



# Use of Flooding Probabilistic Risk Assessment

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*Changing the World's Energy Future*

Curtis L. Smith



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# **Use of Flooding Probabilistic Risk Assessment**

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**February 2020**

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**<http://www.inl.gov>**

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# Use of Flooding Probabilistic Risk Assessment

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## Outline of my talk today

- **SDP related to flooding in US**
- **Door integrity tests at Idaho State University**
- **Smoothed Particle Hydrodynamics for external flooding**
- **NRC-INL Flood Barrier project**

# SDP related to flooding in US



## SDP related to flooding in US NRC

- **Collected information from public NRC documents related to SDP for flooding**
- **NRC ROP includes determining safety significance of inspection findings through SDP**
  - Specific hazards are evaluated → external flooding a challenge
    - Site-specific hazard that depends on geographical, meteorological, hydrological, and hydraulic information needed to characterize potential events relevant to the site
    - Limited data available to characterize PRA tools
- **NRC technical guidance does exist**
  - IMC 0609 Appendix A, Risk Assessment Standardization Project (RASP) handbooks
- **Several events have been evaluated**
- <https://www.nrc.gov/docs/ML1515/ML15152A315.pdf>
- **Items that correlate back to application of PRA**
  - Modeling of flooding sequences
  - Quantification of SSCs and flood protection that are credited in specific scenarios
  - Evaluation of operator manual actions outside the control room involving flood mitigation

NPP Site	Significance Determination	Reference
St. Lucie <sup>†</sup>	White	ML14294A466
Ginna	White	ML14107A080
Point Beach	White	ML13221A187
Monticello <sup>†</sup>	Yellow	ML13240A435
Dresden	White	ML13213A073
Three Mile Island	White	ML13042A277
Watts Bar <sup>*</sup>	White	ML13155A572
Watts Bar <sup>*</sup>	Yellow	ML13155A572
Sequoyah <sup>*</sup>	White	ML13155A560
Sequoyah <sup>*</sup>	White	ML13155A560

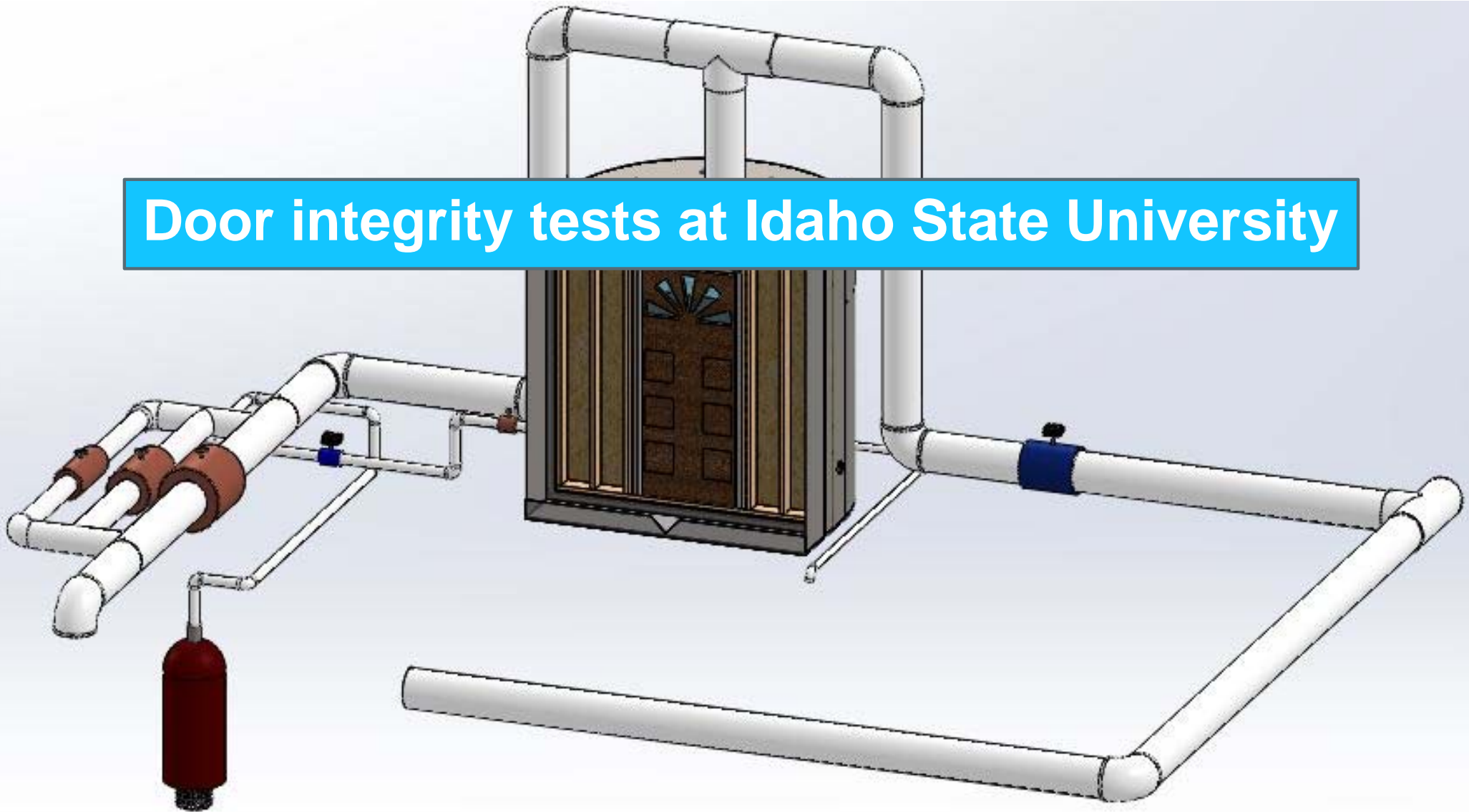


## Examples of flood SDP

- **Oconee Standby Shutdown Facility (ML14058A051)**
  - Open penetration into SSF for two years
  - Opening below maximum flood height identified by licensee (5 feet)
  - Susceptible to up-stream dam failure
    - Independent analysis indicated 12 feet flood possible
  - Analysis indicated possible WHITE finding
- **Fort Calhoun Flooding Strategy (ML15152A315)**
  - January 2010, NRC identified inadequacies of buildings protection against floods
    - Site flood protection strategy may not be fully effective during scenarios
    - NRC determined that a “Yellow” finding was appropriate
  - Specific challenges associated with flooding after Fukushima were highlighted
    - Credit for hardening a facility prior to flood waters affecting the site
    - Assessing credit for limited available actions during a flood scenario based on timing availability (e.g., procuring additional equipment during or after the flood)
  - Consideration of information provided by licensee via qualitative IMC 0609 Appendix M approach in SDP was also evaluated and the finding was reaffirmed as “Yellow”



