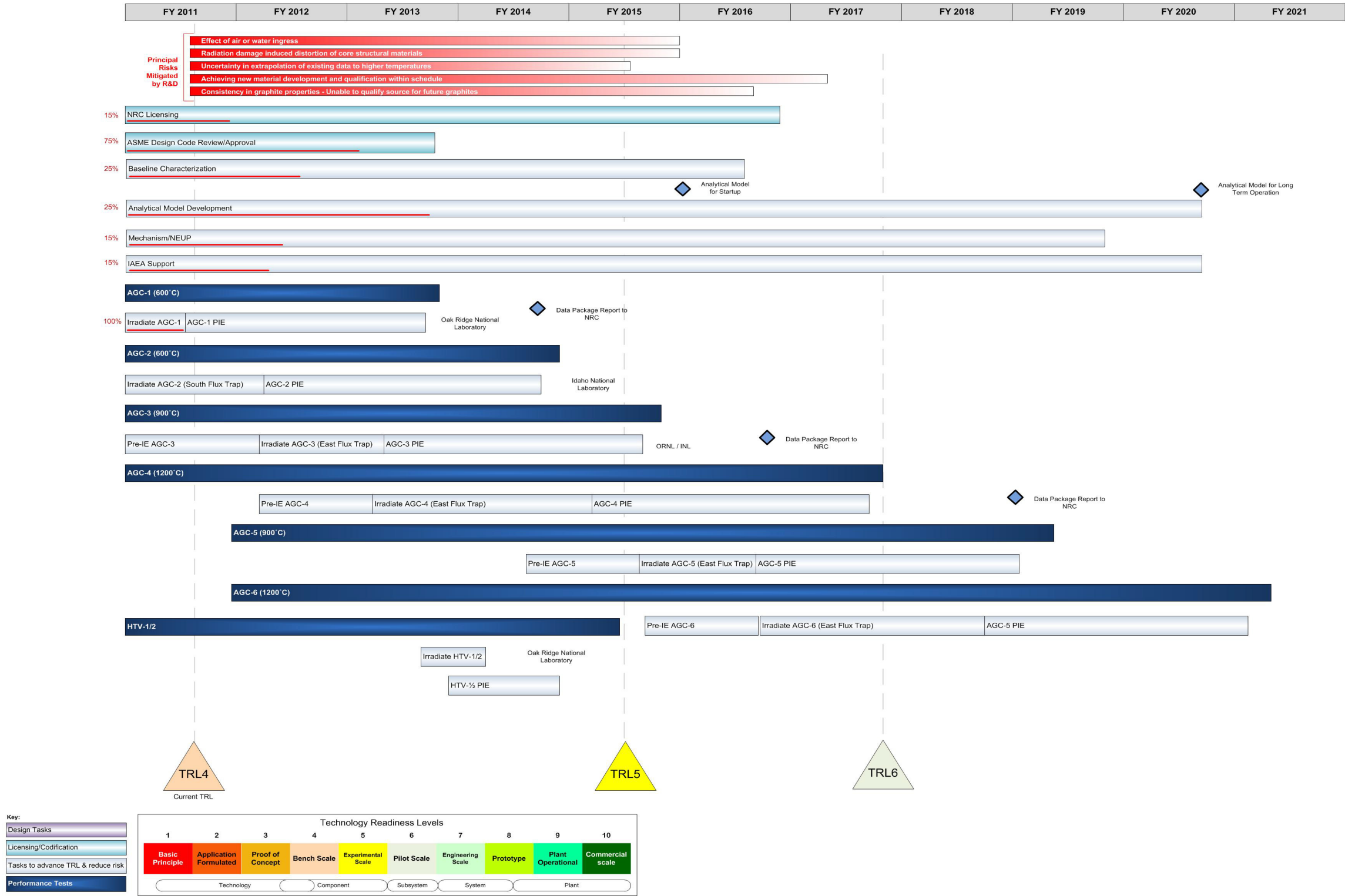


Figure A-6. R&D Graphite Program.