# Door integrity tests at Idaho State University



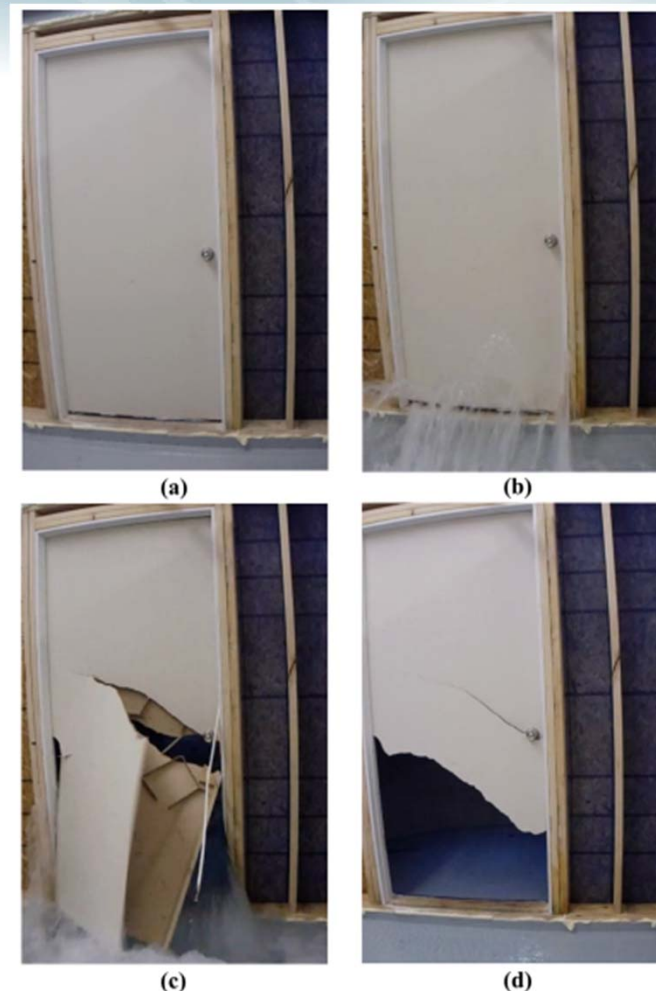
## The Portal Evaluation Tank (PET)

- PET is a semi-cylindrical 7,500 liter capacity steel tank
- An opening environment of 2.4 x 2.4 m for installation
- PET is connected through 3 in. PVC pipe to a 5 Hp. submersible pump
  - Located inside a 30,000 liter water reservoir
- Instrumentation
  - Electronic flow meter
  - Ultrasonic sensor
  - Pressure transducer
  - Pressure Gauge

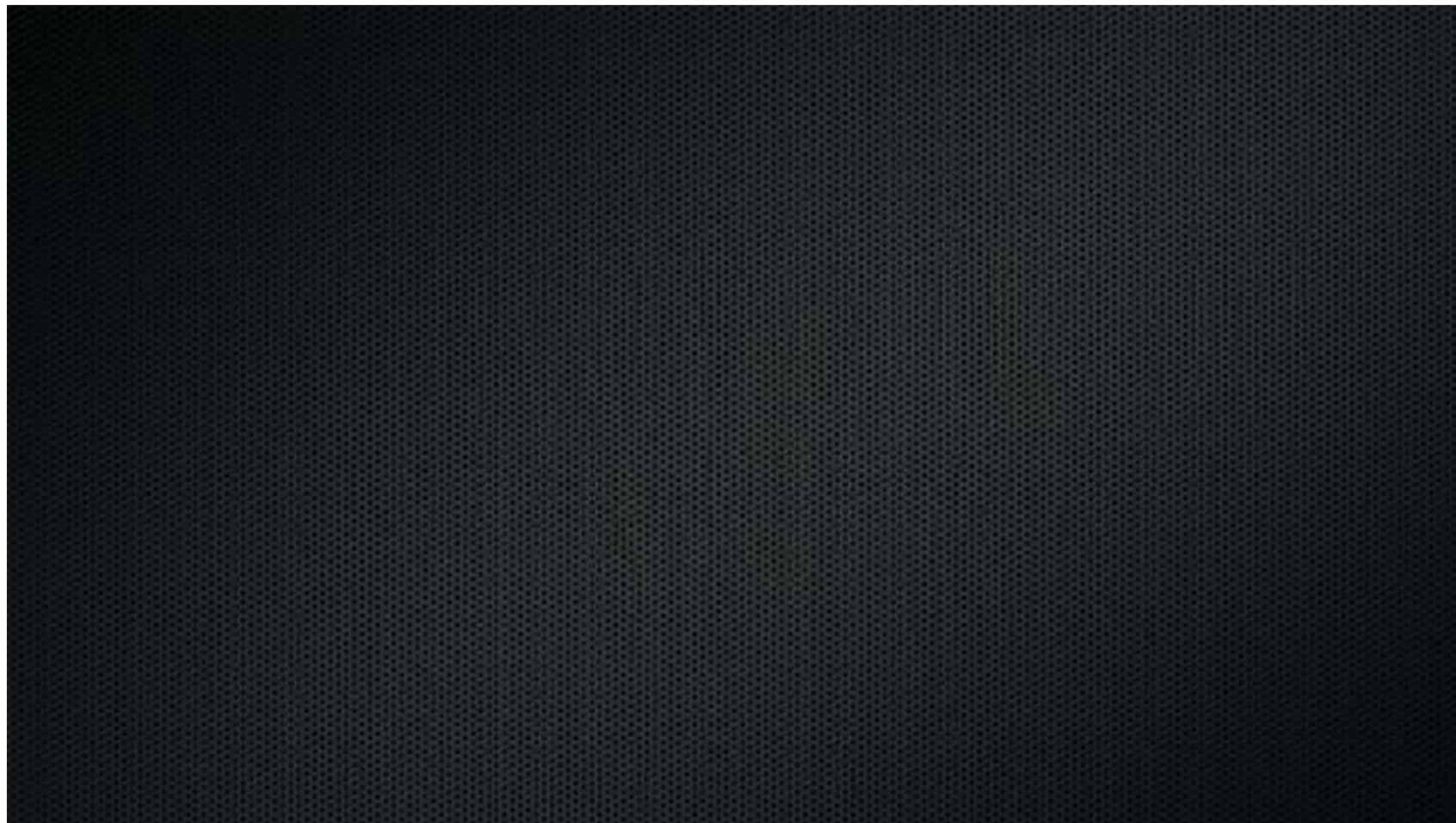


## Flooding Fragility Experiments

- A door frame was constructed using building code and decreased stud spacing
- Initial tests used hollow core doors and involved water rise until catastrophic failure occurred or leak rate equalization



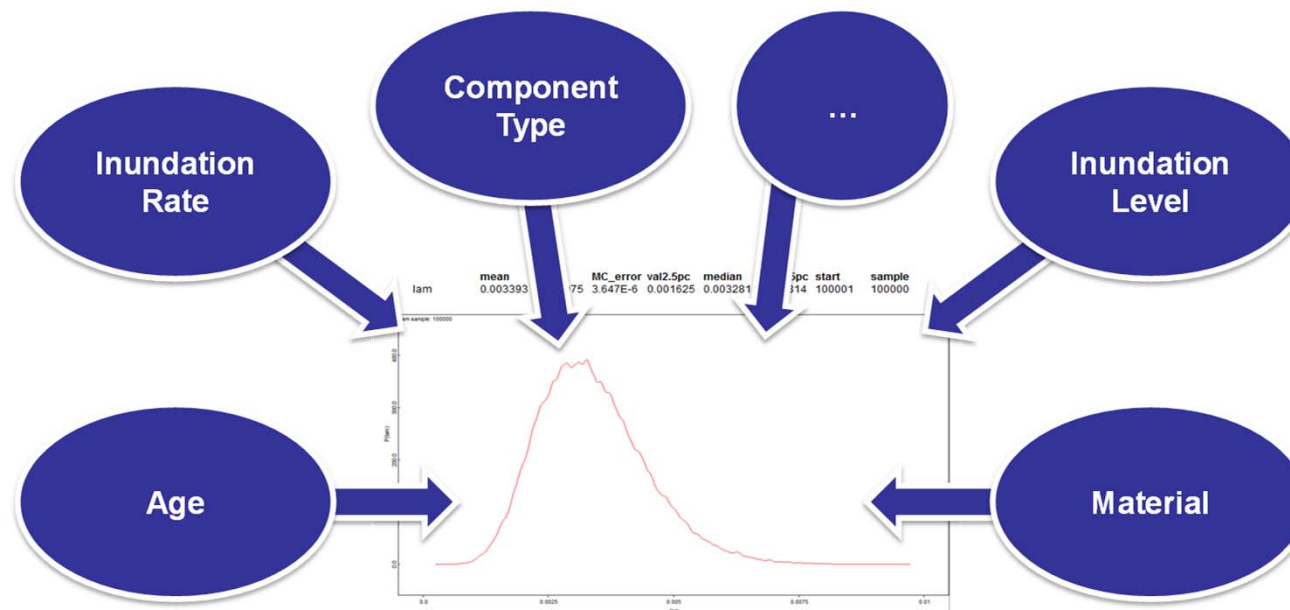
## PET Video Demonstration





## Flooding Bayesian Analysis

- One of the complications for the flooding fragility modeling is the variety of observable phenomena related to the flood itself
  - Some thought should be given to which factors might be the most important
- An advantage of the Bayesian quantification approach is parameters in the regression model associated with unimportant factors should be found to be negligible



## Work Using PET Data

- **Eight complete sets of data**
  - Depth at failure or greatest depth achieved
  - Average flow rate
  - Average temperature
- **Door failure when damage is permanent & leakage increases in a short time (1)**
- **Success when an equilibrium state is reached between the flow and leakage rate (0)**
- **Also moved on to testing metal doors**



Depth (in)	Flow Rate (gal/min)	Temp (°F.)	Failure
23.23	291.5	65.98	0
20.75	292.5	67.04	0
42.3	292.5	66.02	1
21.05	297	67.67	0
24.22	294.5	66.6	0
35.41	292.5	66.87	1
40.76	291	68.33	1
38.85	294	68.14	1

INL-EXT-18-45247 - Nuclear Power Plant Component Flooding Fragility Research



## Example of Flooding Model

- The fragility model for this case looks at seven possibilities:

$$1. \text{logit}(p) = \text{int} + aD + bF + cT$$

$$2. \text{logit}(p) = \text{int} + aD$$

$$3. \text{logit}(p) = \text{int} + bF$$

$$4. \text{logit}(p) = \text{int} + cT$$

$$5. \text{logit}(p) = \text{int} + aD + bF$$

$$6. \text{logit}(p) = \text{int} + aD + cT$$

$$7. \text{logit}(p) = \text{int} + bF + cT$$

```
#Flow Rate (F), Depth (D), and Temperature (T) Model
model {
  for(i in 1:tests) {
    failure[i] ~ dbern(p[i])
    # Regression model
    logit(p[i]) <- int + a*flow[i] + b*depth[i] + c*temp[i]
    # failure.rep[i] ~ dbin(p[i], num.tested)      # Replicate values for model validation
    # diff.obs[i] <- pow(failure[i] - num.tested*p[i], 2)/(num.tested*p[i]*(1-p[i]))
    # diff.rep[i] <- pow(failure.rep[i] - num.tested*p[i], 2)/(num.tested*p[i]*(1-p[i]))
  }
  #chisq.obs <- sum(diff.obs[])
  #chisq.rep <- sum(diff.rep[])
  #p.value <- step(chisq.rep - chisq.obs)
  # Prior distributions
  int ~ dnorm(0, 0.0001)
  a ~ dnorm(0, 0.001)
}
data
list(tests=8,
      flow = c(291.5,292.5,292.5,297,294.5,292.5,291,294) ,
      depth = c(23.23,20.75,42.3,21.05,24.22,35.41,40.76,38.85),
      temp = c(65.98,67.04,66.02,67.67,66.6,66.87,68.33,68.14),
      failure = c(0,0,1,0,0,1,1,1))
inits
list(int=0, a=0)
```



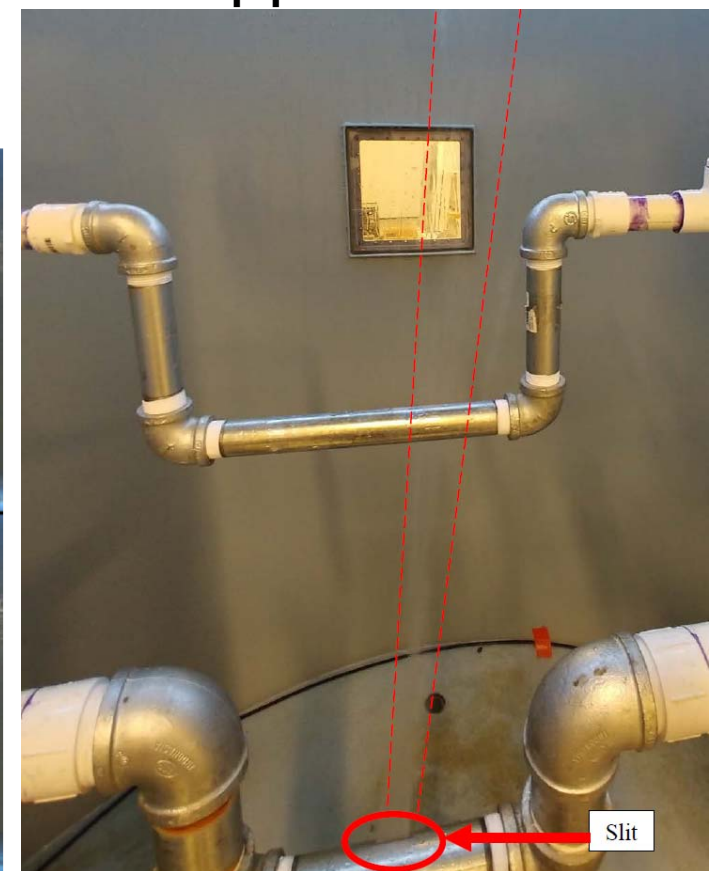
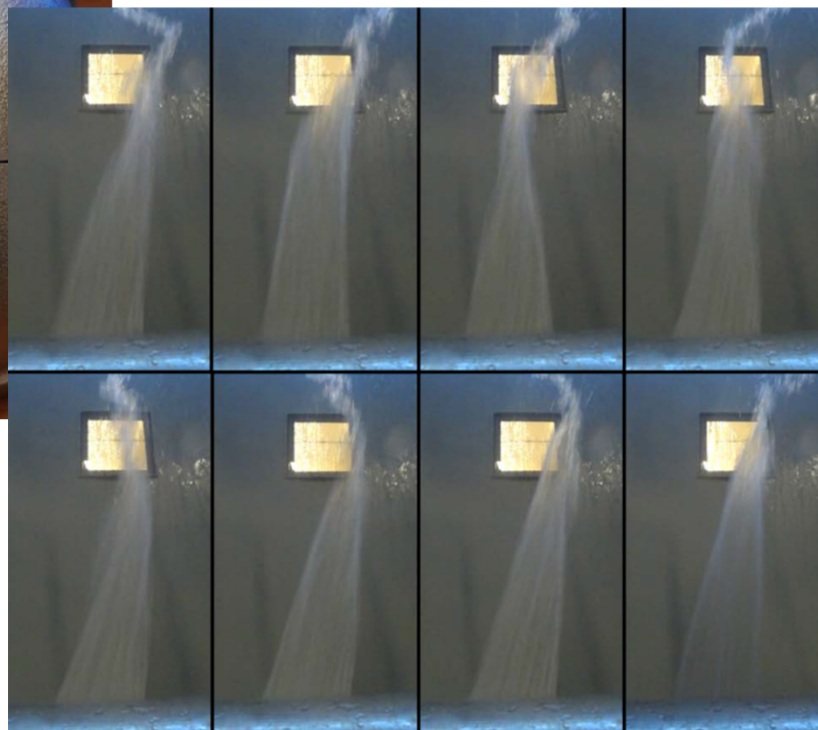
## Results for the Cloglog equations