Hydrogen Production System - High-Temperature Steam Electrolysis (HTSE) Technology Development Roadmap

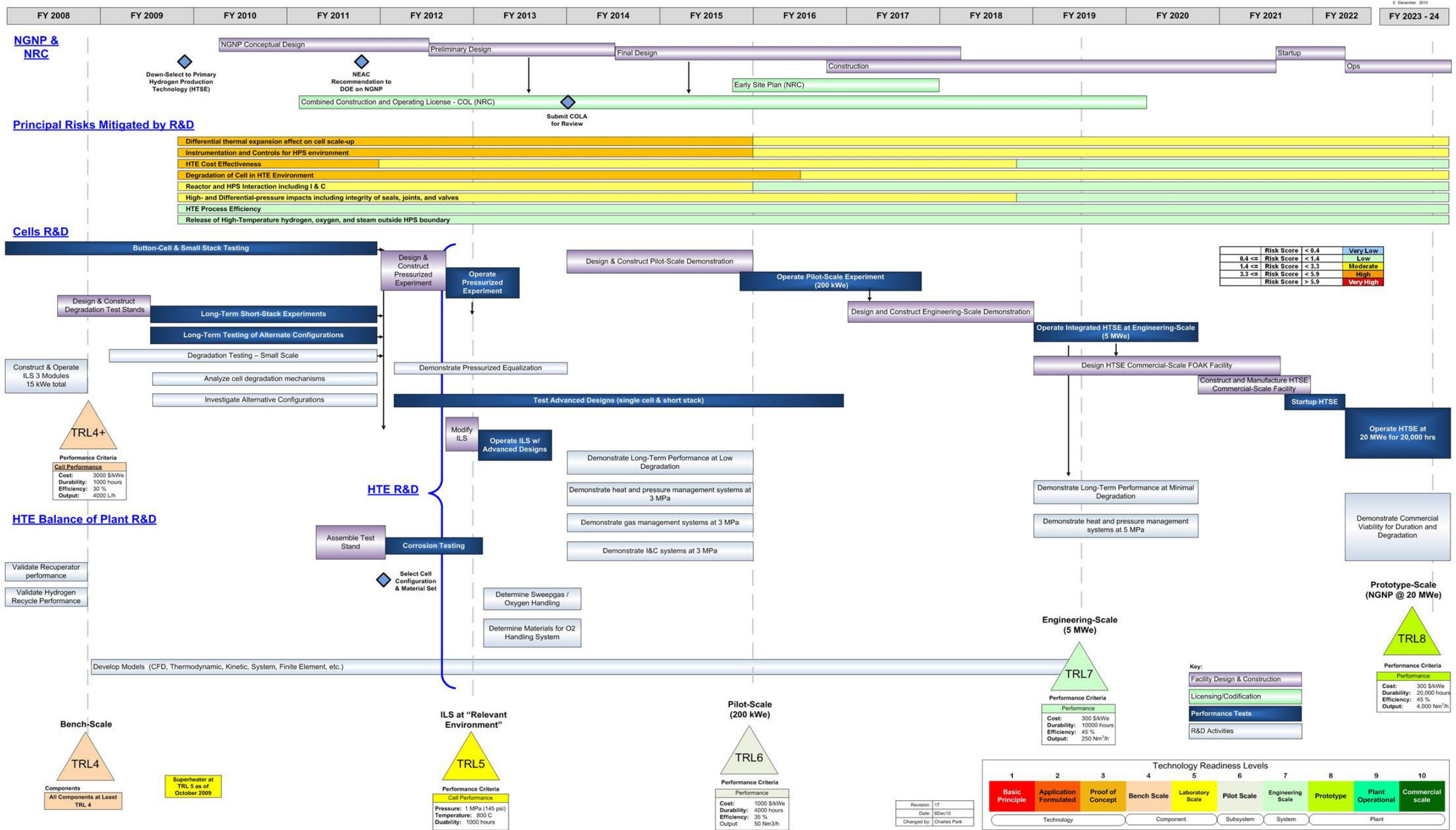


Figure A-7. R&D HTSE Program.