Parameter	Equ. 1	Equ. 2	Equ. 3	Equ. 4	Equ. 5	Equ. 6	Equ. 7
<i>Intercept</i>	10.84	-107.5	4.832	5.301	5.847	1.222	1.88
<i>a</i>							
<i>(depth coeff)</i>	47.88	3.705	–	–	45.31	35.61	–
<i>b</i>							
<i>(flow rate coeff)</i>	-10.84	–	-0.01814	–	-4.648	–	0.02401
<i>c</i>							
<i>(temp coeff)</i>	25.86	–	–	-0.08646	–	-15.89	-0.141
<i>DIC</i>	0.01568	0.2372	12.68	13.25	0.0174	0.0203	13.51

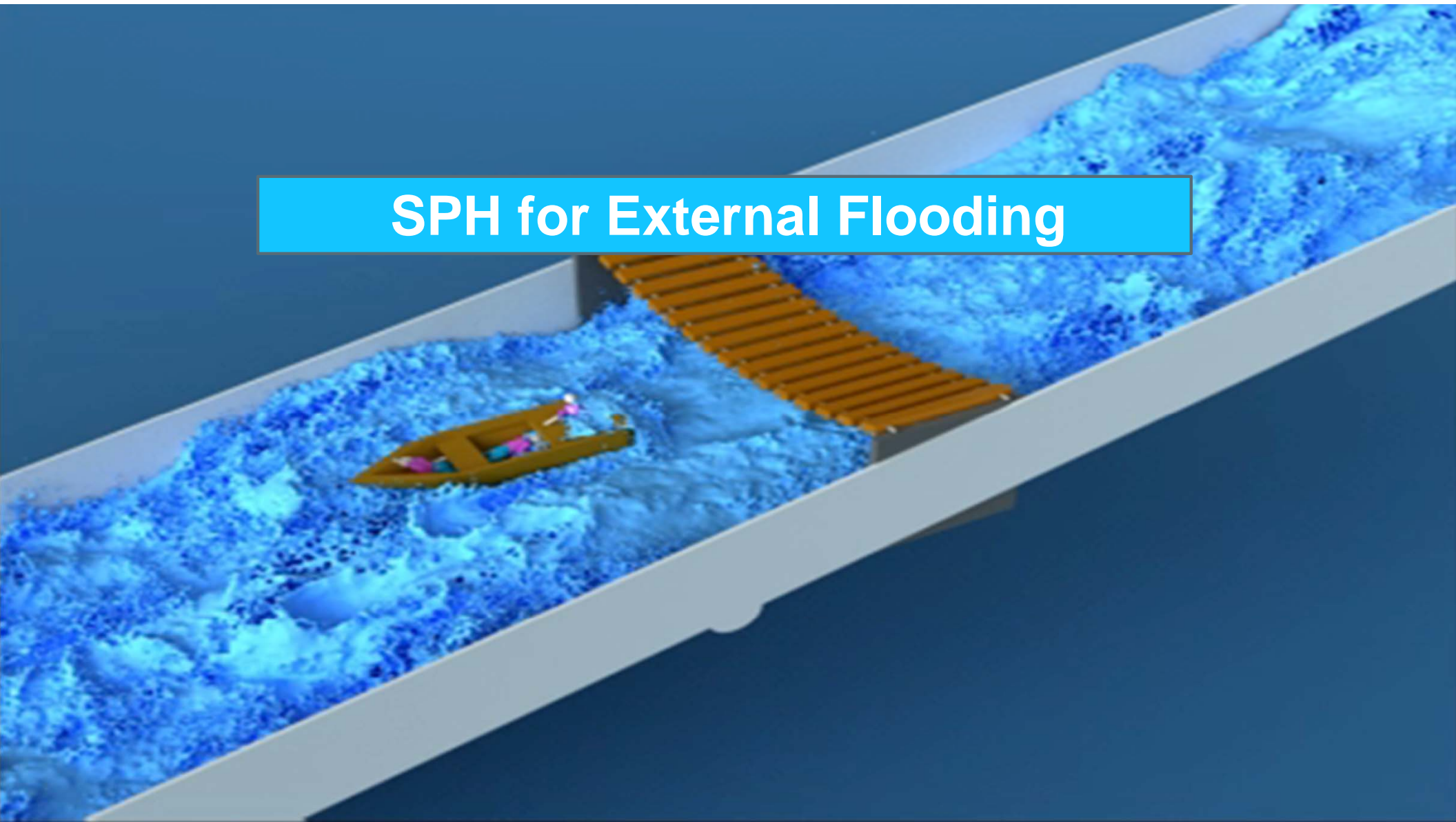
- **Deviance Information Criterion (DIC) can be used to examine the relative fit of a model**
  - Measure of relative goodness of fit
  - Smallest DIC indicates the best fitting model

## Pipe break tests

- ISU also performed tests to better understand water spray for breaks in pipes

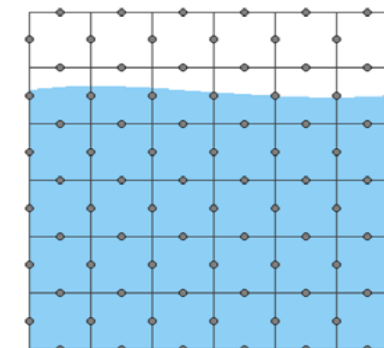
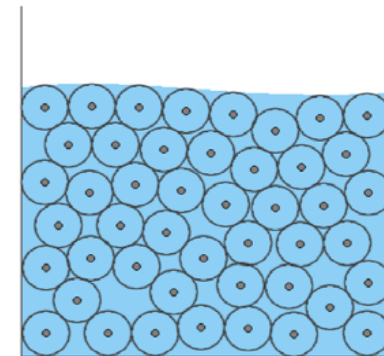


## SPH for External Flooding



## Smoothed Particle Hydrodynamics

- **A way to simulate flooding scenarios is needed**
- **Smoothed Particle Hydrodynamics (SPH)**
  - Particle based method
  - Originally developed for astrophysics applications in 1977
  - Later extended for fluid dynamic applications
- **SPH allows for flooding scenarios to be simulated**
  - Does not confine fluid to meshes
  - Allows for a natural flow to be modeled
- **A reliable SPH code is needed**
  - Compare to experimental results



## Introduction: SPH

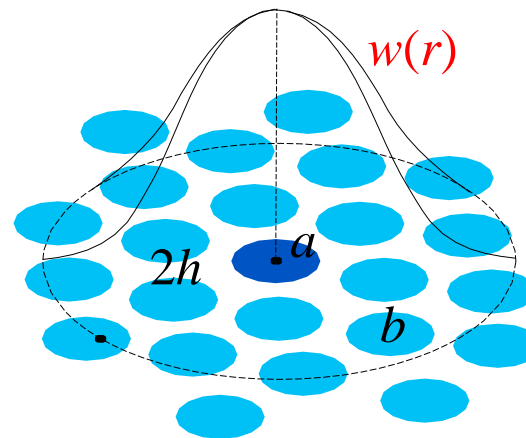
- **Particles**
  - A particle is a minute fragment or quantity of 'matter'
- **Usual meanings in science**
  - ~~– Smallest constituents of matter (Standard Model)~~
  - ~~– Nanoparticles, colloidal particles~~
  - ~~– Dust, powder, ashes~~
  - ~~– Sediment grains, water droplets~~
- **The duality of 'particles' in SPH**
  - They are material points
  - They have volume, mass, pressure, density, etc.



## Introduction: SPH Interpolation

- Particle  $a$  has position  $\mathbf{r}_a$ , mass  $m_a$ , volume  $V_a$ , etc.
- Particle Interactions are computed using the 'kernel'  $w(r)$
- The support of  $w$  has size  $2h$ ,  $h = \text{smoothing length}$ ,  $w$  is normalized

$$w(r) d\mathbf{r} = 1$$

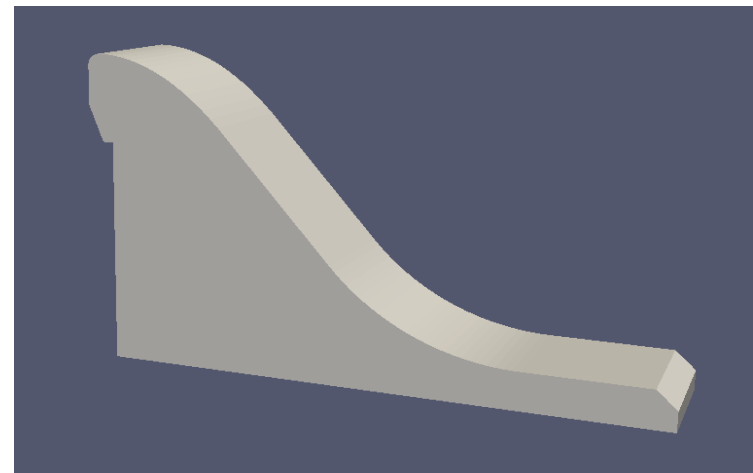
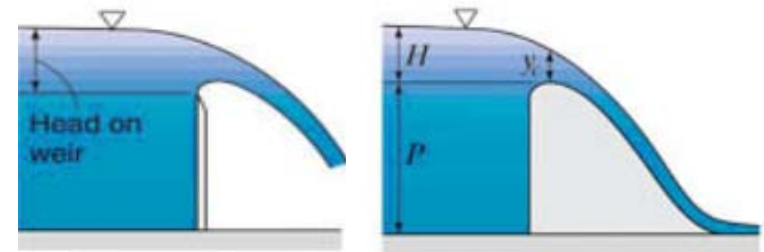


Lucy, L.B. (1977), *Astron. J.* **82**:1013–1024

Gingold, R.A., Monaghan, J.J. (1977), *Mon. Not. R. Astron. Soc.* **181**:375–389

## Ogee Spillway Comparison

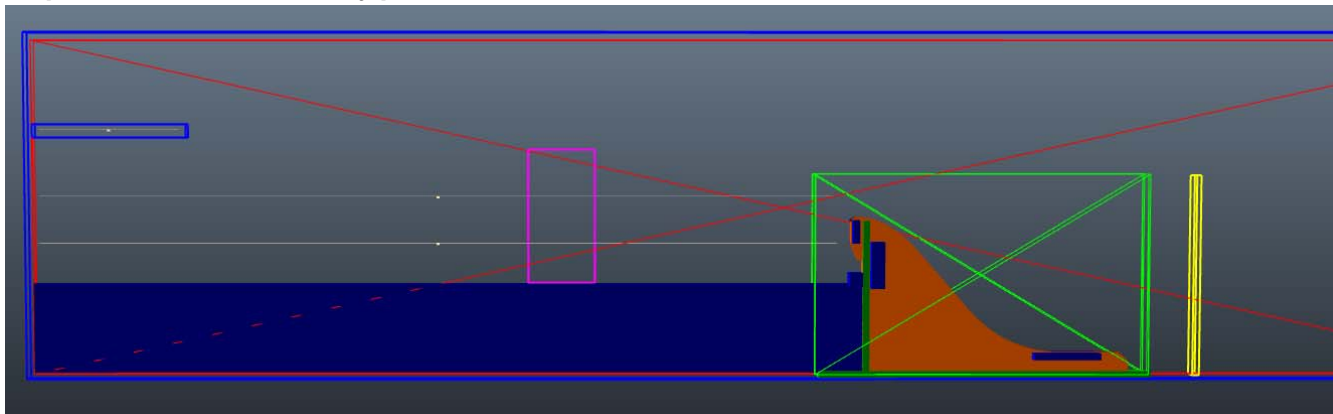
- **Comparison Model**
  - Ogee spillway with horizontal apron
  - Details of experiment provided in Flow over Ogee Spillway: Physical and Numerical Model Case Study by Bruce M. Savage and Michael C. Johnson
  - Experiment details (scaled model):
    - Measurements taken 2 m upstream
      - Flow Rate
      - Total Head
    - Ten different runs conducted
  - Prototype scale was used for the SPH comparison which required scaling the model scale up 30 times





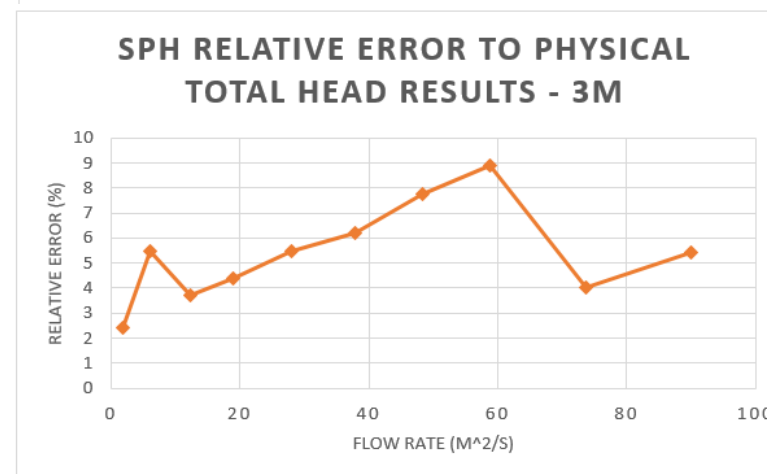
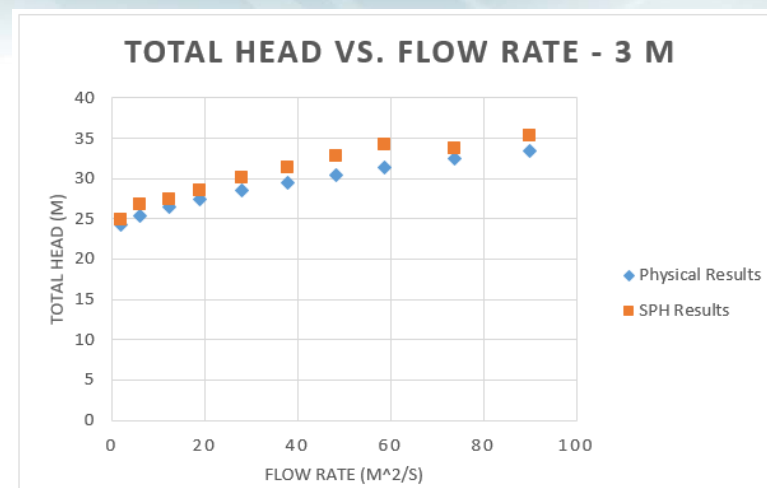
## Neutrino Model

- **Developmental SPH code Neutrino was used to conduct the comparison**
- **Model construction process:**
  - Determine how to fill particles behind the spillway
  - Reduce leakage
  - Determine particle emitter location to set total head
  - Determine particle emitter location to set flow rate instead
  - Conduct parametric studies on model width and particle size
  - Reduce leakage again
  - Change particle emitter types



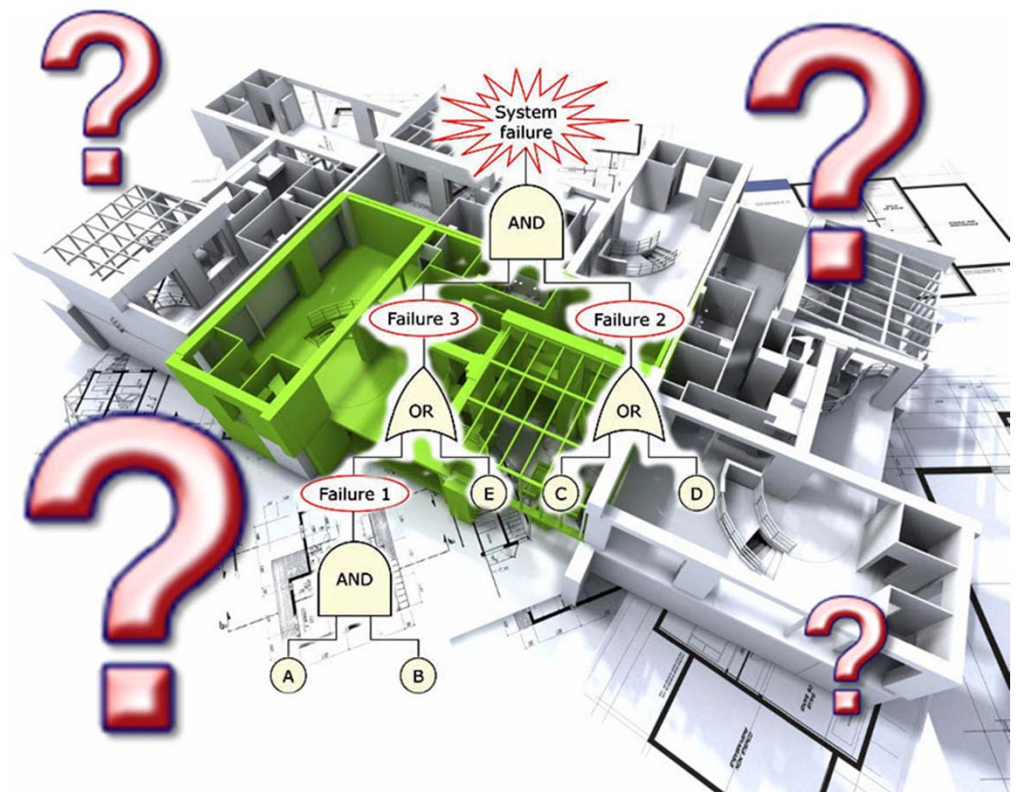
## Comparison Results

Run	Flow Rate	Physical Total Head Result	SPH Total Head Result	Relative Error
1	1.9 m <sup>2</sup> /s ± 0.25%	24.3 m	24.9 m	2.4 %
2	6.0 m <sup>2</sup> /s ± 0.25%	25.3 m	26.7 m	5.5 %
3	12.3 m <sup>2</sup> /s ± 0.25%	26.5 m	27.5 m	3.7 %
4	19.0 m <sup>2</sup> /s ± 0.25%	27.4 m	28.6 m	4.4 %
5	27.9 m <sup>2</sup> /s ± 0.25%	28.5 m	30.0 m	5.5 %
6	37.8 m <sup>2</sup> /s ± 0.25%	29.5 m	31.3 m	6.2 %
7	48.2 m <sup>2</sup> /s ± 0.25%	30.4 m	32.8 m	7.7 %
8	58.9 m <sup>2</sup> /s ± 0.25%	31.4 m	34.1 m	8.9 %
9	73.8 m <sup>2</sup> /s ± 0.5%	32.4 m	33.7 m	4.0 %
10	89.9 m <sup>2</sup> /s ± 0.5%	33.5 m	35.3 m	5.4 %



## How to Join Physics Model & System Model

- **Good** - Run repeated simulations and add the failure information into the existing static models
- **Best** – Dynamic PRA model that can interact with the simulation
  - No corrections needed for time dependent calculations
  - Determine average or mean time of particular outcomes
  - Analyze time order of failures to determine early protection methods



Risk Analysis  
Steps for  
Scenario  
Generation

Enabling  
Conditions

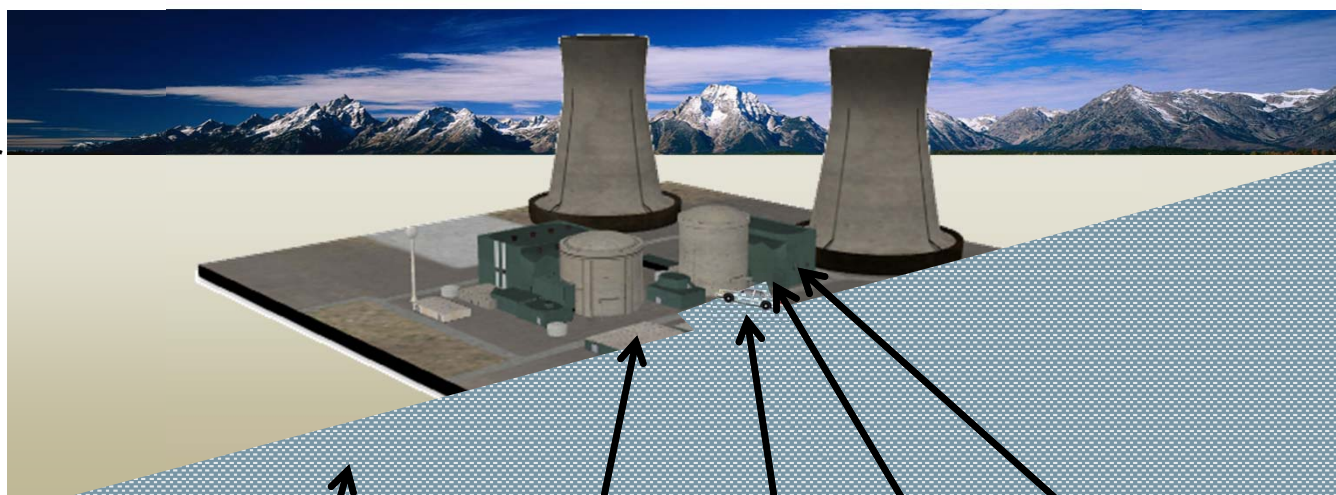
**Flood**

Plant SSC  
Response  
to Initiator

SSC  
Failures &  
Successes

Scenario Simulation

3D Models for  
the Facility  
including  
Systems,  
Structures, &  
Component  
(SSC)



Computational  
Layers  
Used for the  
Analysis

Probabilistic events

Seismic

Flooding

Hazard Freq.

Static/Dynamic  
Loads

...

Debris

Water Migration

Fragilities

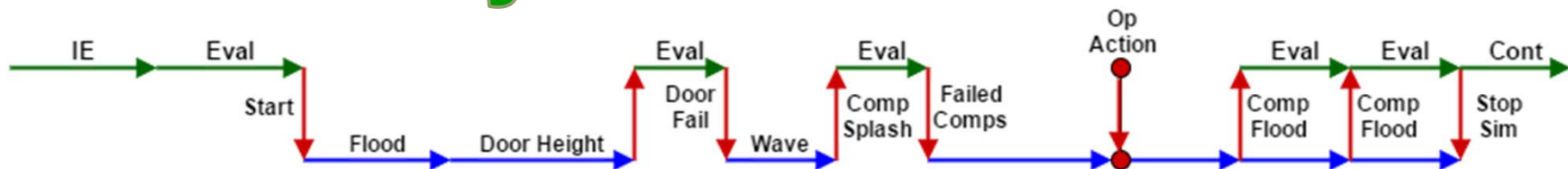
Thermal-hydraulics

## Timing is Everything



- Physics simulation are dynamic and time dependent
- Control logic is not always available in simulations
- Need to modify the behavior of the simulation at during execution.

## System Model



## Simulation

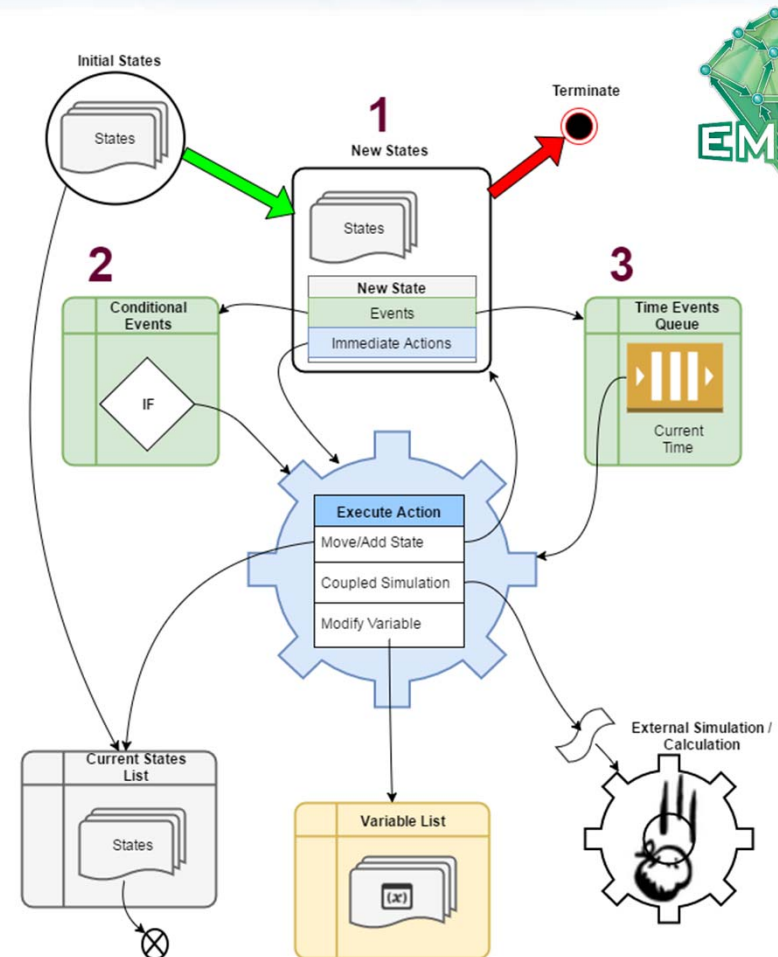
# Modeling Options

- **Time Steps**
- **Next event in time (EMRALD)**

Dynamic probabilistic risk assessment (PRA) model based on a three-phased discrete event simulation

To begin, add initial start states to Current and New States List

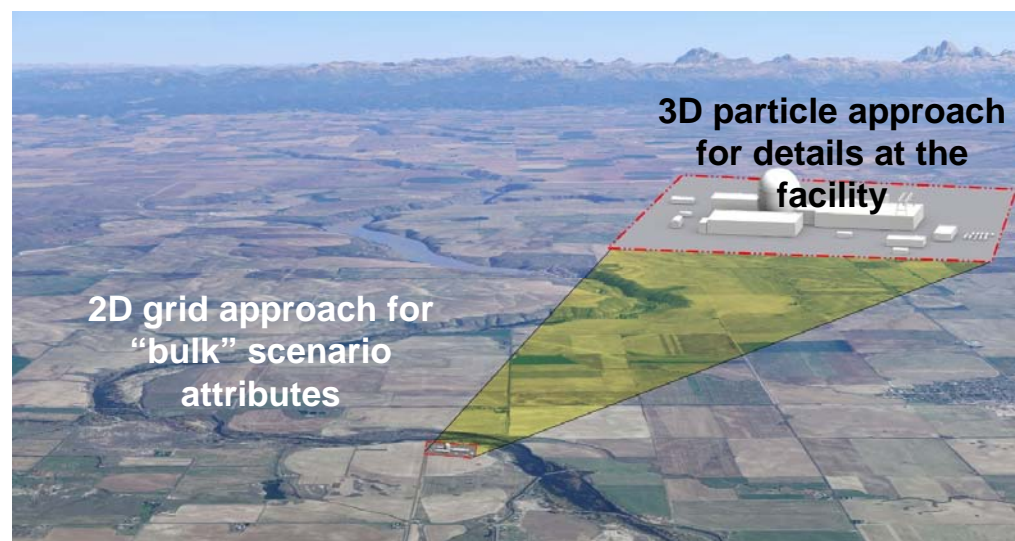
1. While there are States in the New States list,  
For each State :  
- Add the Events to the Time Queue or Conditional List.  
- Execute any Immediate Actions
2. If any Conditional Events criteria is met.  
- Execute that events action/s.  
- (Go to Step 1)
3. Jump to the next chronological event.  
- Process that event's actions.  
- (Go to Step 1)





## River flood modeling

- **INL/EXT-15-37091, Flooding Capability for River-based Scenarios**
- **Evaluated two different types of potential river-based flooding tools**
  - 1D/2D grid based (GeoClaw, EPA's SWMM code, and Army Corps HEC)
  - 3D particle based
  - Both the 2D and 3D methods have positives and negatives
- **Combination of both seems to be best approach moving forward**





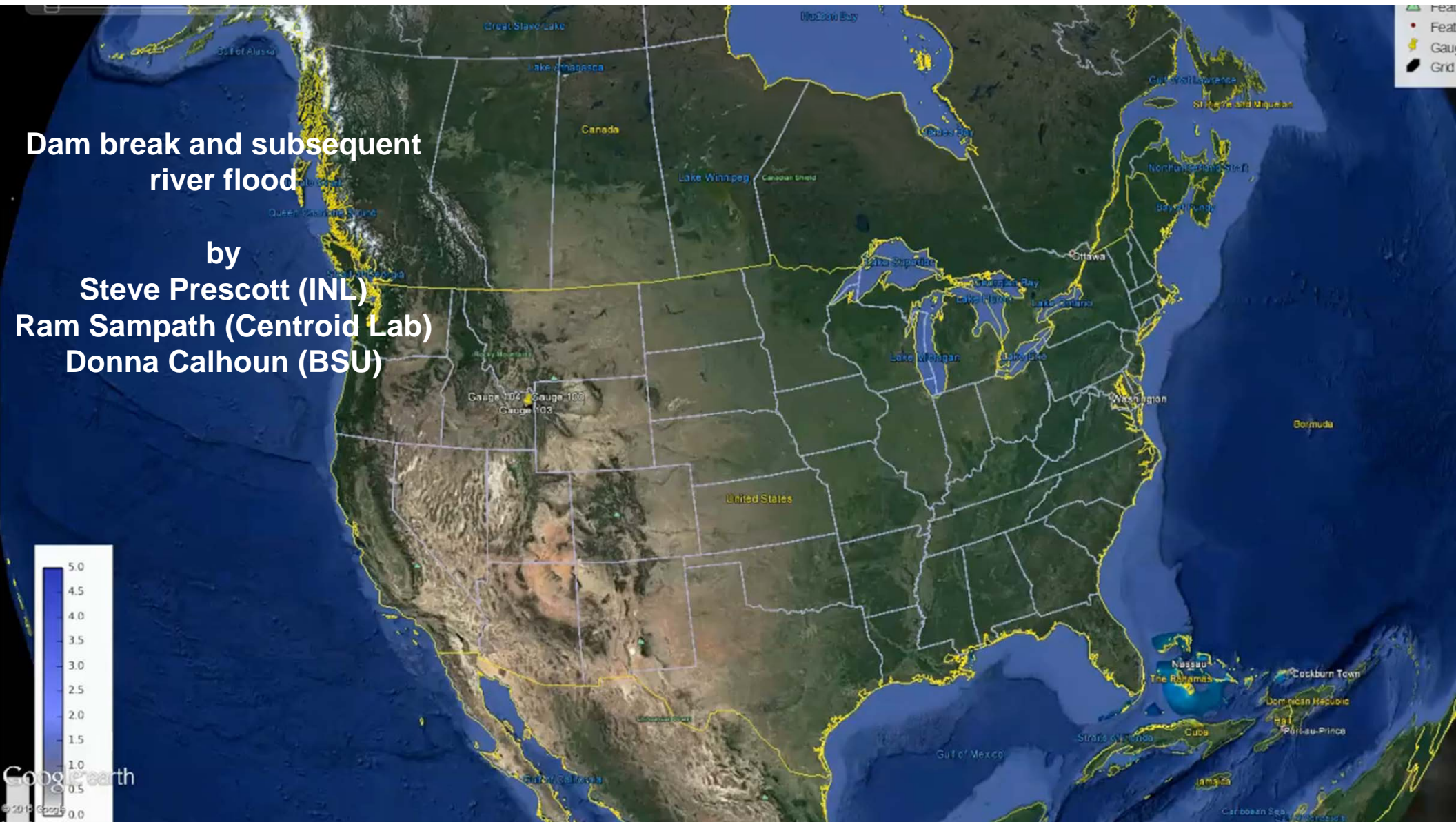
# Dam break and subsequent river flood

by

Steve Prescott (INL)

Ram Sampath (Centroid Lab)

Donna Calhoun (BSU)





# NRC-INL Flood Barrier Project



## Project overview

- **Project will identify and assess options and develop strategies for testing nuclear power plant (NPP) flood barriers**
  - Including permanent components such as flood penetration seals, water tight doors as well as temporary flood protection features
  - Flood barriers external to the plant (e.g., earthen berms, aqua berms, sandbags) are not a focus of the review
  - Will look for information that may be useful when developing strategies for testing (e.g. prospects for harvesting, in-situ non-destructive testing or enhanced inspection, in-situ destructive testing)
- **Project is part of NRC's Probabilistic Flood Hazard Assessment (PFHA) Research Program**
- **Looking at decommissioning plants for likely source for harvesting**
  - Visited Oyster Creek during December 2019
- **Recently completed draft report → Goal to publish NUREG**
- **Will be supporting flood barrier testing workshop during the week of the NRC Regulatory Information Conference**
  - Thursday and Friday (March 12-13)





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**Thank you!**